

# Maps & BST

CS143: lecture 13

Konstantinos Ameranis, July 21

# Lists

## Recap


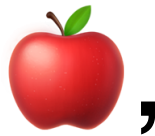
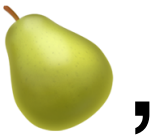

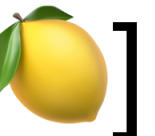
# Lists

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- Lists: [, , , , 


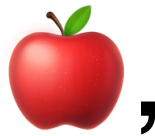
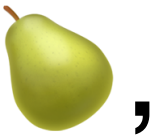


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- Lists: [, , , , 
- It is an ordered collection of elements:


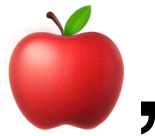
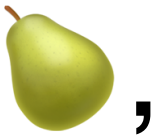
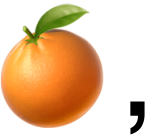

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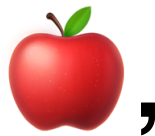
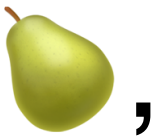


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- Lists: [, , , , 
- It is an ordered collection of elements:
  - Ordered: 1st, 2nd, 3rd, ...
  - Elements can be homogeneous or heterogeneous.
- Elements are referred to by their *index*
- What if we want to use something other than a number?



# Maps











# Maps

- What if we want to build a *mapping* between one element to another element?











# Maps

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  - aka dictionaries, associative array...

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- A map is a data structure that stores key-value pairs

# Maps

- What if we want to build a *mapping* between one element to another element?
- {  : ,  : ,  : ,  : ,  :  }
- Maps!
  - aka dictionaries, associative array...
- A map is a data structure that stores key-value pairs
  - Each key appears at most once

# Maps

## Operations



# Maps

## Operations

- `insert(k, v)`

# Maps

## Operations

- `insert (k, v)`
- `remove (k)`

# Maps

## Operations

- `insert (k, v)`
- `remove (k)`
- `lookup (k)`

# Maps

## Operations

- `insert(k, v)`
- `remove(k)`
- `lookup(k)`
- `size`

# Maps

## Operations

- `insert(k, v)`
- `remove(k)`
- `lookup(k)`
- `size`
- `traverse` (to visit all)

# Maps

**Can we use lists?**

# Maps

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- Yes!

# Maps

## Can we use lists?

- Yes!
- Each element of the list can be a pair (key, value)



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- `insert(k, v) :`
  - `append( (k, v) )`
- `lookup(k) :`
  - Go through the entire list and compare each `k`
- `remove(k) :`
  - `lookup(k)` and `remove`

# Maps

## Complexity

	lookup		insert		remove	
	average	worst	average	worst	average	worst

# Maps

## Complexity

	lookup		insert		remove	
	average	worst	average	worst	average	worst
ArrayList						



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Linked List						

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- What if we can sort the keys?

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- Lookup is faster



# Maps

## Can we do better with lists?

- What if we can sort the keys?
- Lookup is faster
  - We can do binary search

# Binary Search

Find 19

1	4	6	7	9	12	17	19	25	30	35
---	---	---	---	---	----	----	----	----	----	----



# Binary Search

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  - We can do binary search
  - To search a sorted list with  $n$  elements, we only need  $O(\log_2 n)$
- However
  - ArrayList is bad at insert

# Maps

## Can we do better with lists?

- What if we can sort the keys?
- Lookup is faster
  - We can do binary search
  - To search a sorted list with  $n$  elements, we only need  $O(\log_2 n)$
- However
  - ArrayList is bad at insert
  - Linked list is bad at random access

# Maps

## Complexity

	lookup		insert		remove	
	average	worst	average	worst	average	worst
ArrayList	O(n)		O(1)	O(n)	O(n)	
Linked List	O(n)		O(1)		O(n)	
ArrayList (sorted)						

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# Maps

**Can we have the benefits of both?**

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- Yes!

# Maps

**Can we have the benefits of both?**

- Yes!
- New data structure: Binary Search Tree!

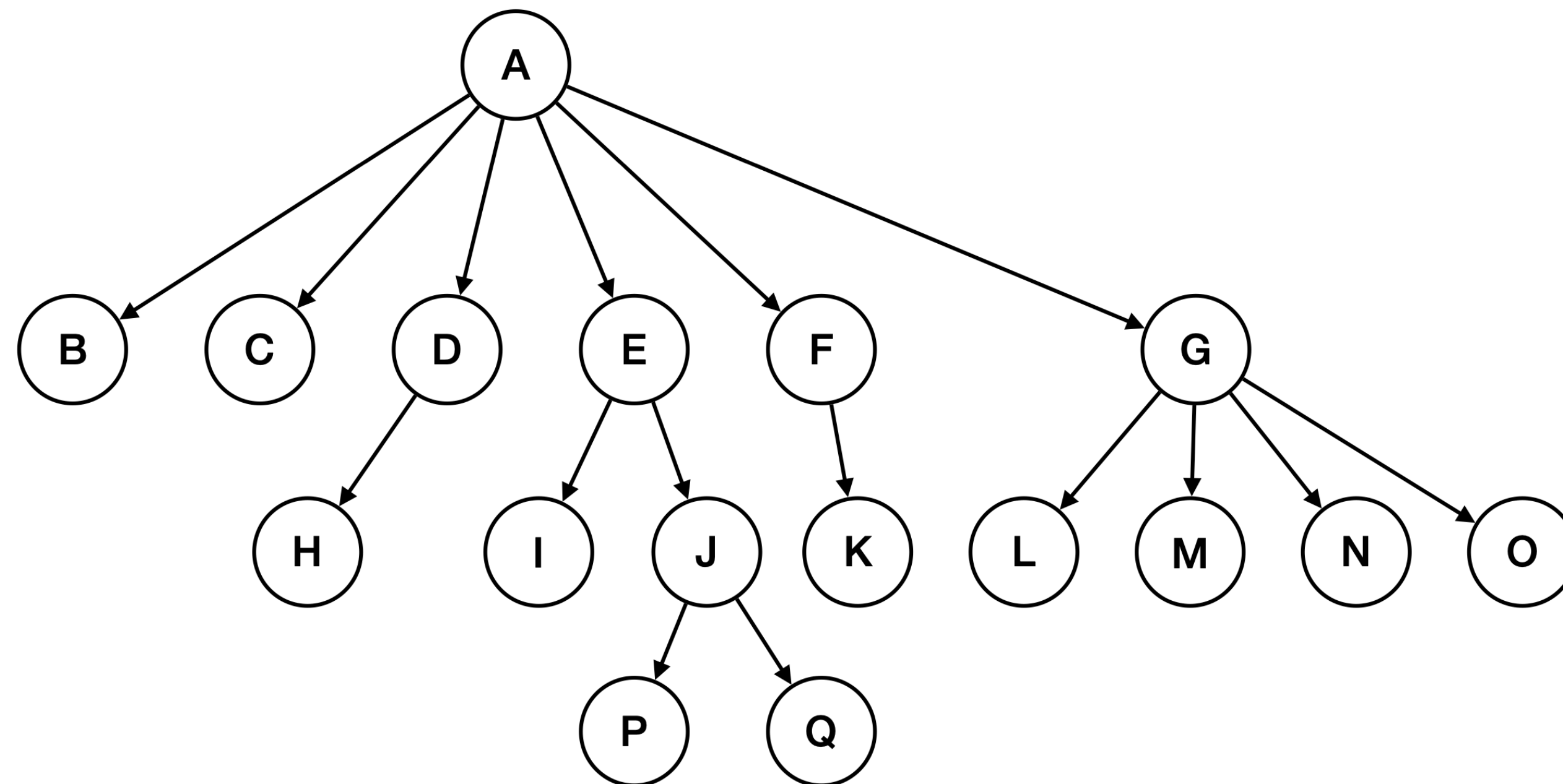
# Trees

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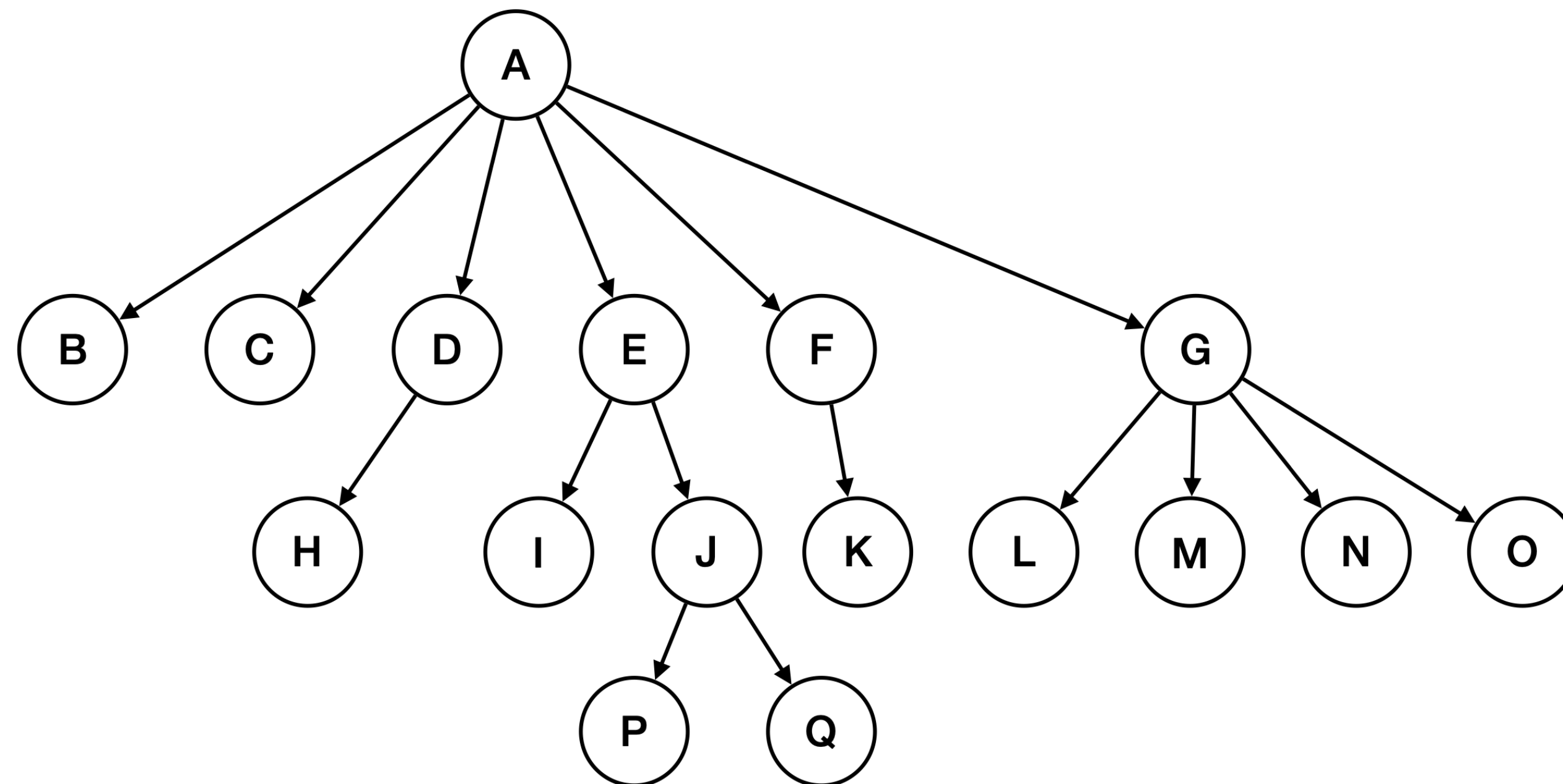




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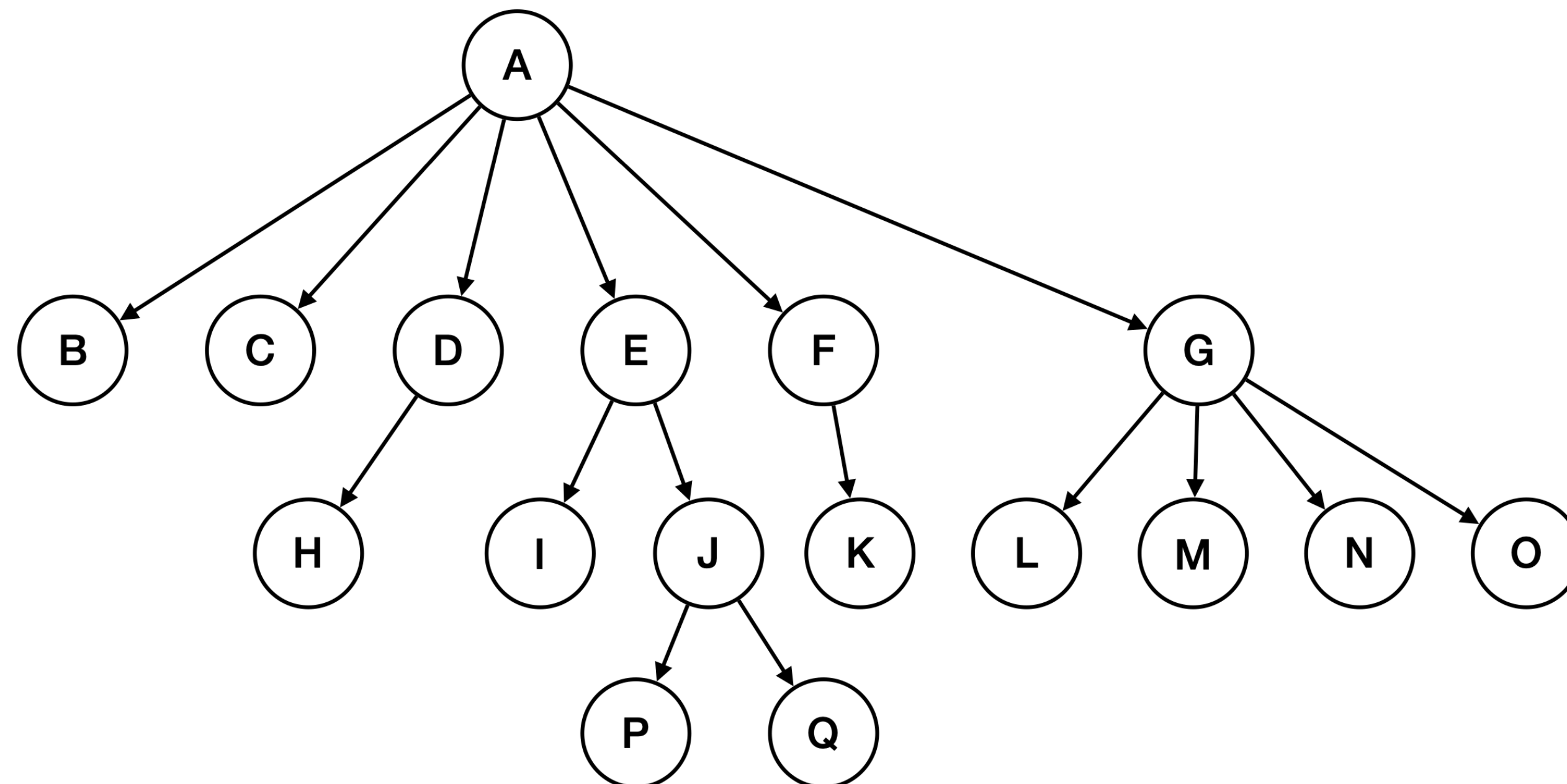
data		
ptr	ptr	...



# Trees

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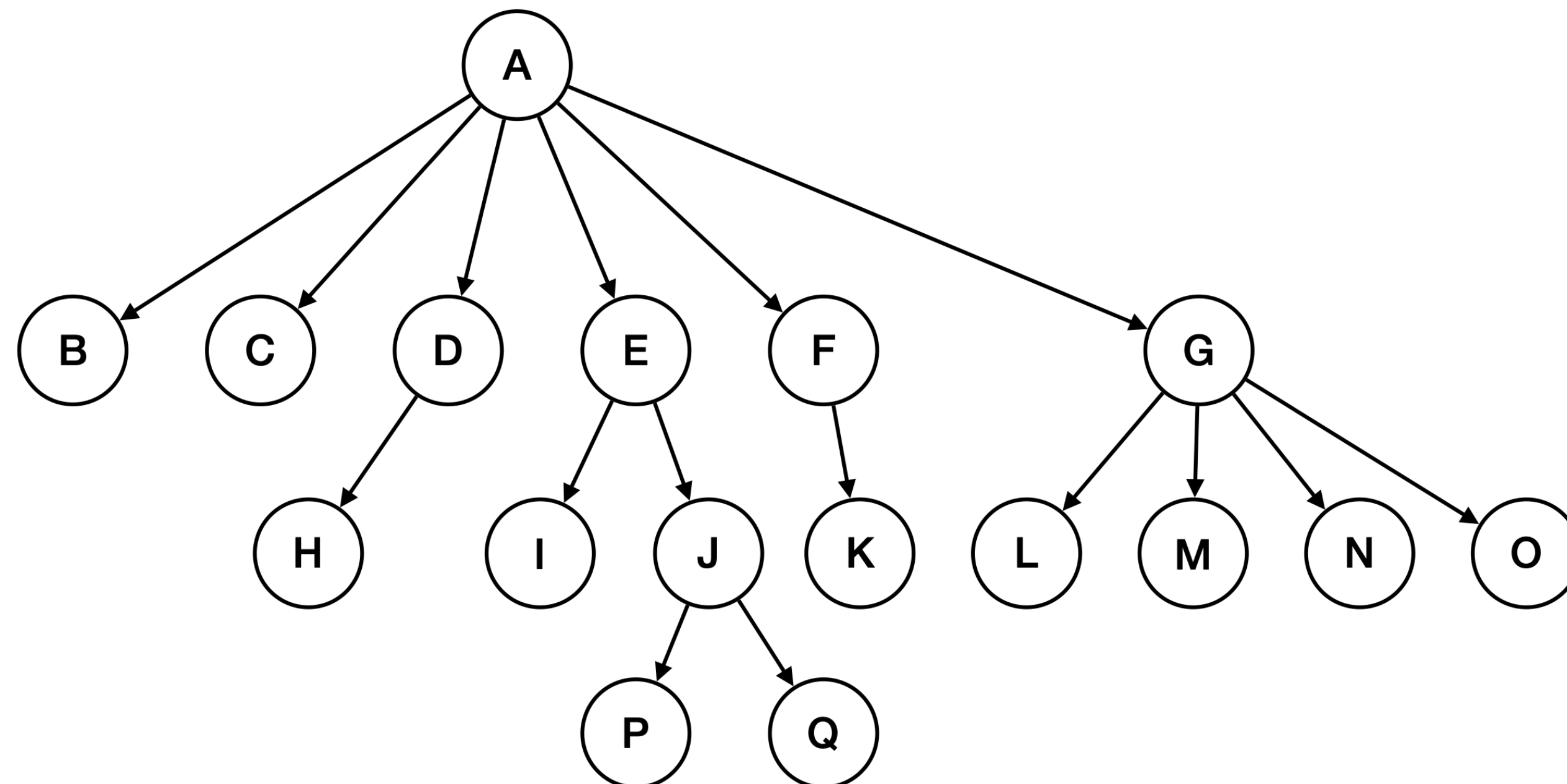
- A *tree* can be empty (NULL) or a node
  - where a node contains some data plus 1 *or more* pointers pointing to *trees*.



# Trees

- A non-empty tree has a root

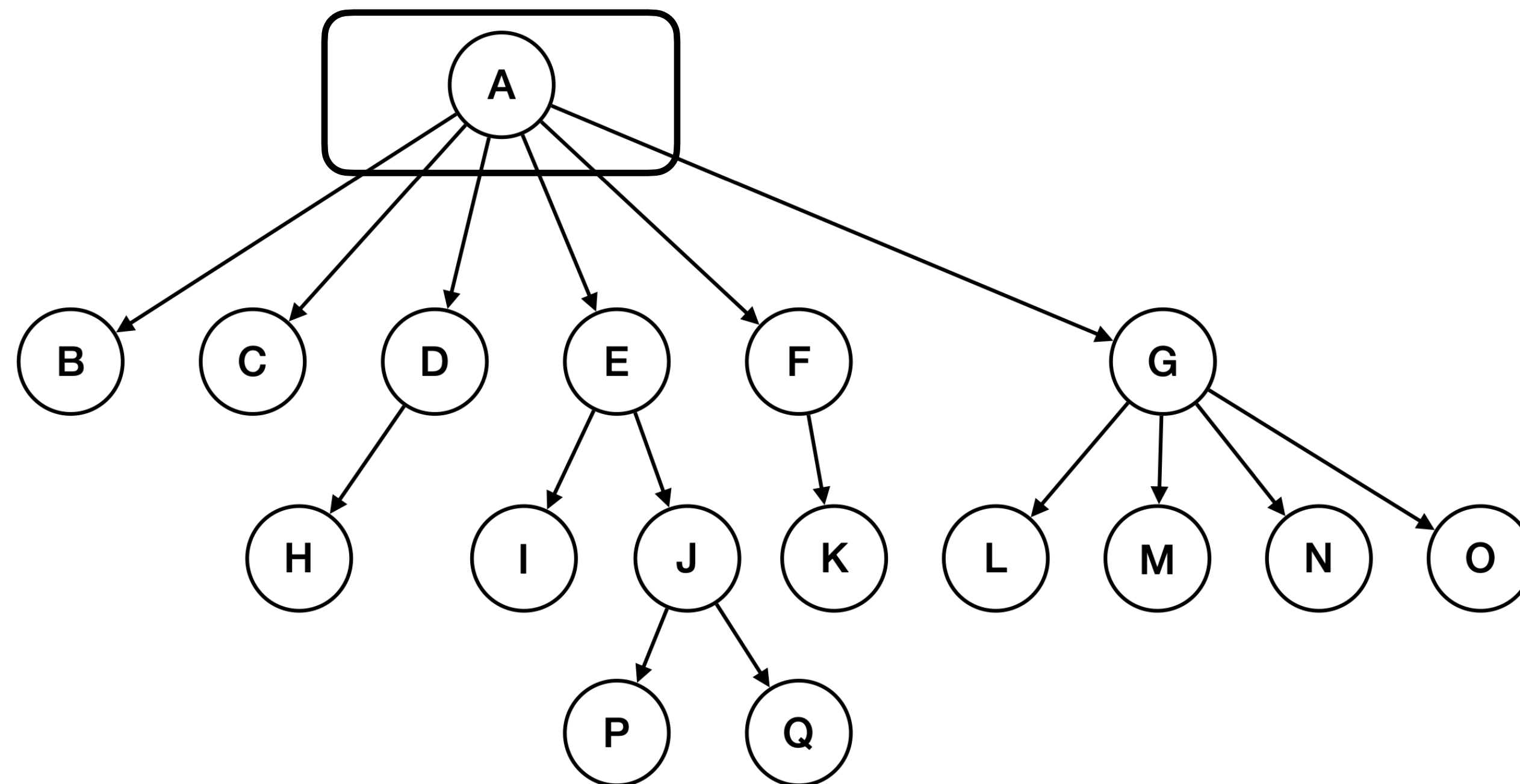
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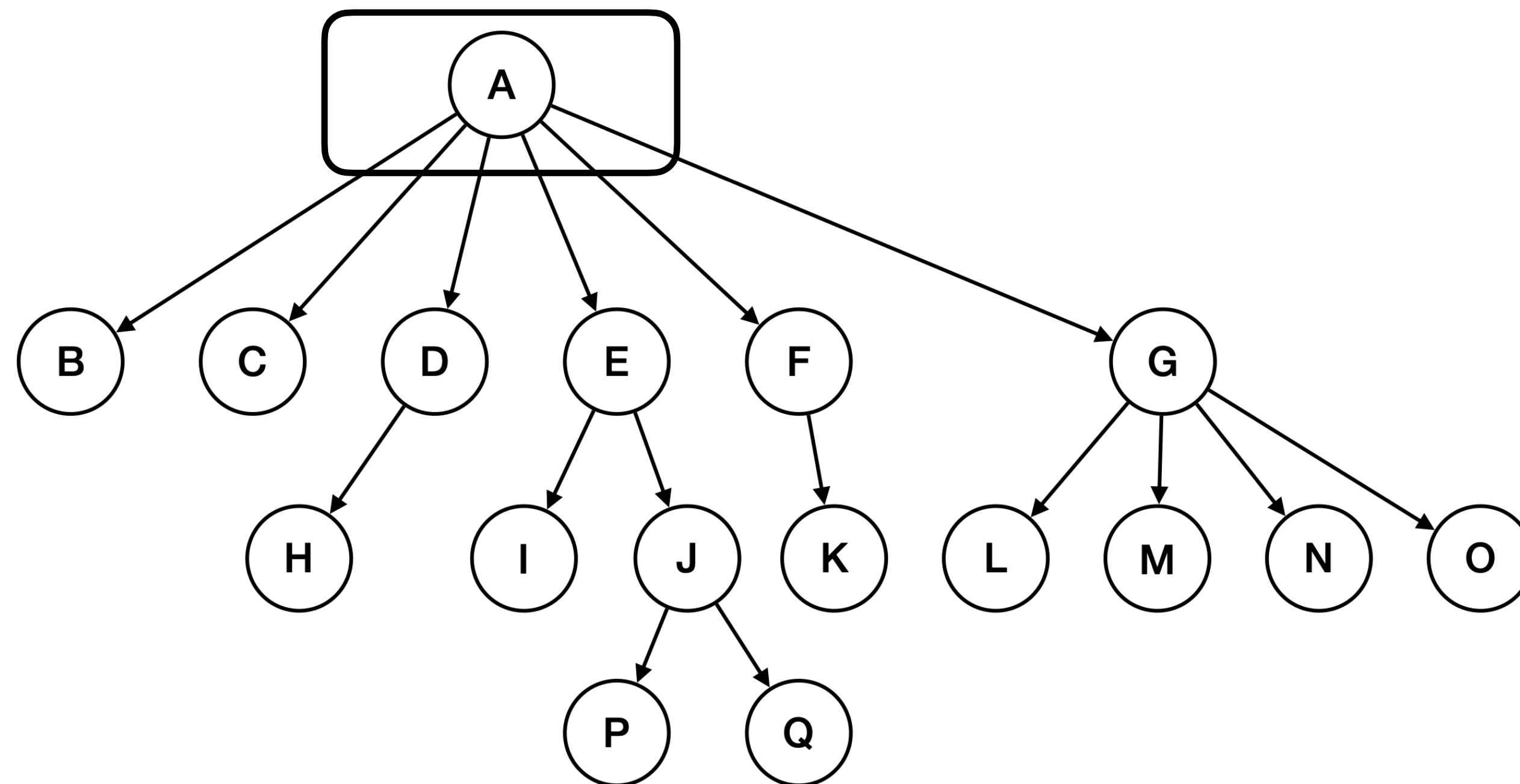
data		
ptr	ptr	...



# Trees

- A *parent* node points to multiple *child* nodes.

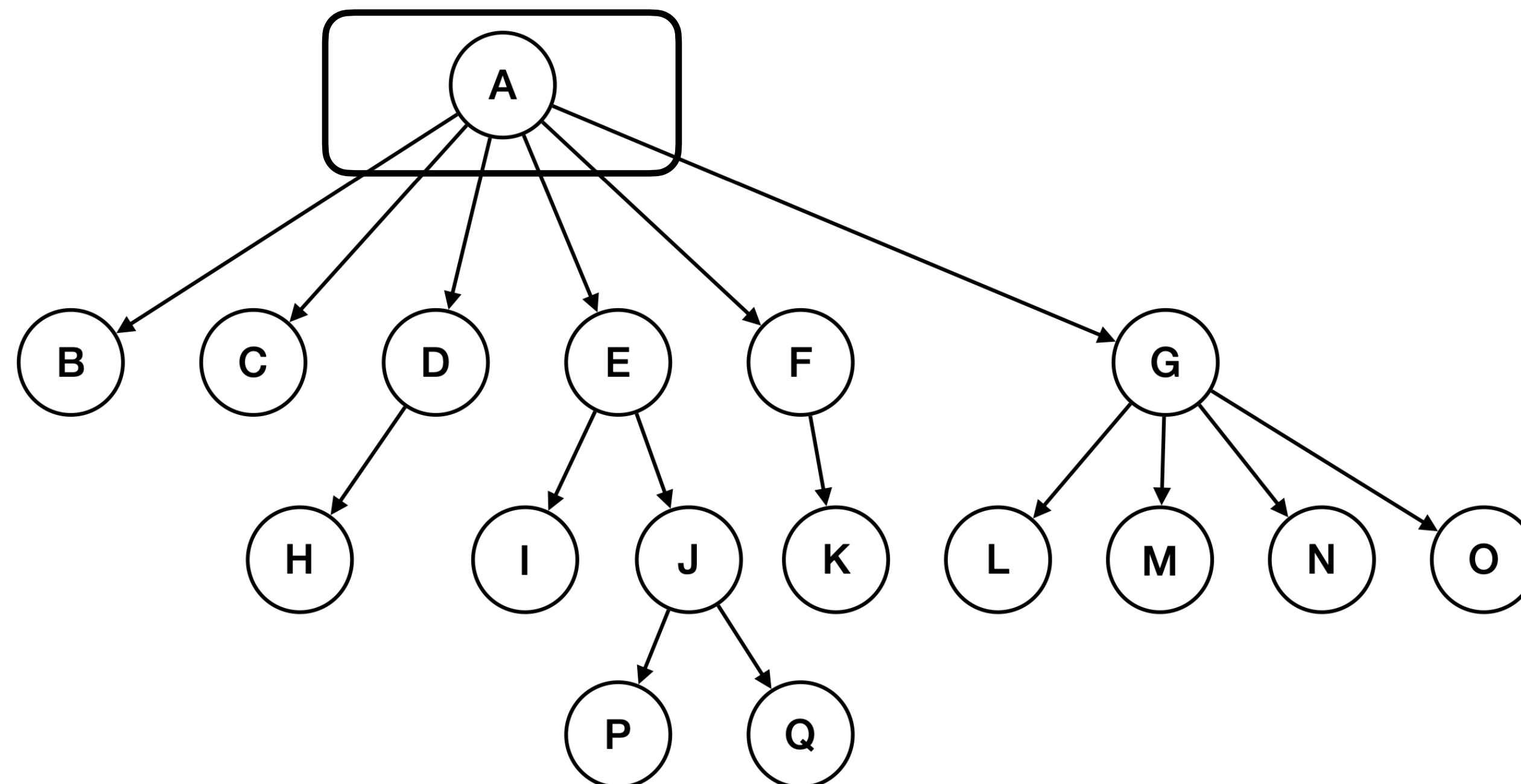
data		
ptr	ptr	...



# Trees

data		
ptr	ptr	...

- A *parent* node points to multiple *child* nodes.
- Every node has exactly one parent, except the root which has no parents.



# Trees

- A *tree* can be either
  - empty, *or*
  - a node contains some data plus 1 *or more* pointers pointing to *trees* (*subtrees*).
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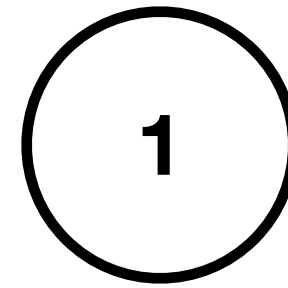
# Trees

```
struct tree_node {  
    void *elem;           /* the elem in this node */  
    struct tree_node *left; /* pointer to the left subtree */  
    struct tree_node *right; /* pointer to the right subtree */  
};
```

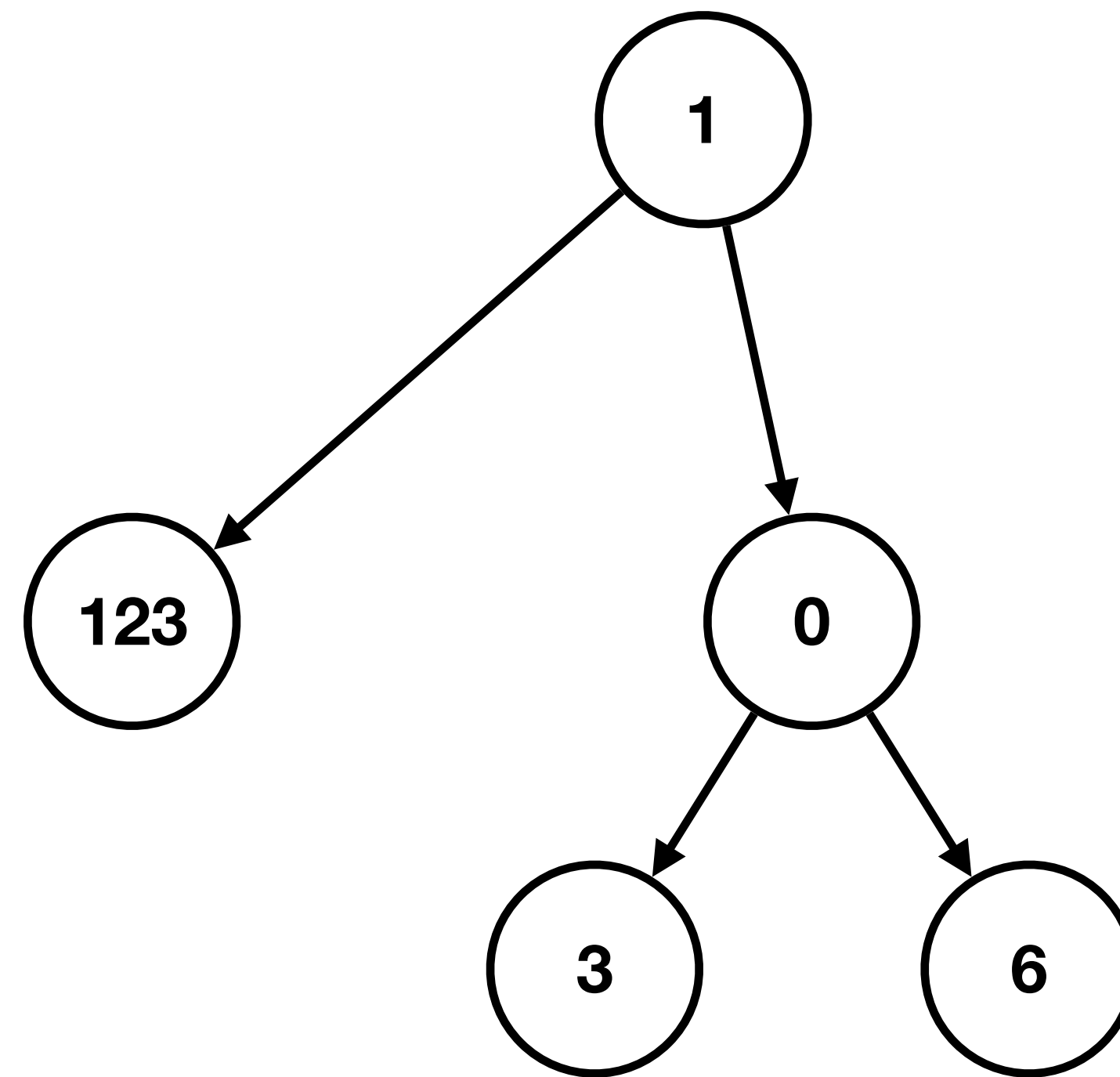
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```
struct llist {  
    void *elem;  
    struct llist *next;  
};
```

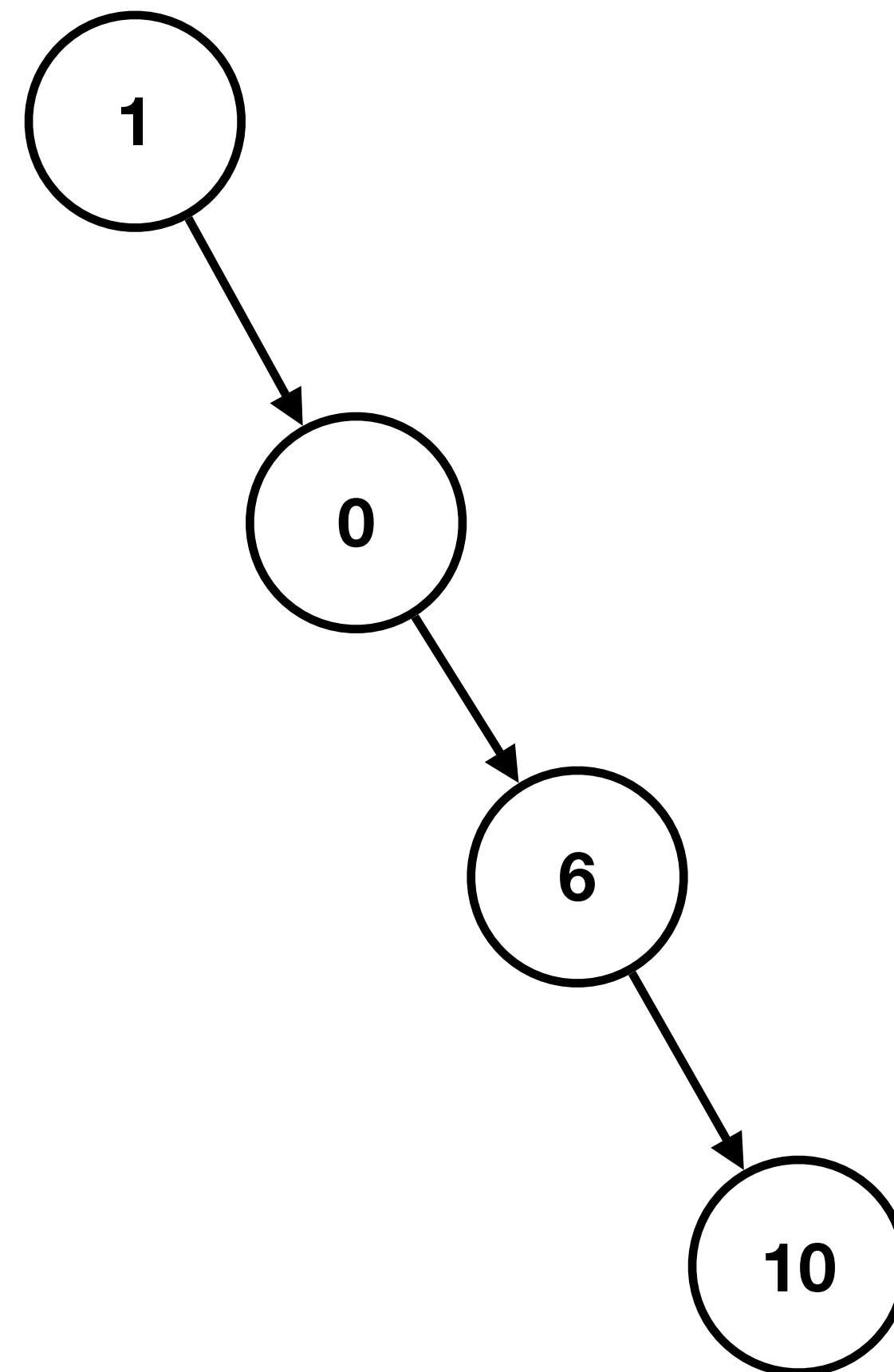
# Is this a tree?



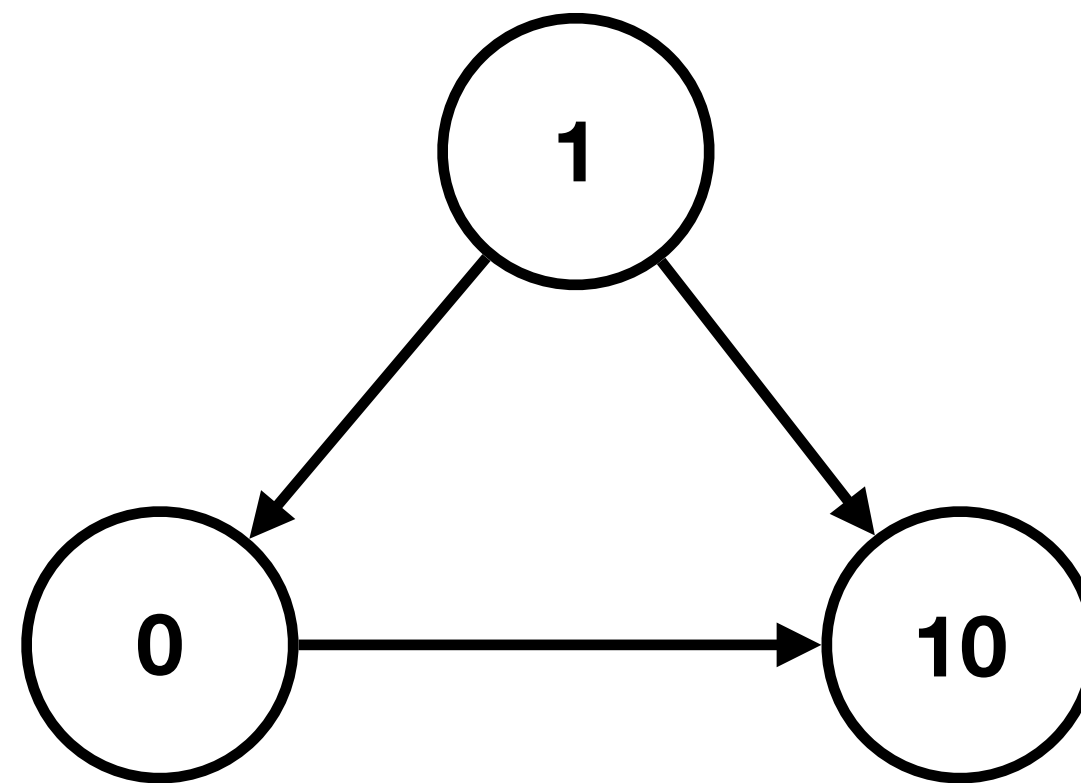
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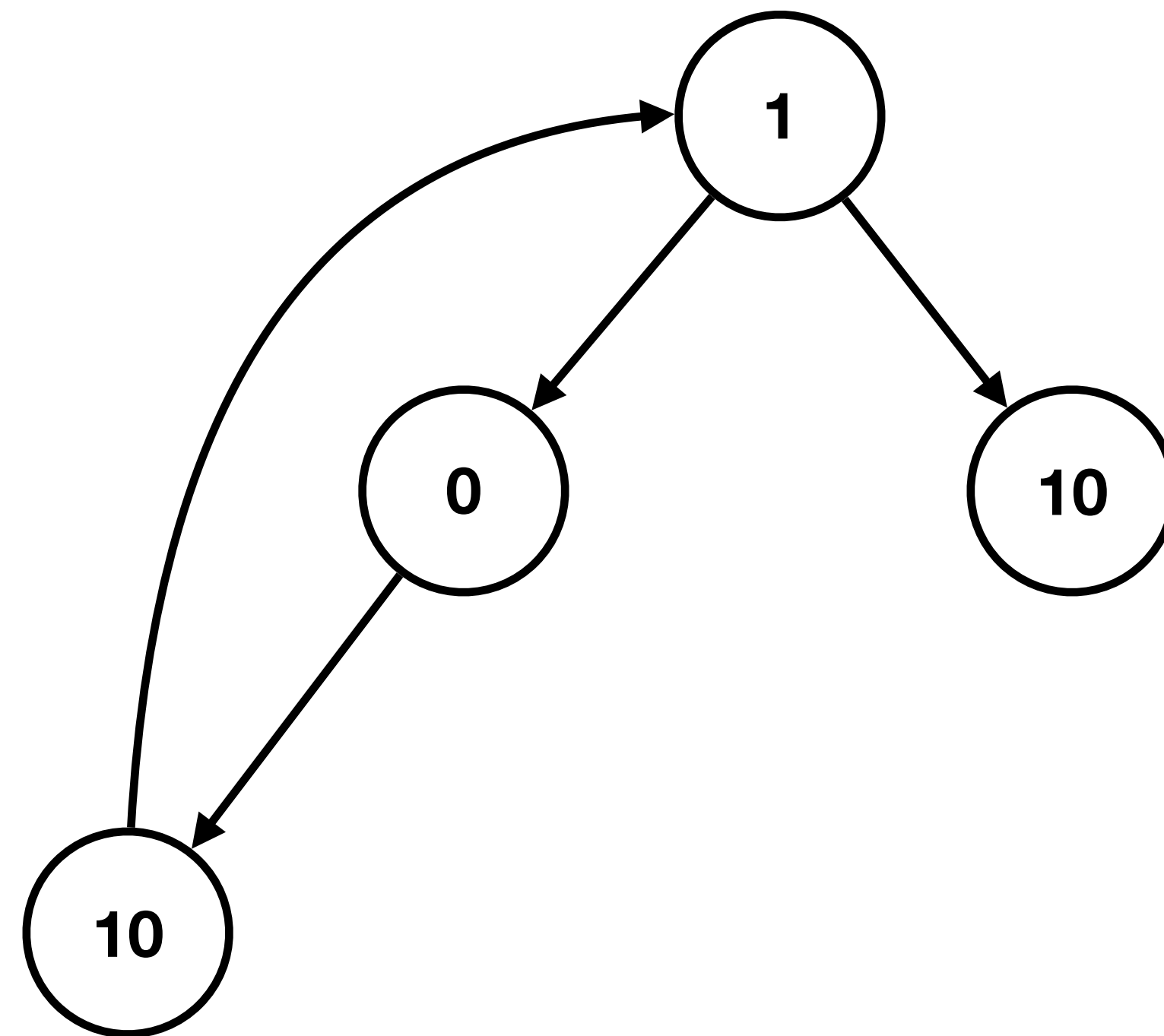
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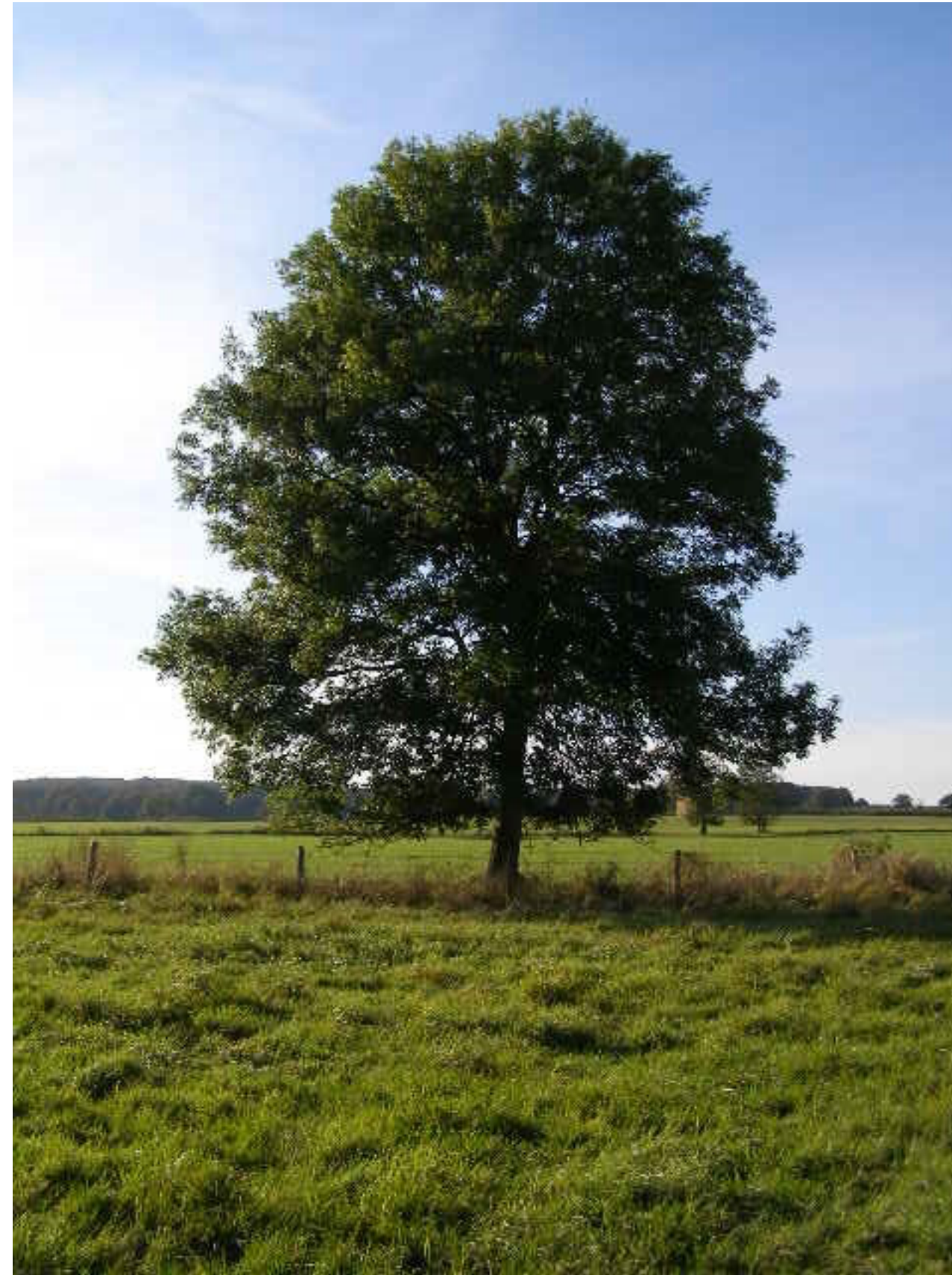
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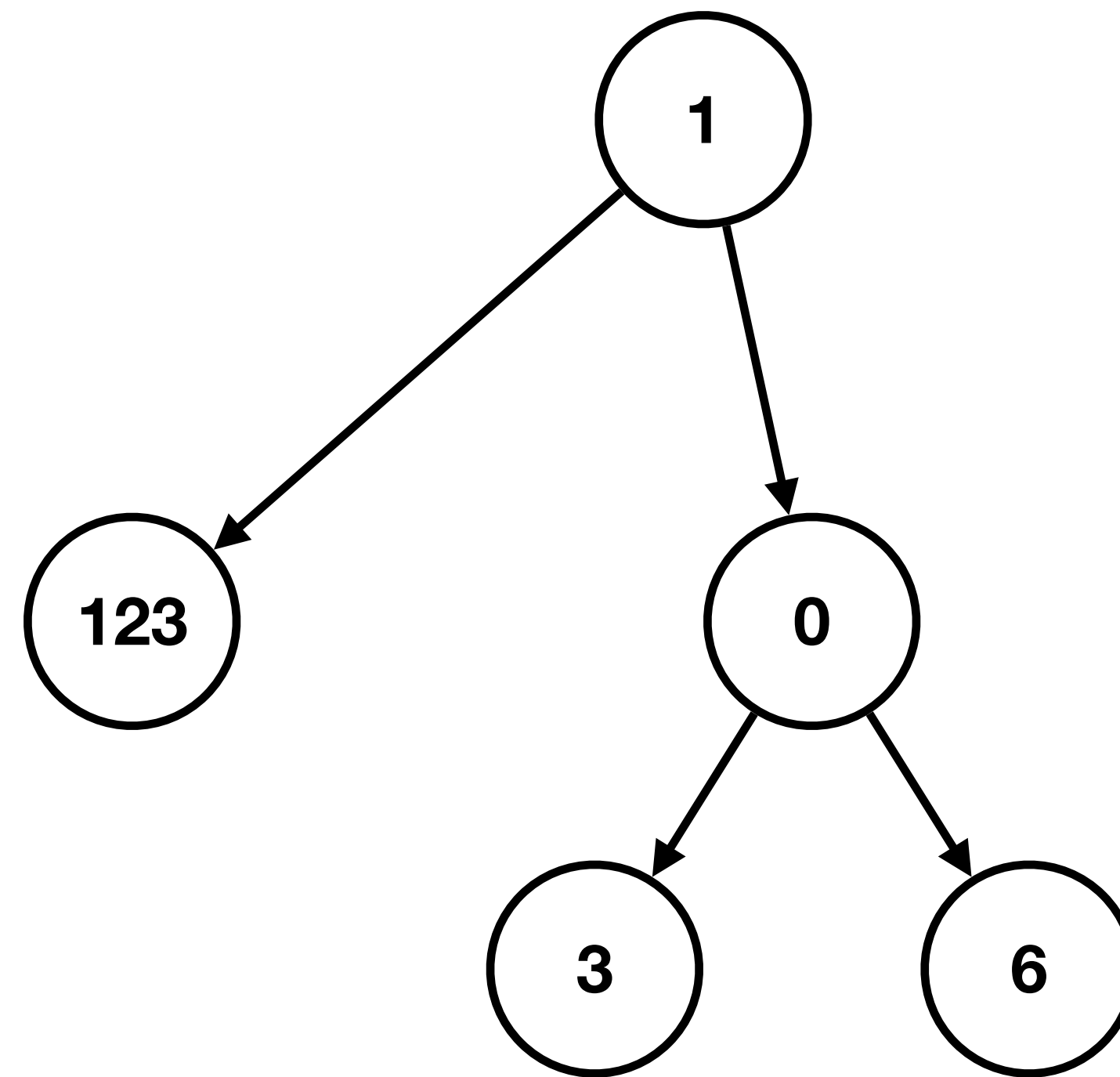


# Binary Tree

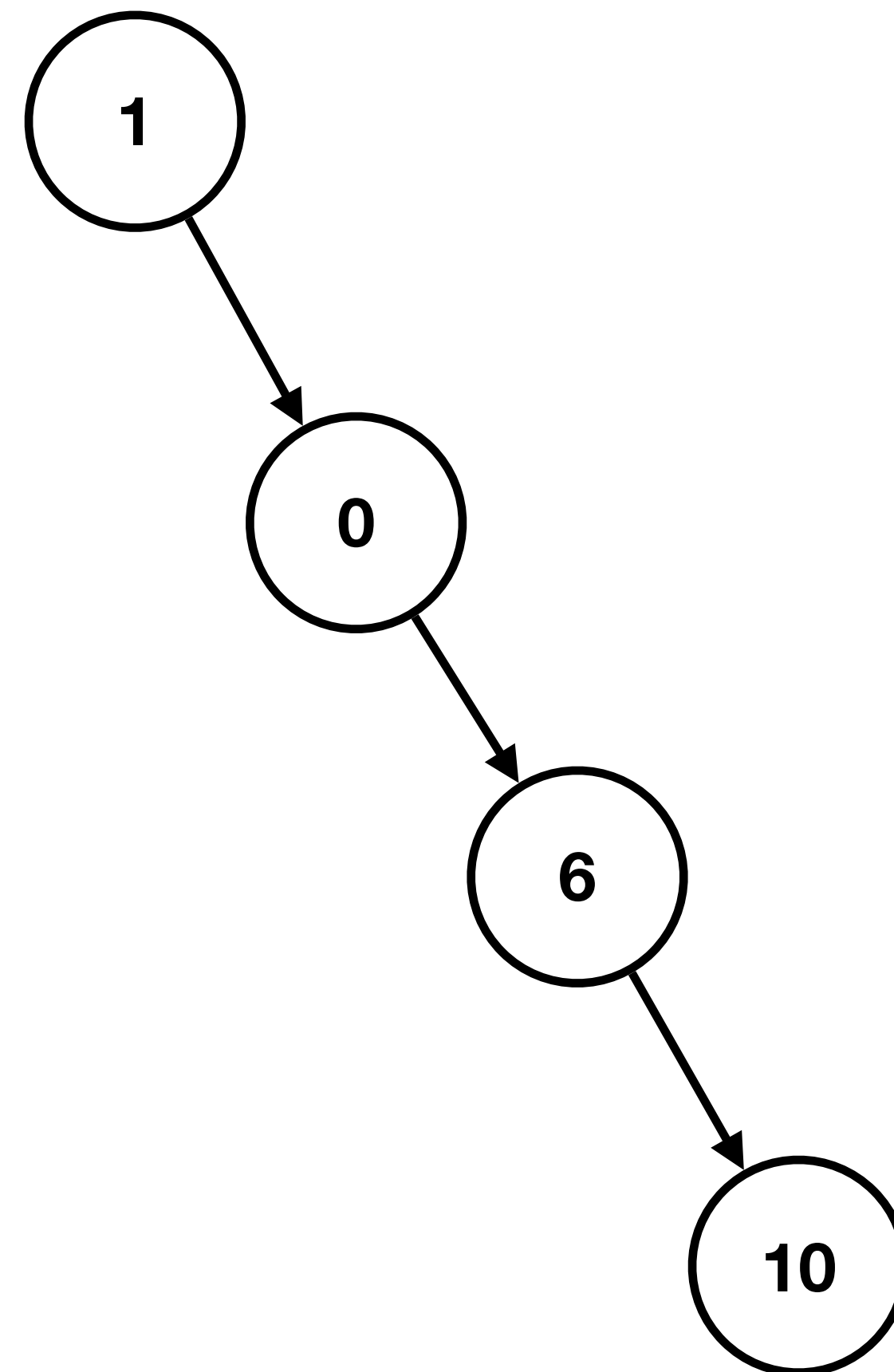
- A *tree* can be either
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- A *parent* node points to multiple *child* nodes.
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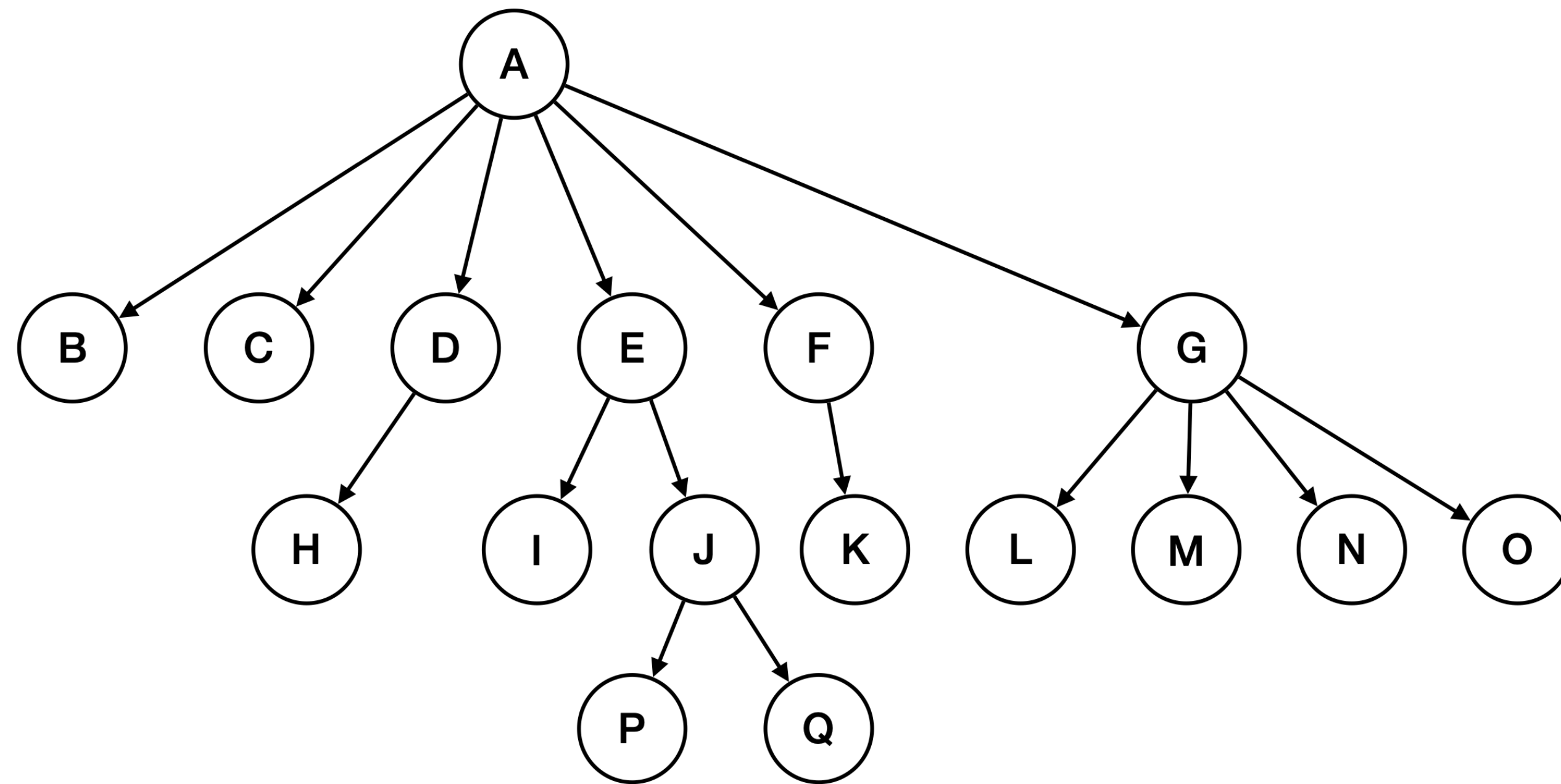
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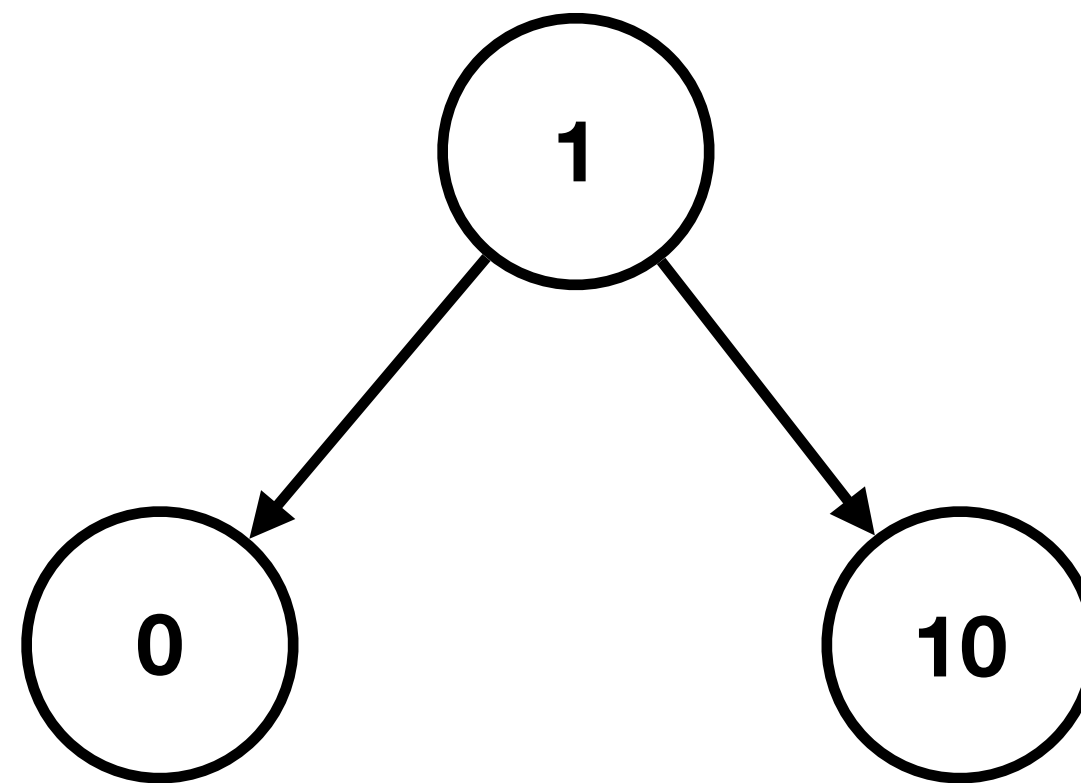
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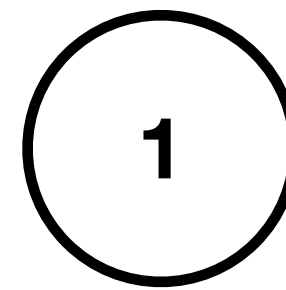
# Binary Search Tree

- A binary search tree is a binary tree where
- For a given node  $n$  with key  $k$ ,
  - All nodes with keys less than  $k$  are in  $n$ 's left subtree.
  - All nodes with keys greater than  $k$  are in  $n$ 's right subtree.

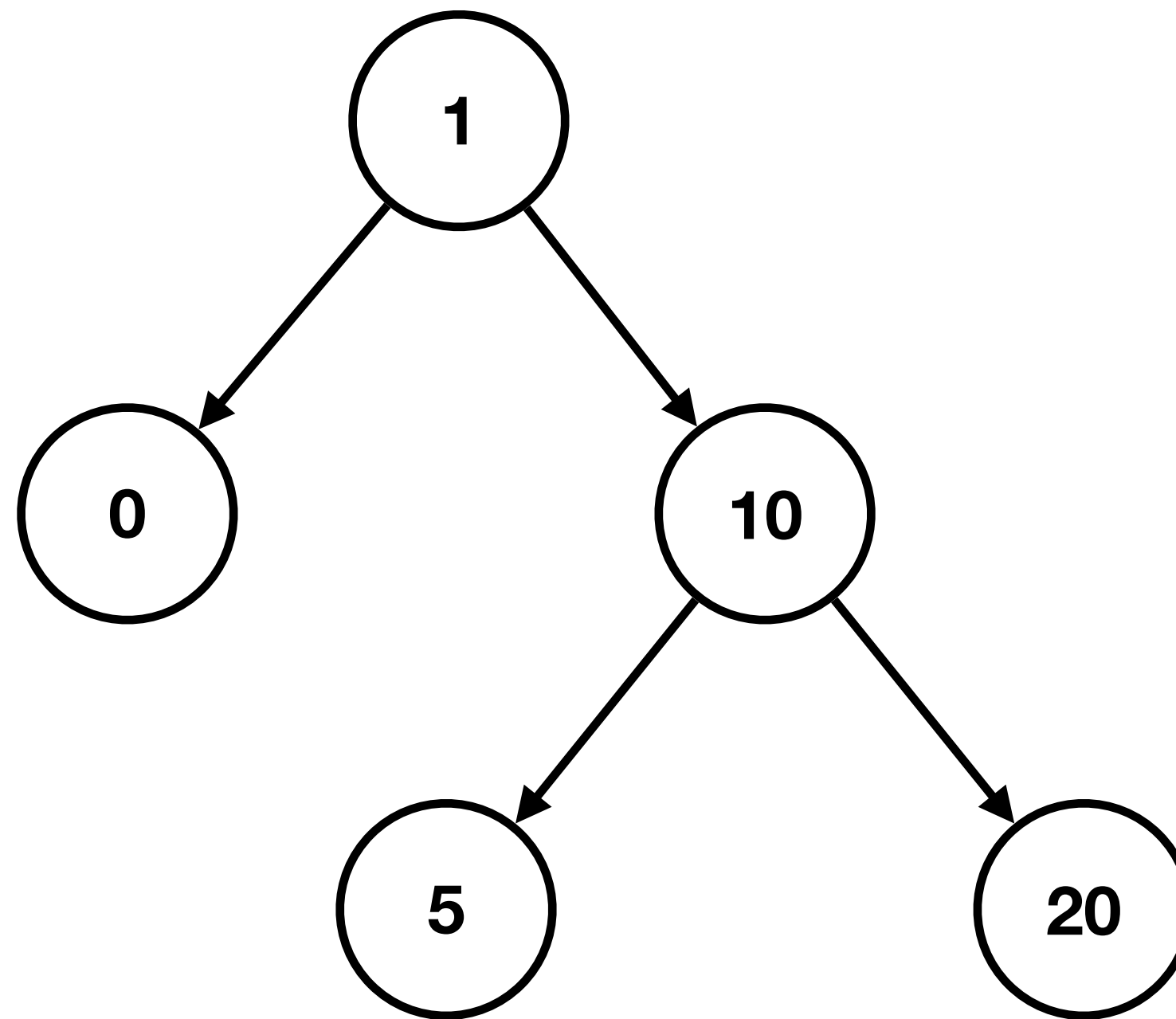
# Is this a BST?



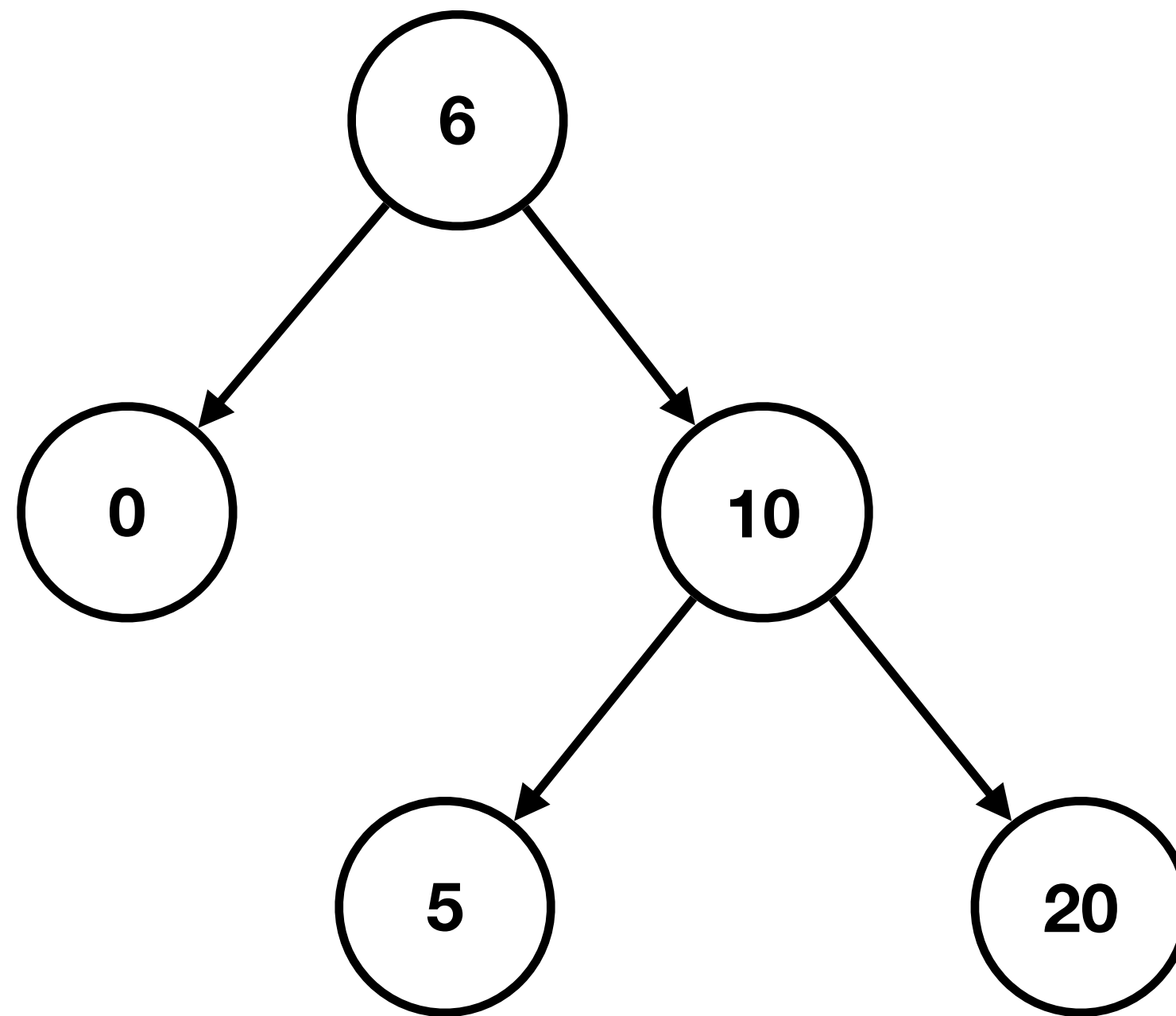
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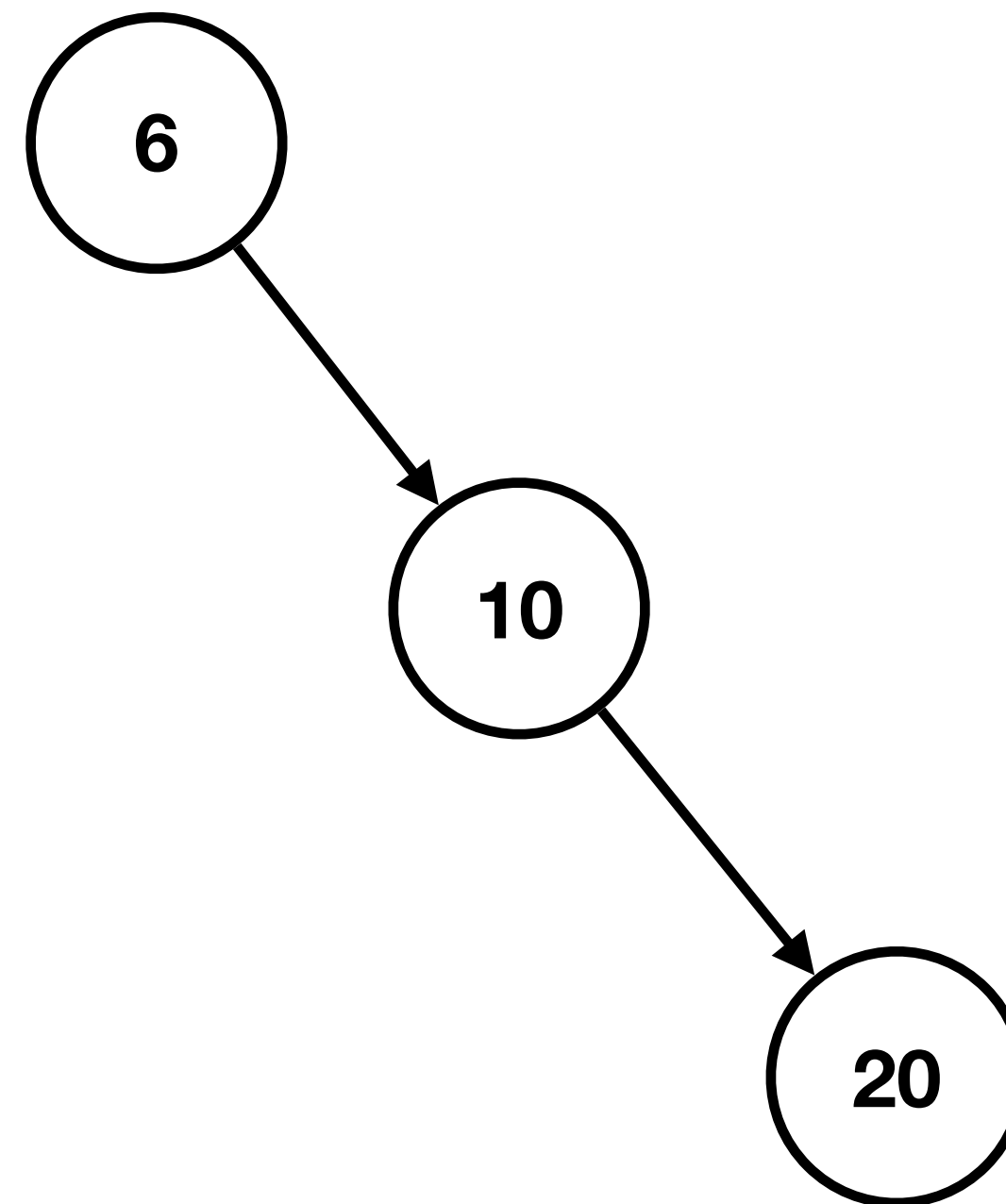


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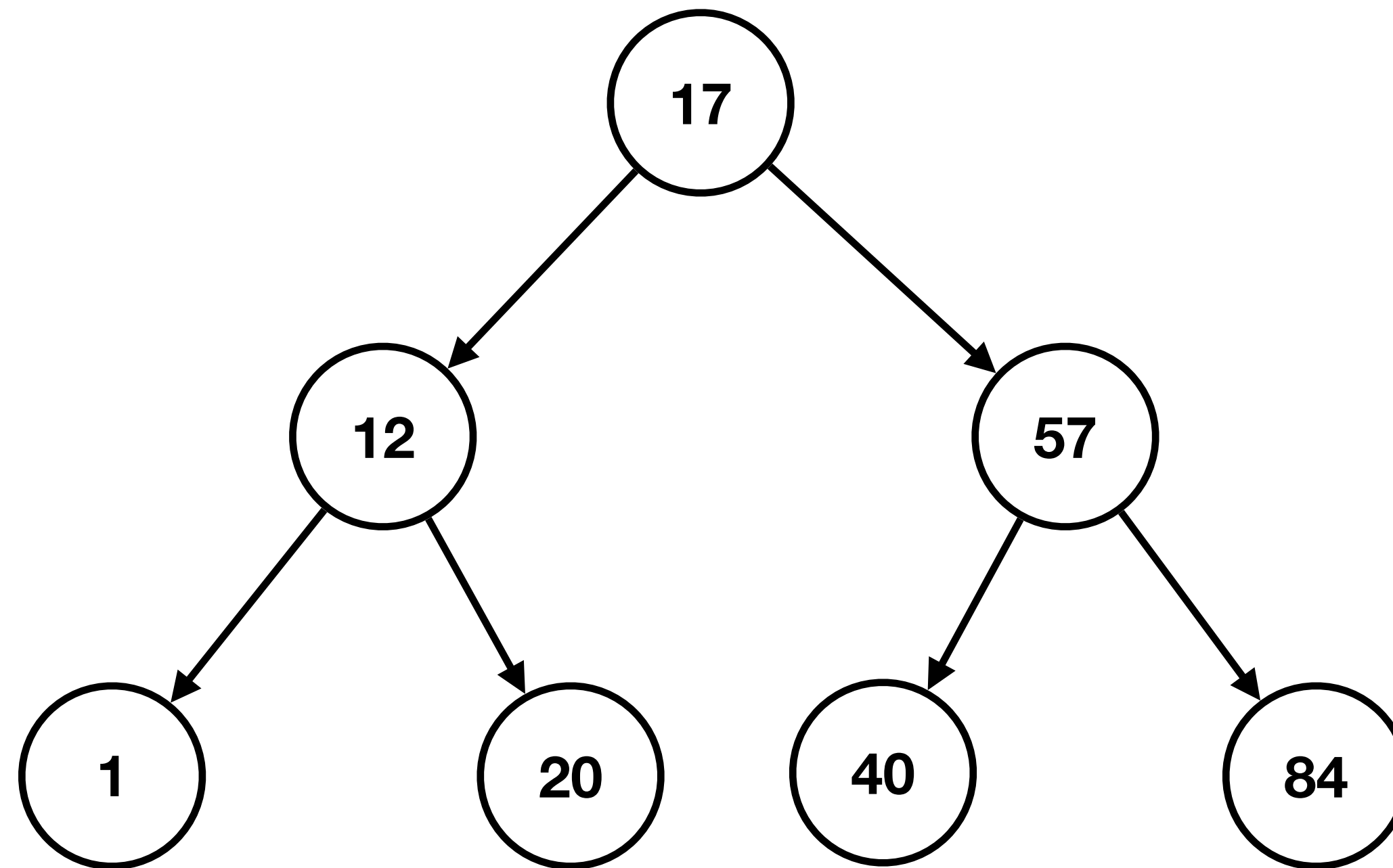




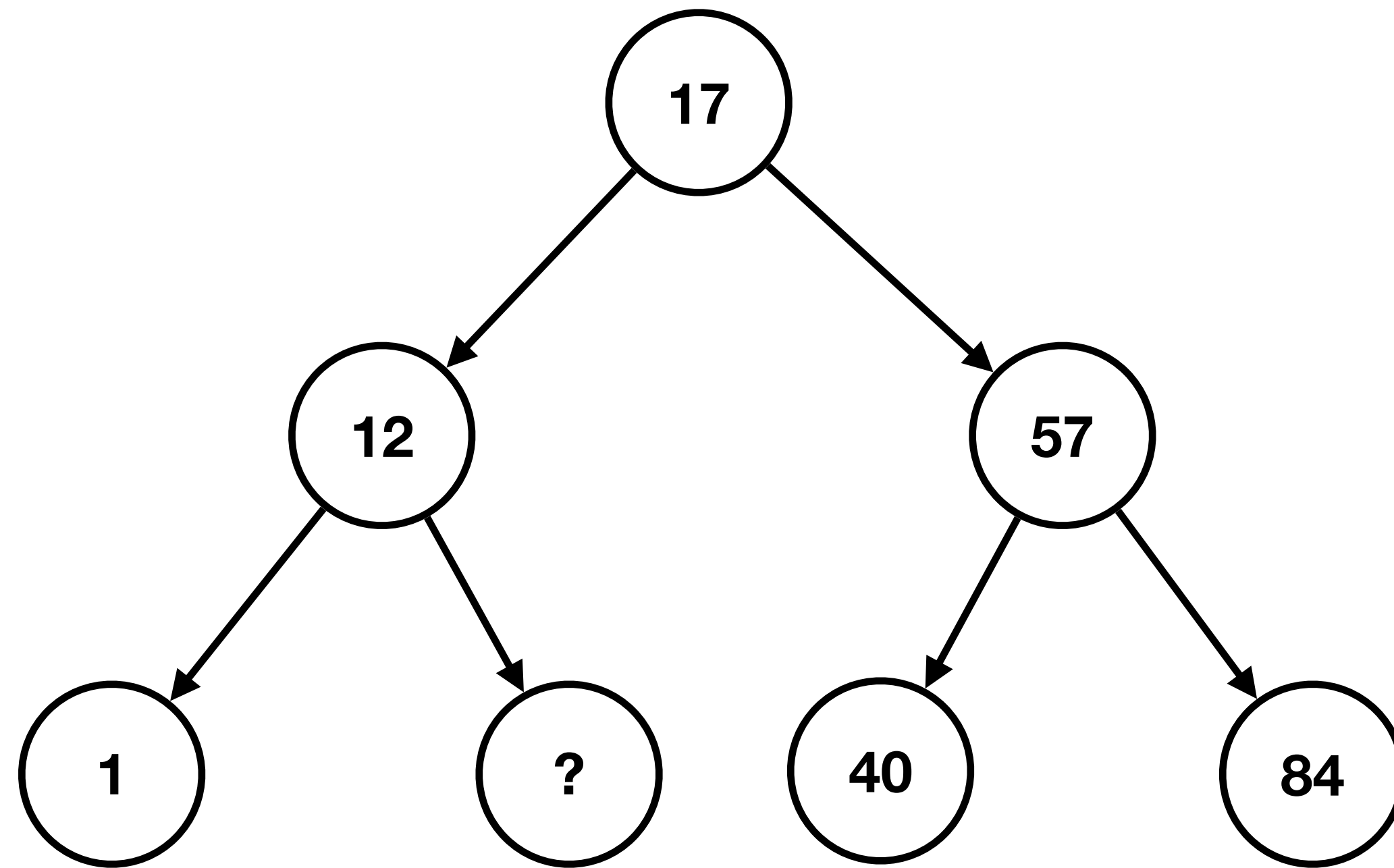
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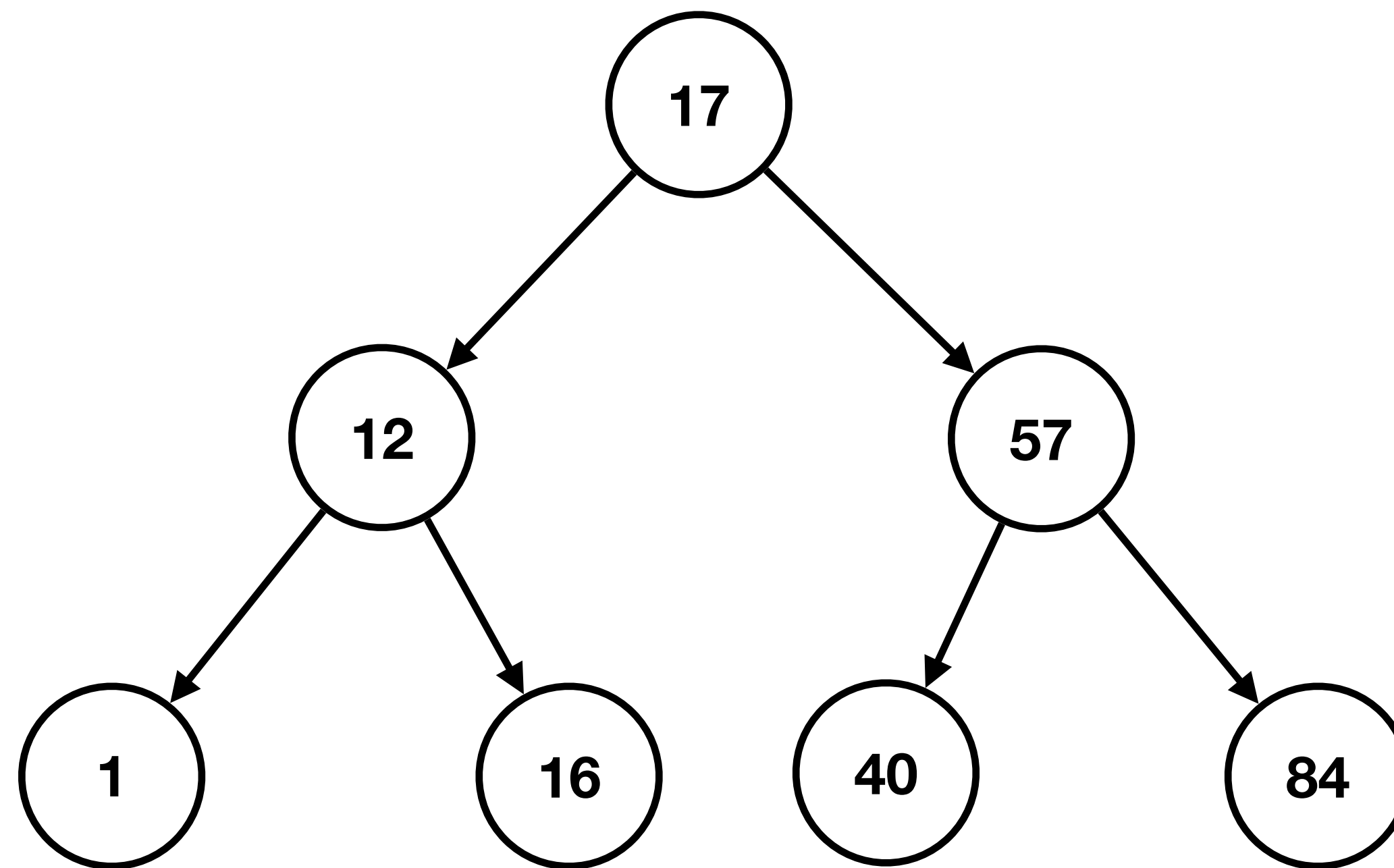


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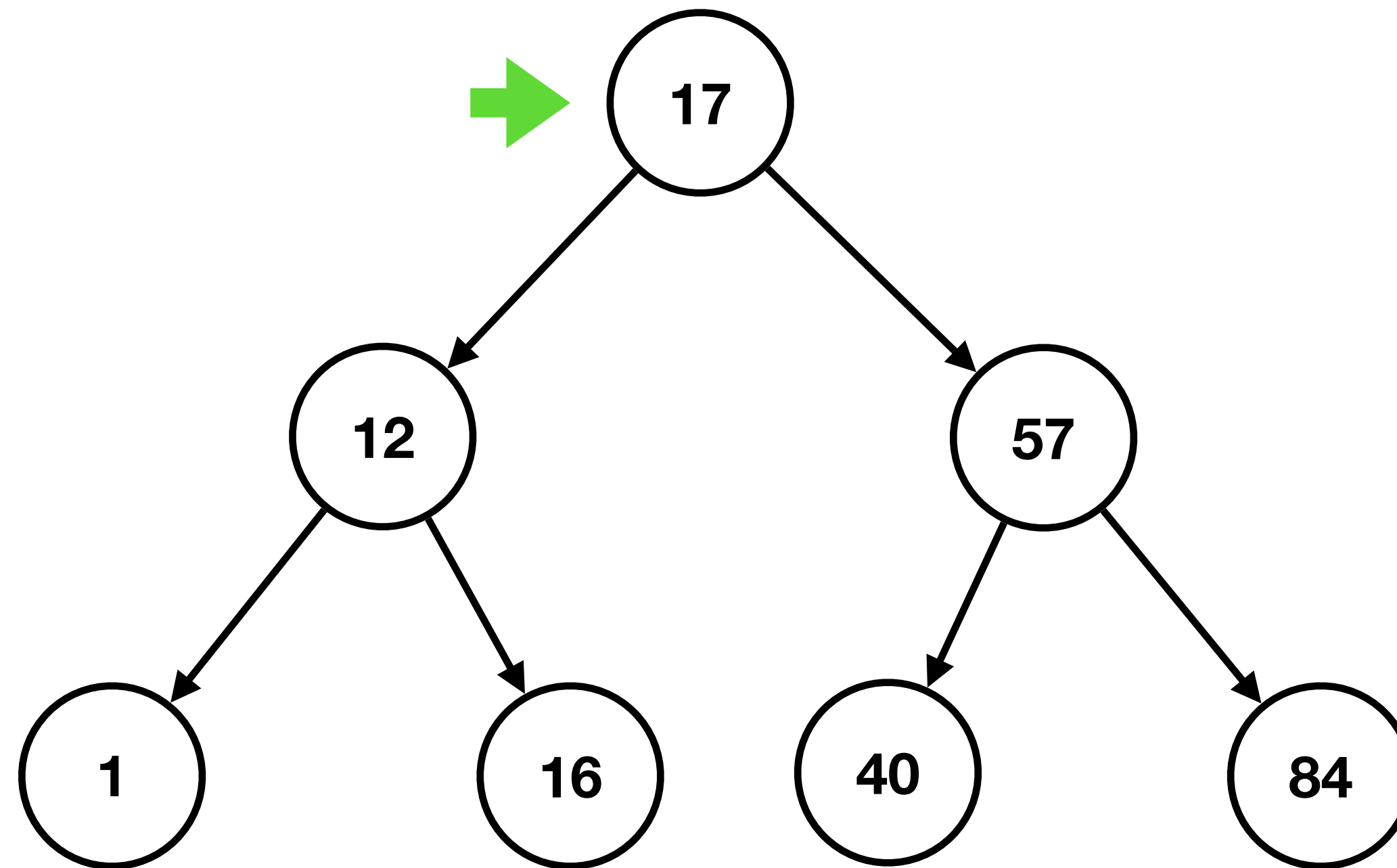
# BST

Look up 16



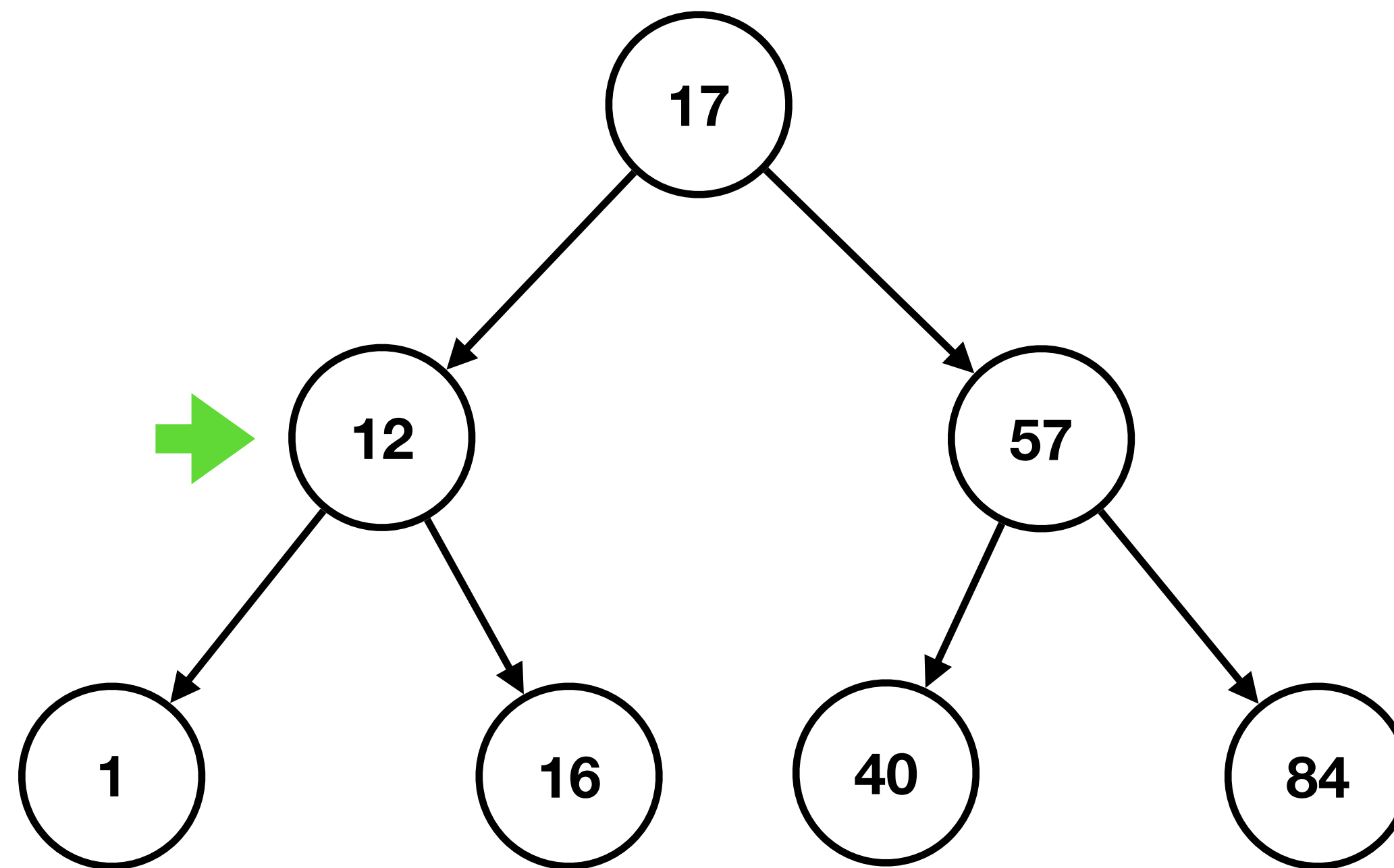
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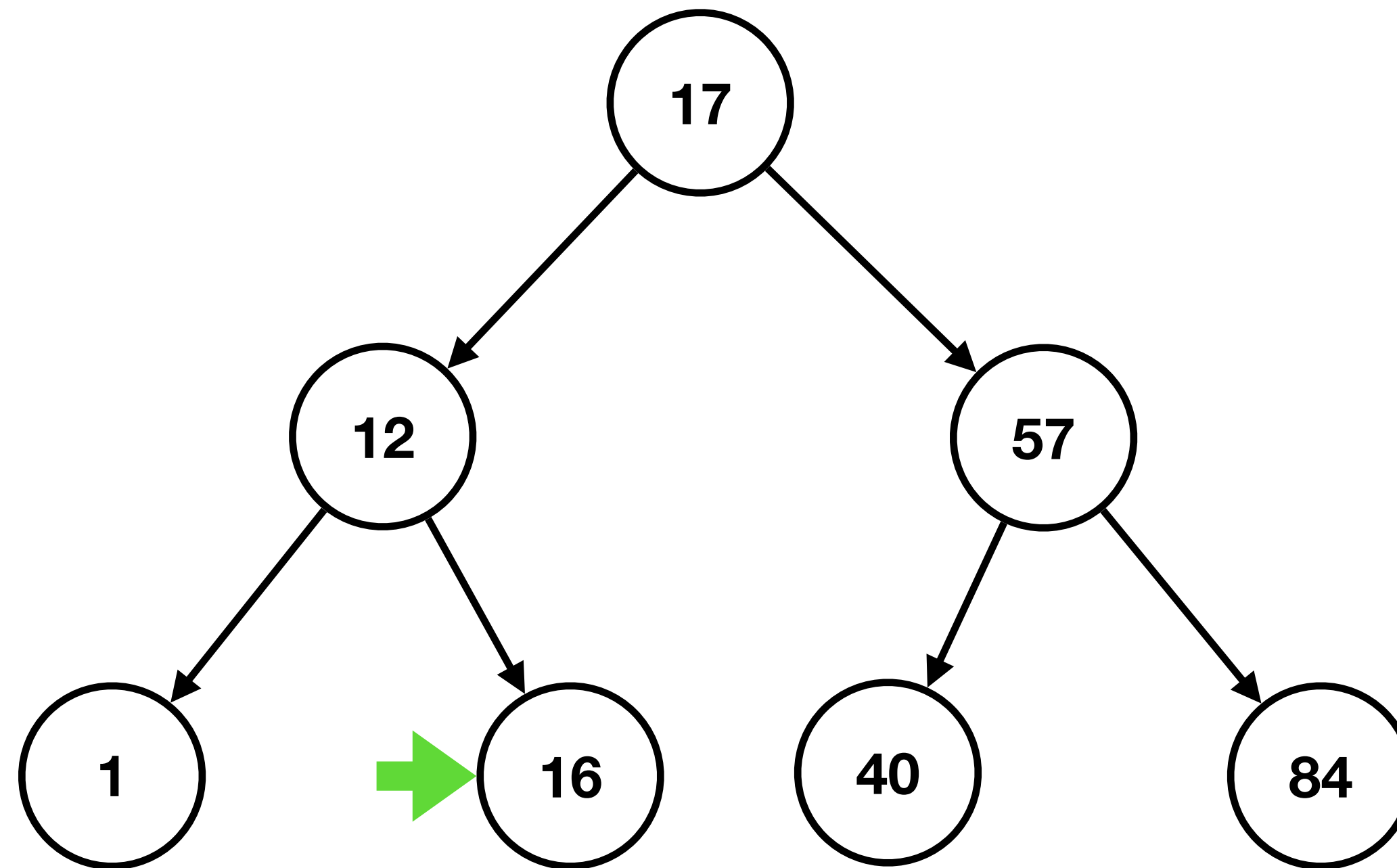
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# BST

## Look up

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- For a given node  $n$  with key  $k$ ,

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  - If  $k$  is what we want, return the data.

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  - If what we want  $< k$ , explore left

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# BST

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  - If  $k$  is what we want, return the data.
  - If what we want  $< k$ , explore left
  - If what we want  $> k$ , explore right
- Complexity?

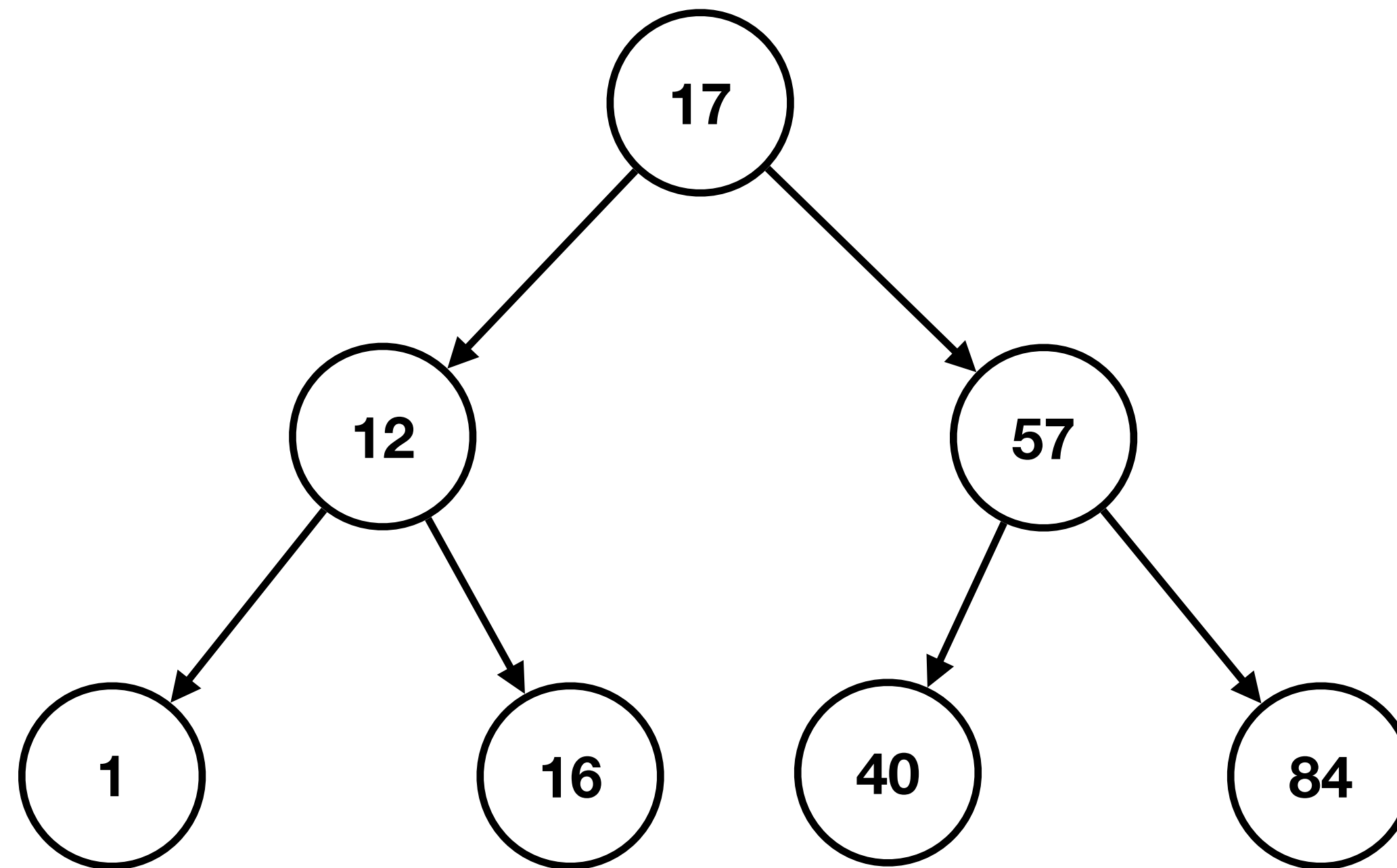
# BST

## Look up

- For a given node  $n$  with key  $k$ ,
  - If  $k$  is what we want, return the data.
  - If what we want  $< k$ , explore left
  - If what we want  $> k$ , explore right
- Complexity?
  - $O(\text{height})$

# BST

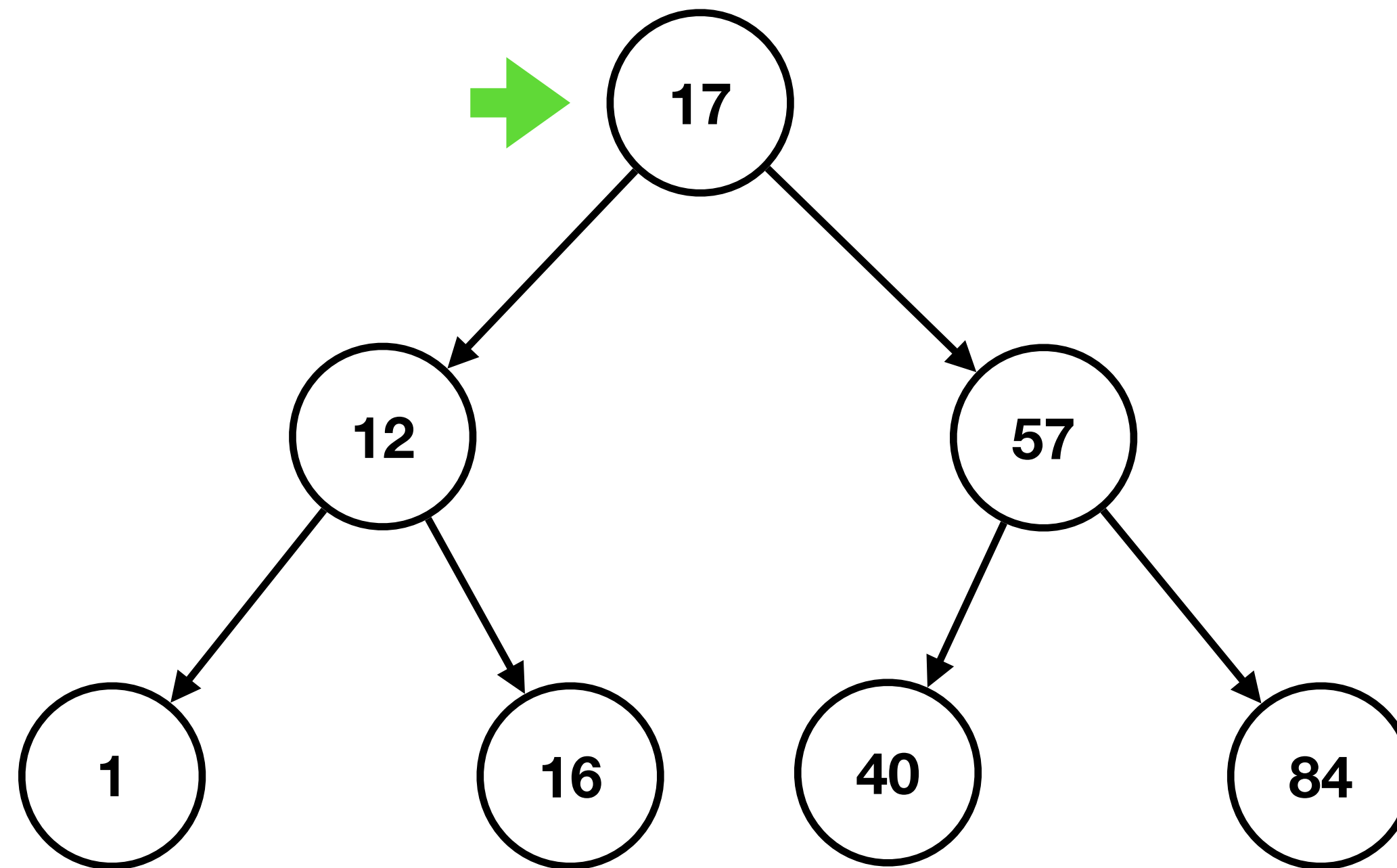
## Insert 18





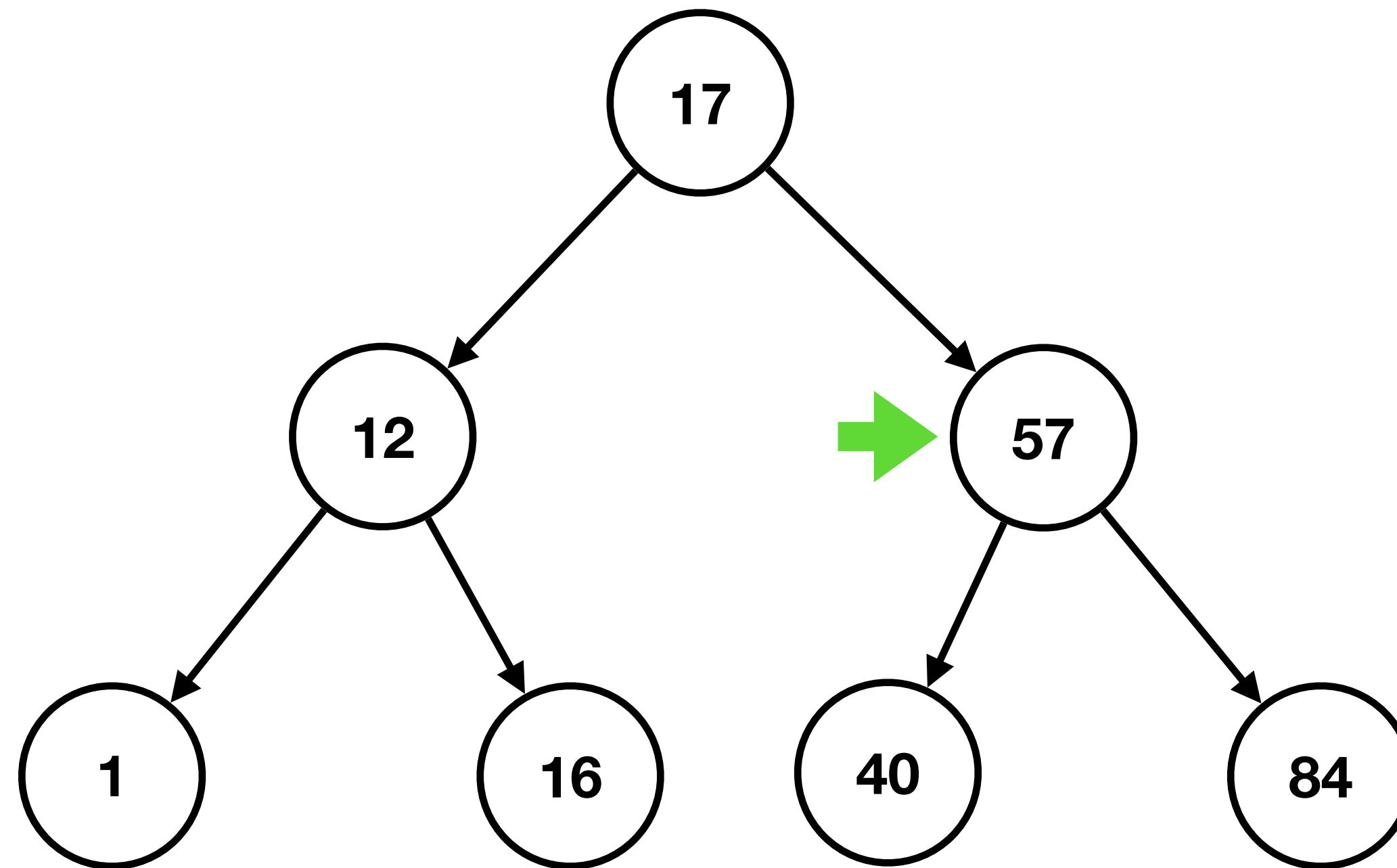
# BST

## Insert 18



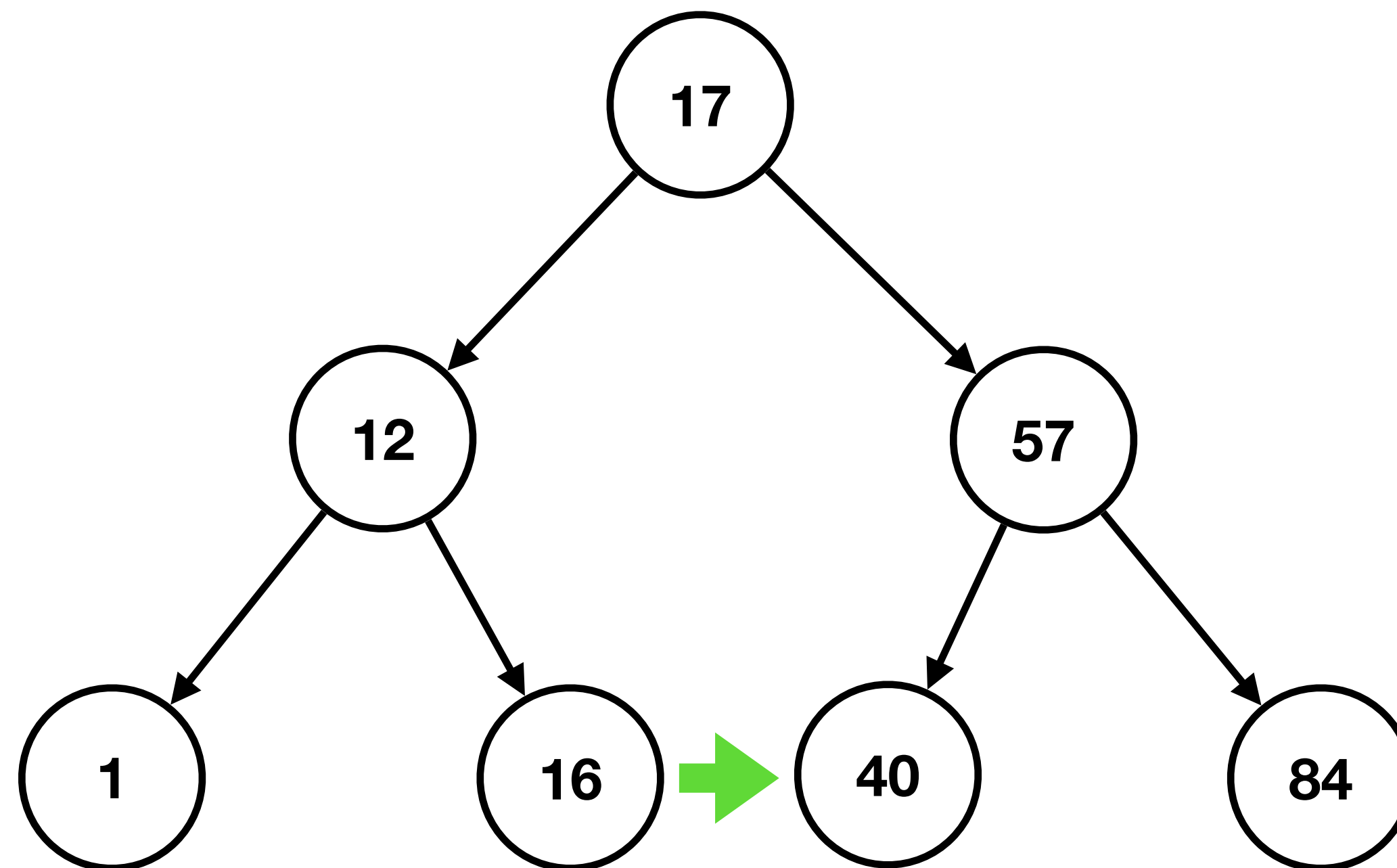
# BST

## Insert 18



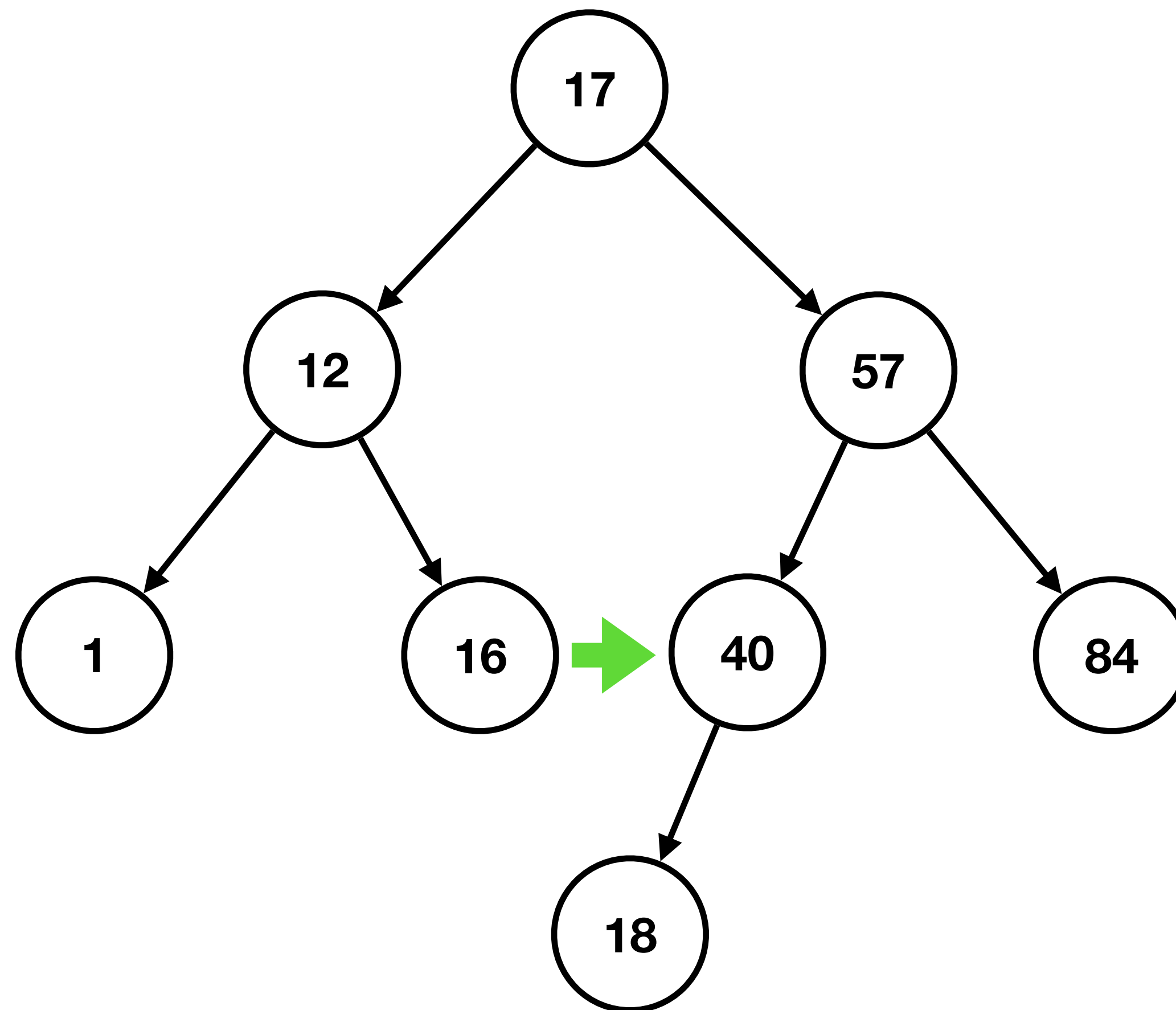
# BST

## Insert 18



# BST

## Insert 18



# BST

## Insert

# BST

## Insert

- Tree is empty: Make new node, set it as root

# BST

## Insert

- Tree is empty: Make new node, set it as root
- If  $\text{item} < \text{key}$ , insert left

# BST

## Insert

- Tree is empty: Make new node, set it as root
- If  $\text{item} < \text{key}$ , insert left
- If  $\text{item} > \text{key}$ , insert right



# BST

## Insert

- Tree is empty: Make new node, set it as root
- If  $\text{item} < \text{key}$ , insert left
- If  $\text{item} > \text{key}$ , insert right
- if  $\text{item} == \text{key}$ , replace the node

# BST

## Insert

- Tree is empty: Make new node, set it as root
- If  $\text{item} < \text{key}$ , insert left
- If  $\text{item} > \text{key}$ , insert right
- if  $\text{item} == \text{key}$ , replace the node
- Complexity?

# BST

## Insert

- Tree is empty: Make new node, set it as root
- If item < key, insert left
- If item > key, insert right
- if item == key, replace the node
- Complexity?
  1. Find correct spot in tree to insert  $O(\text{height})$

# BST

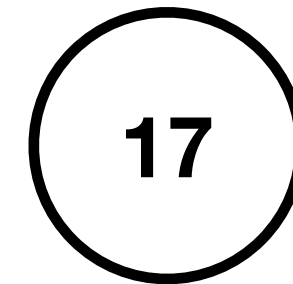
## Insert

- Tree is empty: Make new node, set it as root
- If item < key, insert left
- If item > key, insert right
- if item == key, replace the node
- Complexity?
  1. Find correct spot in tree to insert  $O(\text{height})$
  2. Create a new node and return pointer  $O(1)$

# BST

## Height

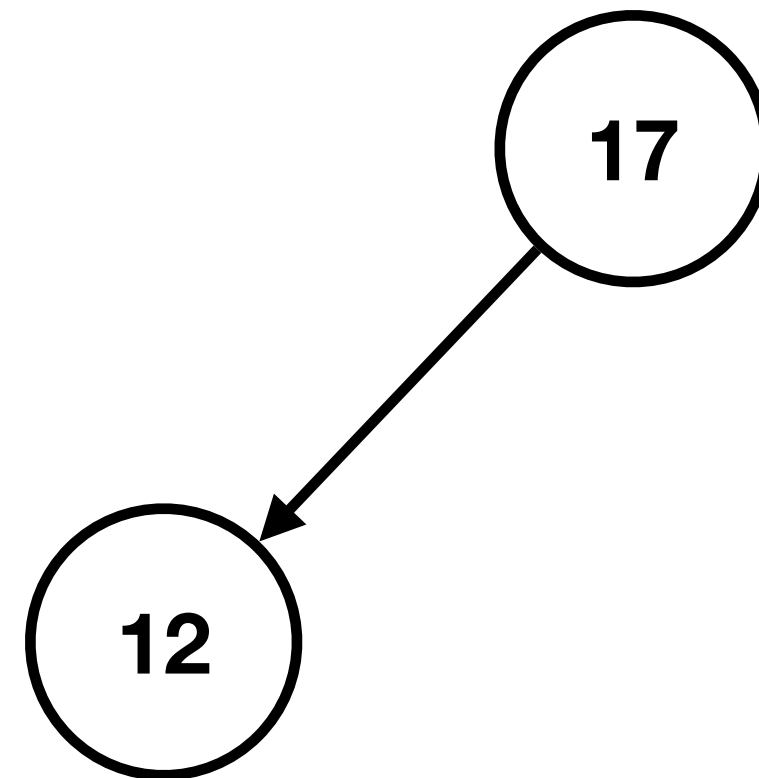
- Insert: 17, 12, 57, 1, 16, 40, 84



# BST

## Height

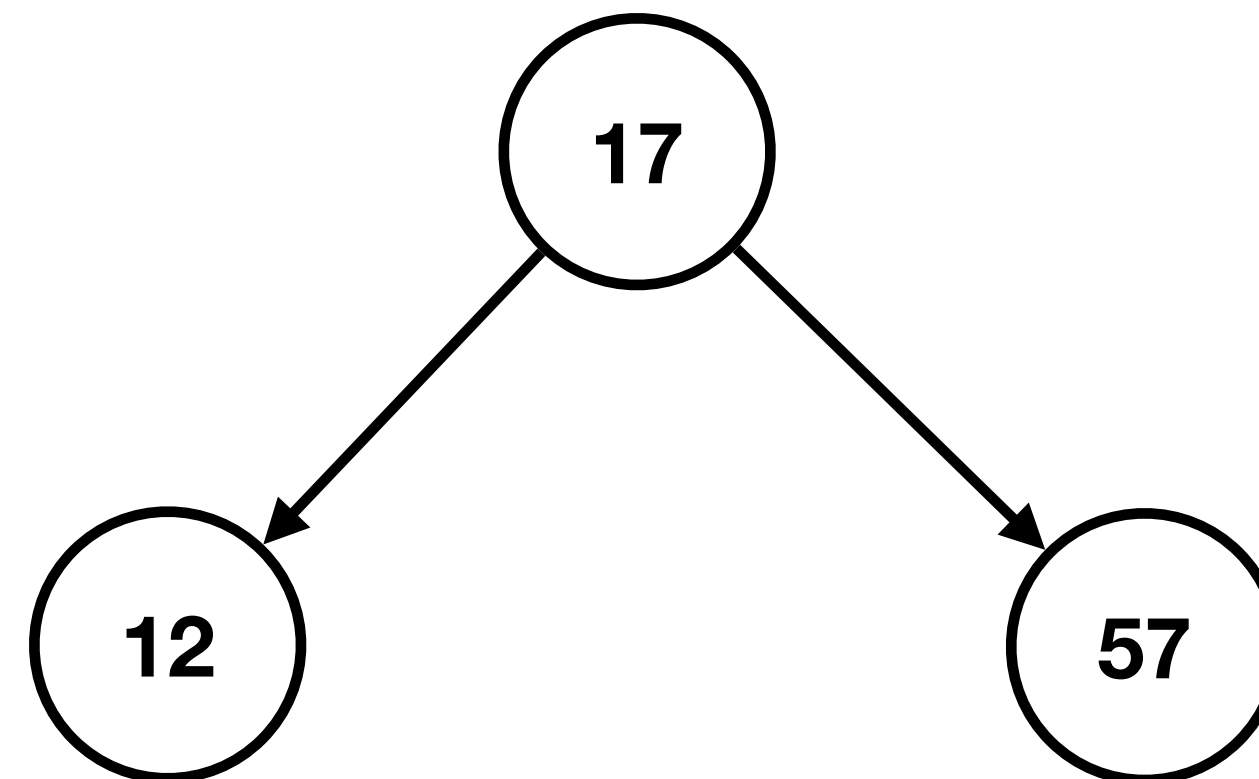
- Insert: 17, 12, 57, 1, 16, 40, 84



# BST

## Height

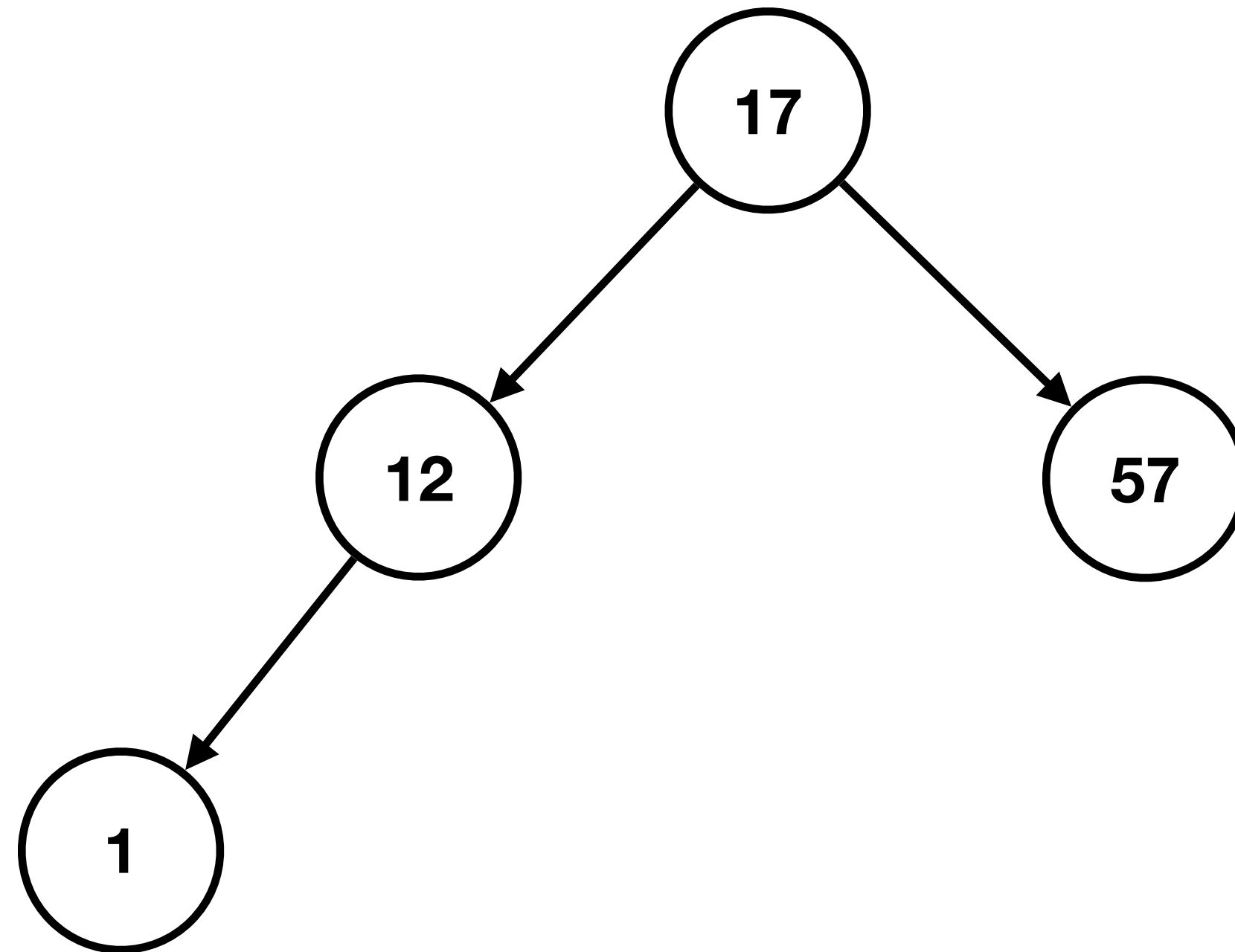
- Insert: 17, 12, 57, 1, 16, 40, 84



# BST

## Height

- Insert: 17, 12, 57, 1, 16, 40, 84

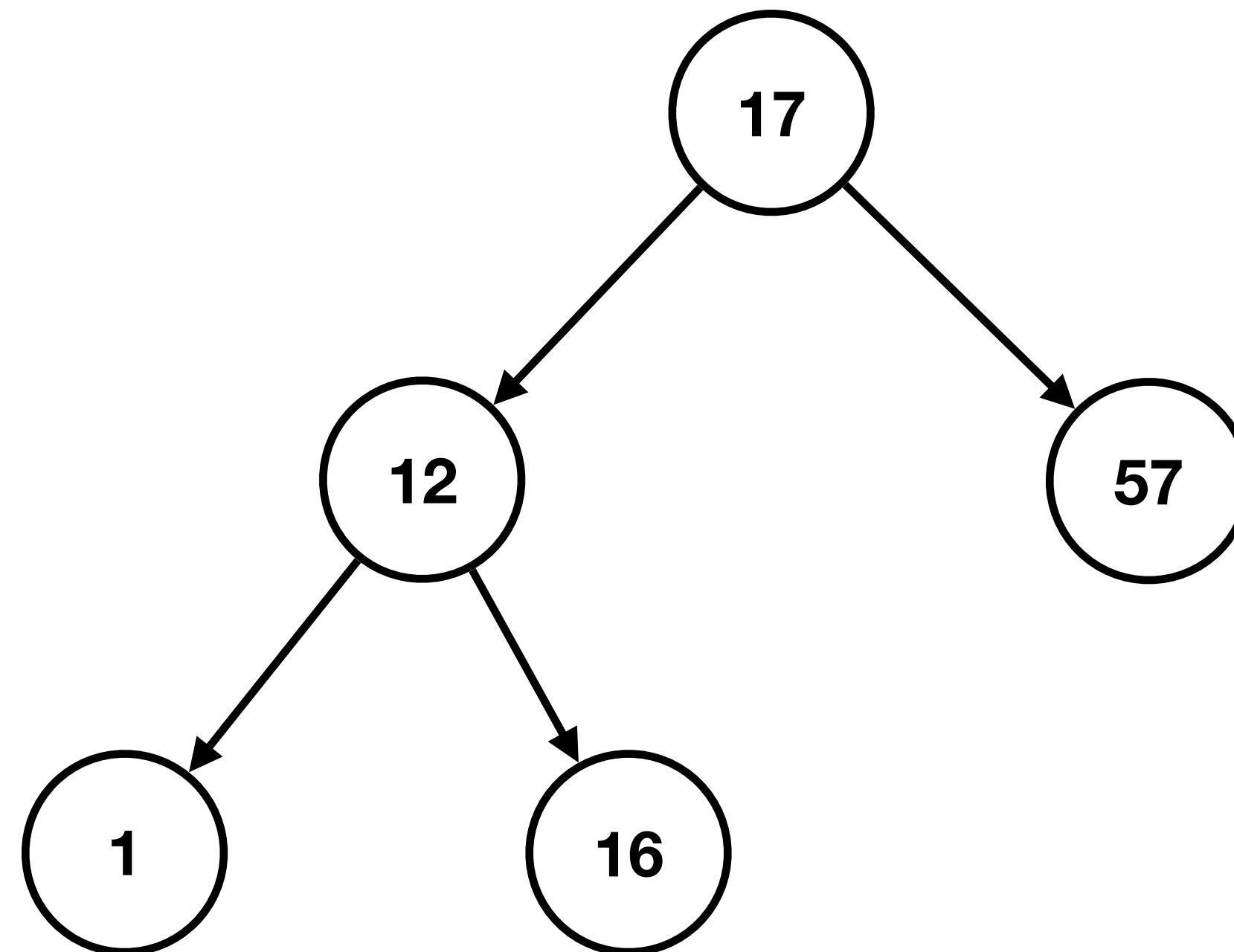




# BST

## Height

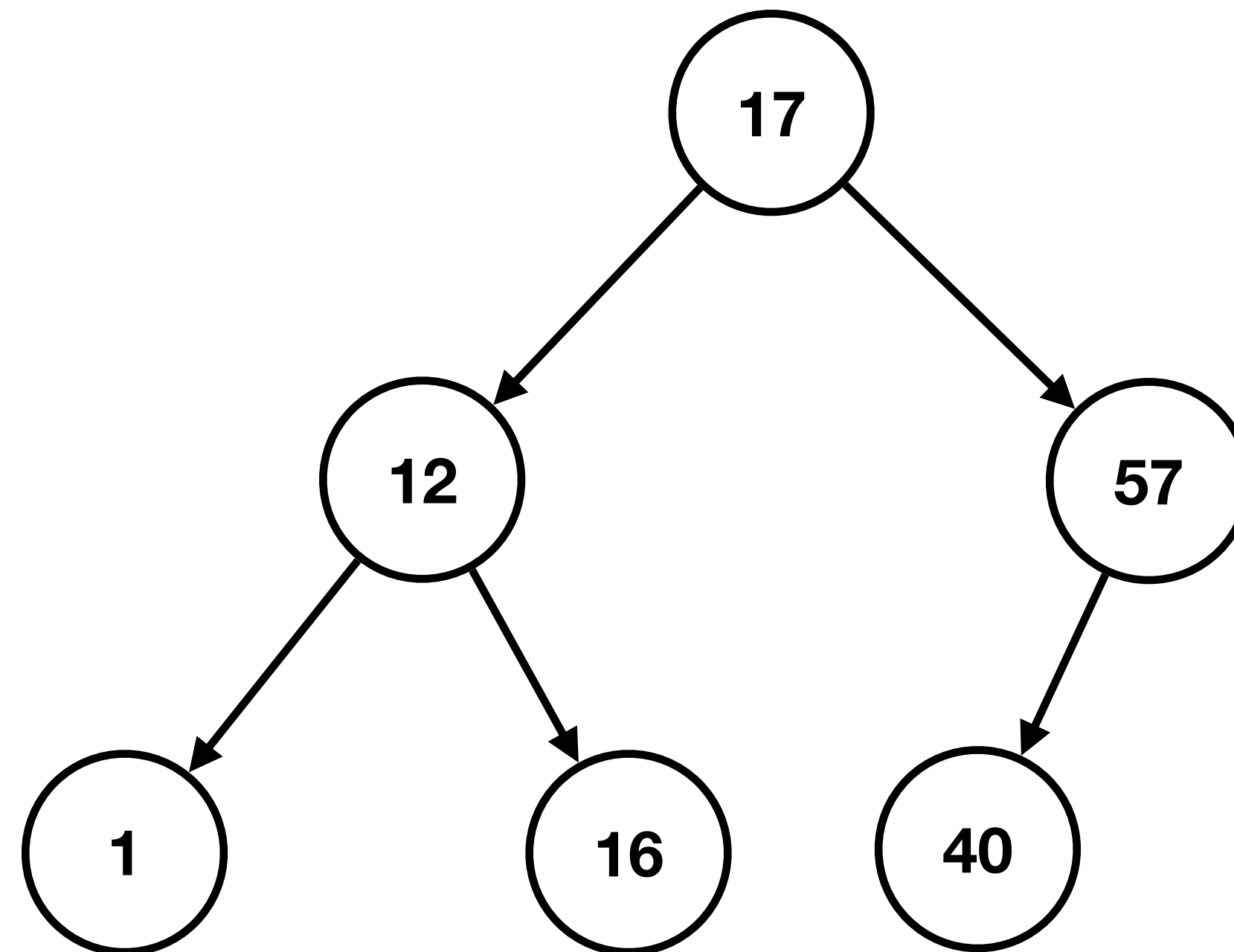
- Insert: 17, 12, 57, 1, 16, 40, 84



# BST

## Height

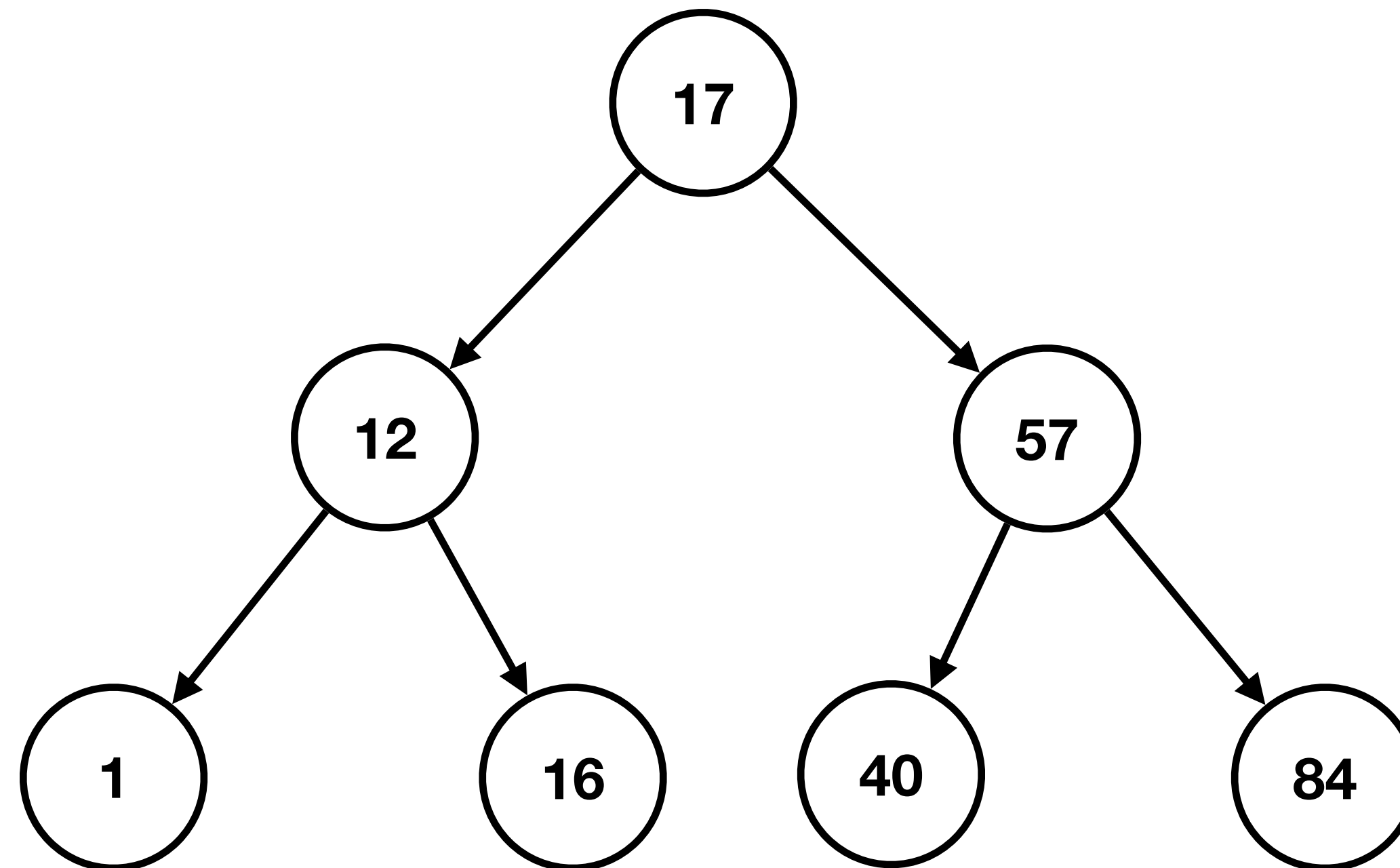
- Insert: 17, 12, 57, 1, 16, 40, 84



# BST

## Height

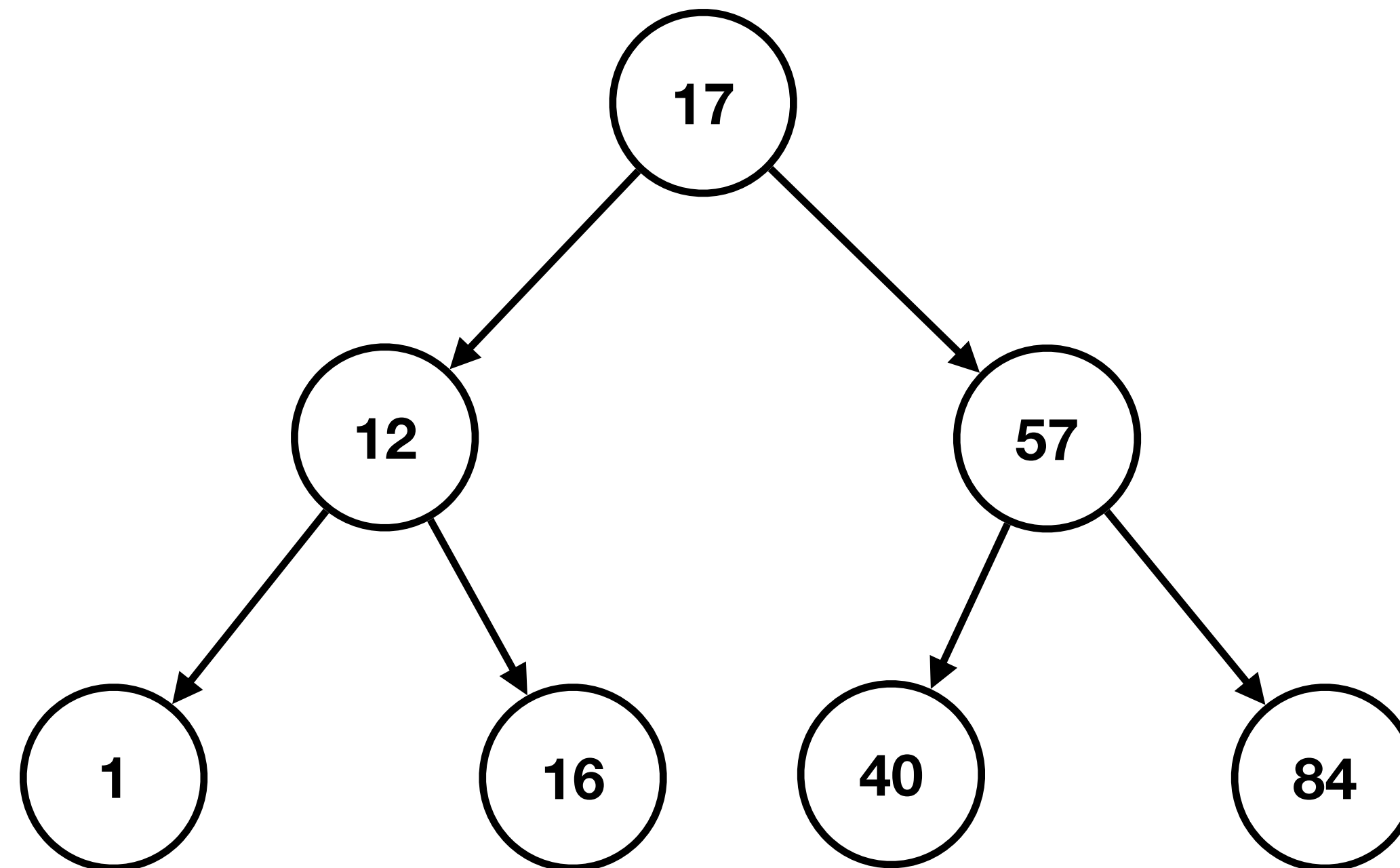
- Insert: 17, 12, 57, 1, 16, 40, 84



# BST

## Height

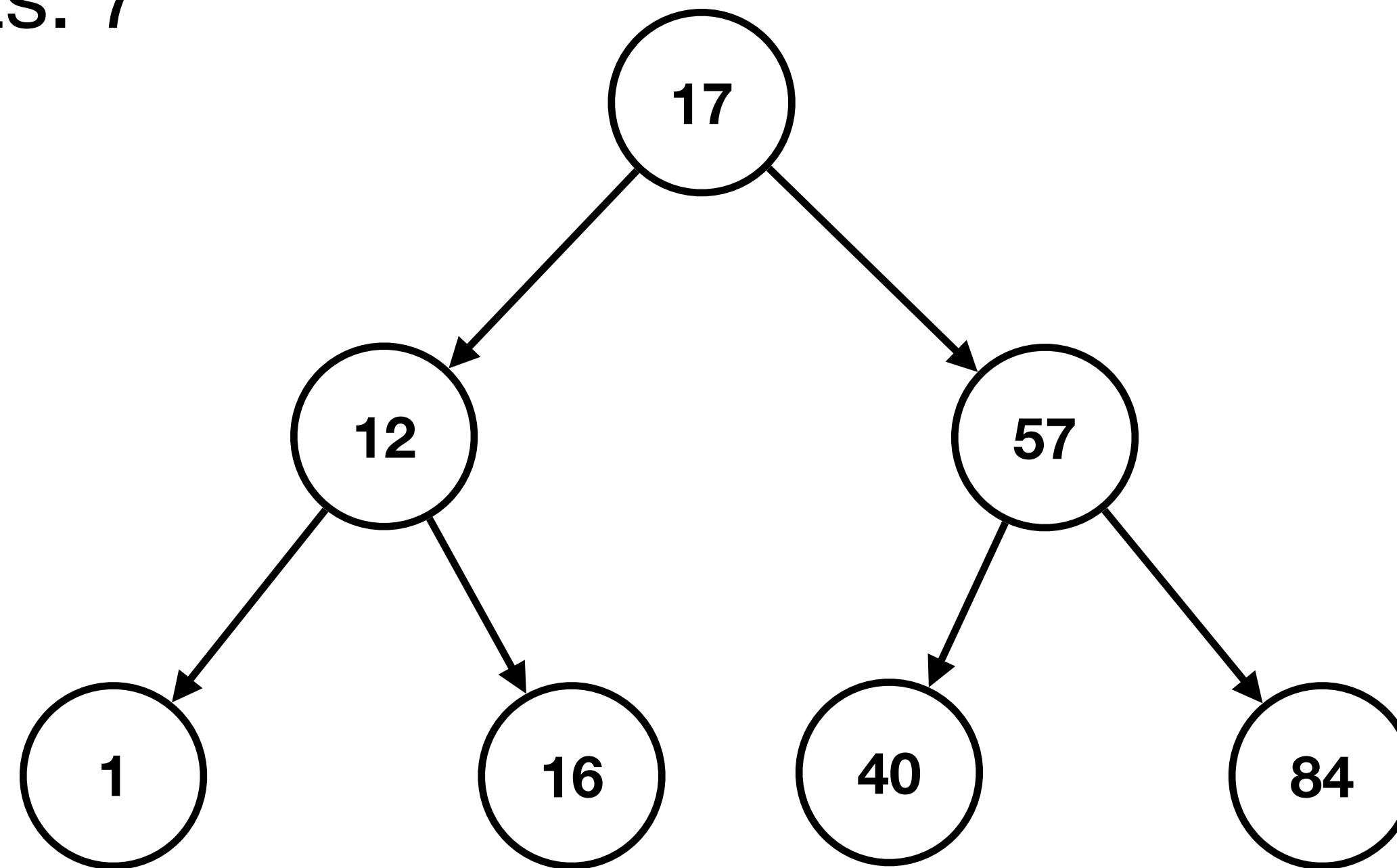
- Insert: 17, 12, 57, 1, 16, 40, 84



# BST

## Height

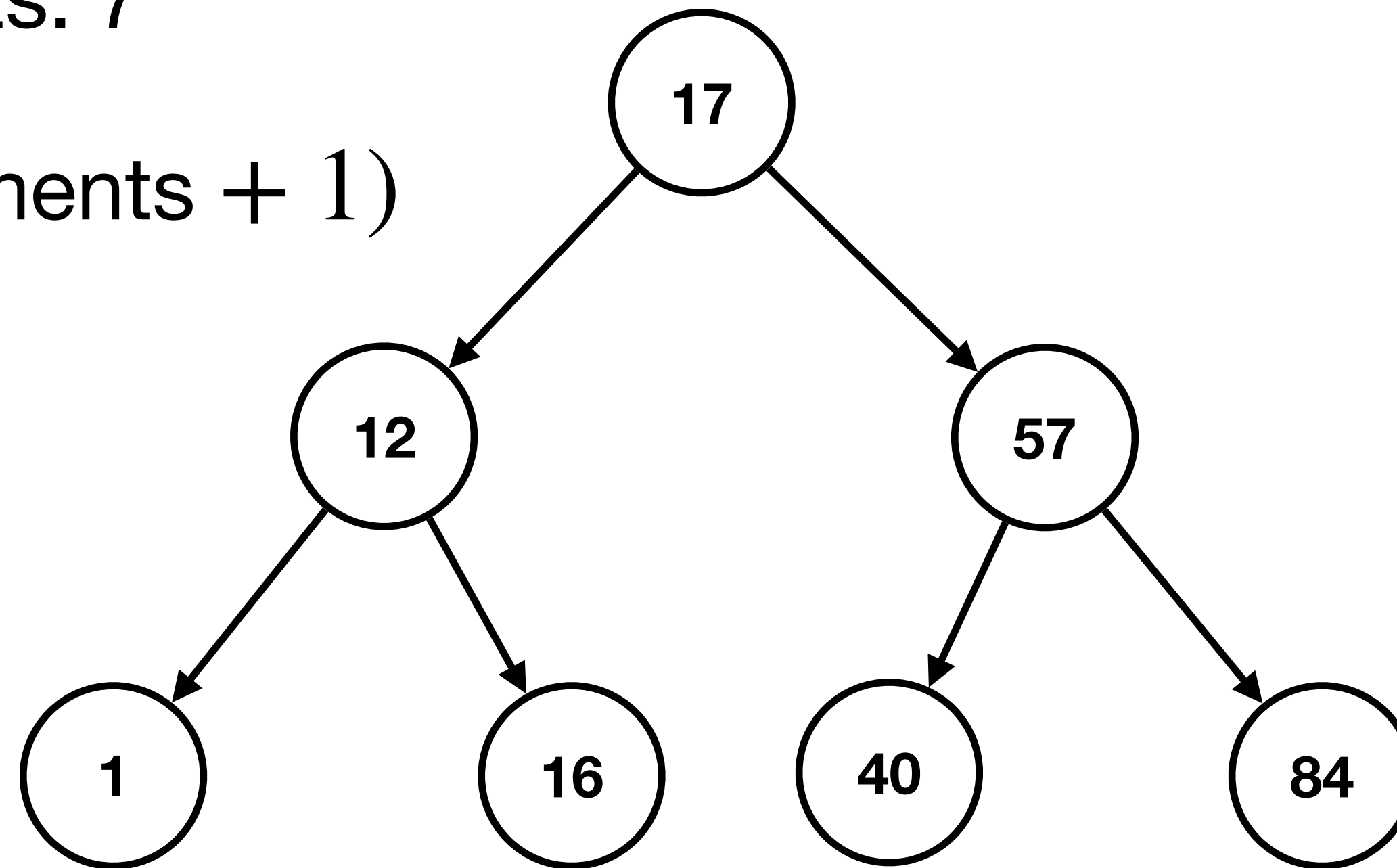
- Insert: 17, 12, 57, 1, 16, 40, 84
- Height: 3, #elements: 7



# BST

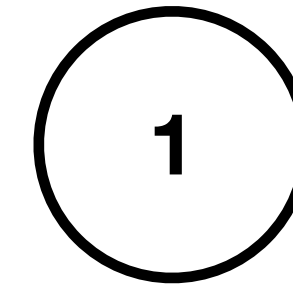
## Height

- Insert: 17, 12, 57, 1, 16, 40, 84
- Height: 3, #elements: 7
- $\text{height} = \log_2(\text{\#elements} + 1)$



# BST

## Height

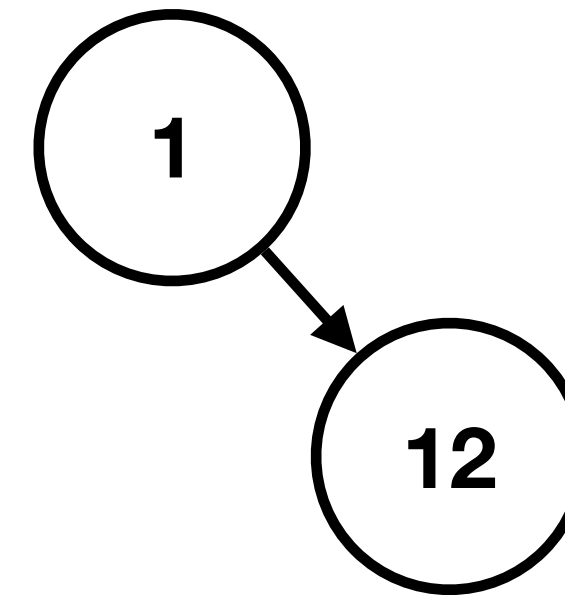


- Insert: 1, 12, 16, 17, 40, 57, 84

# BST

## Height

- Insert: 1, 12, 16, 17, 40, 57, 84

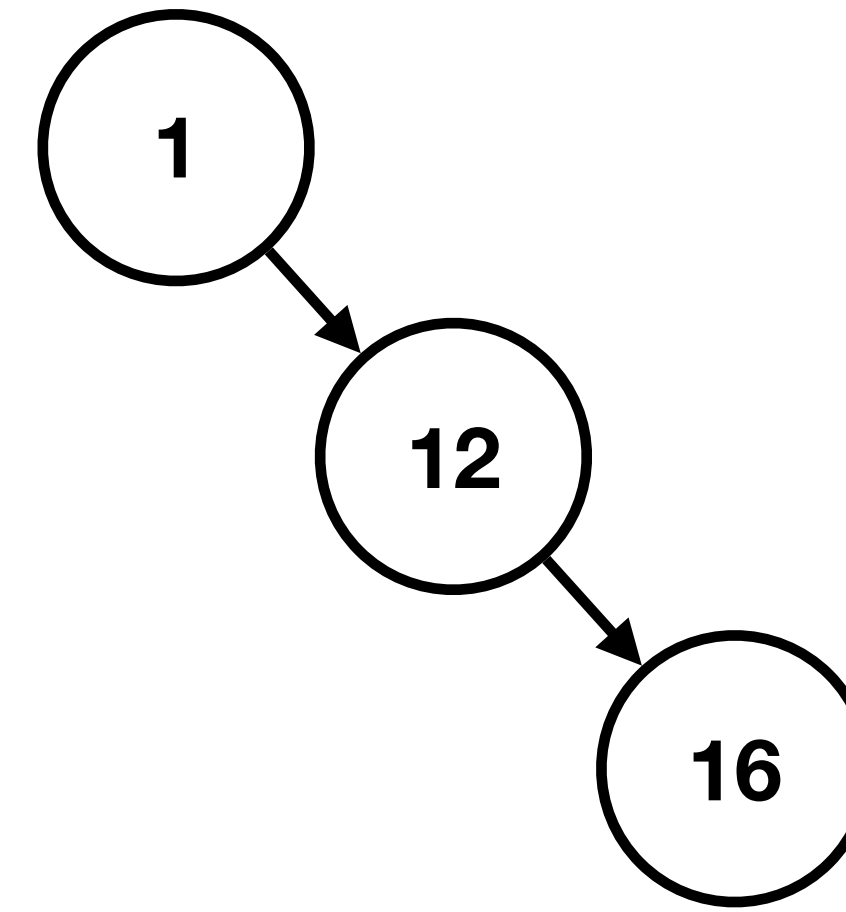




# BST

## Height

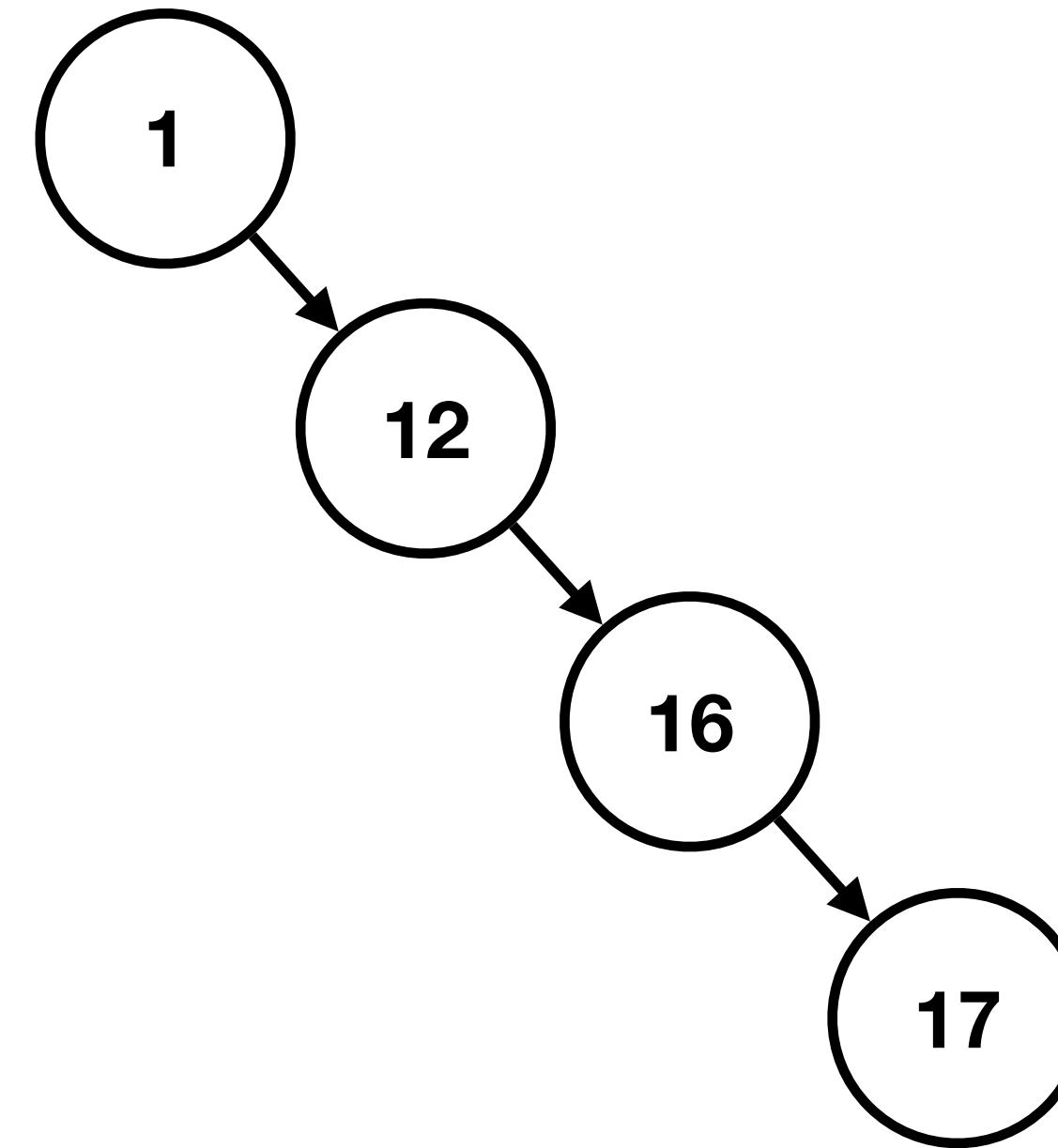
- Insert: 1, 12, 16, 17, 40, 57, 84



# BST

## Height

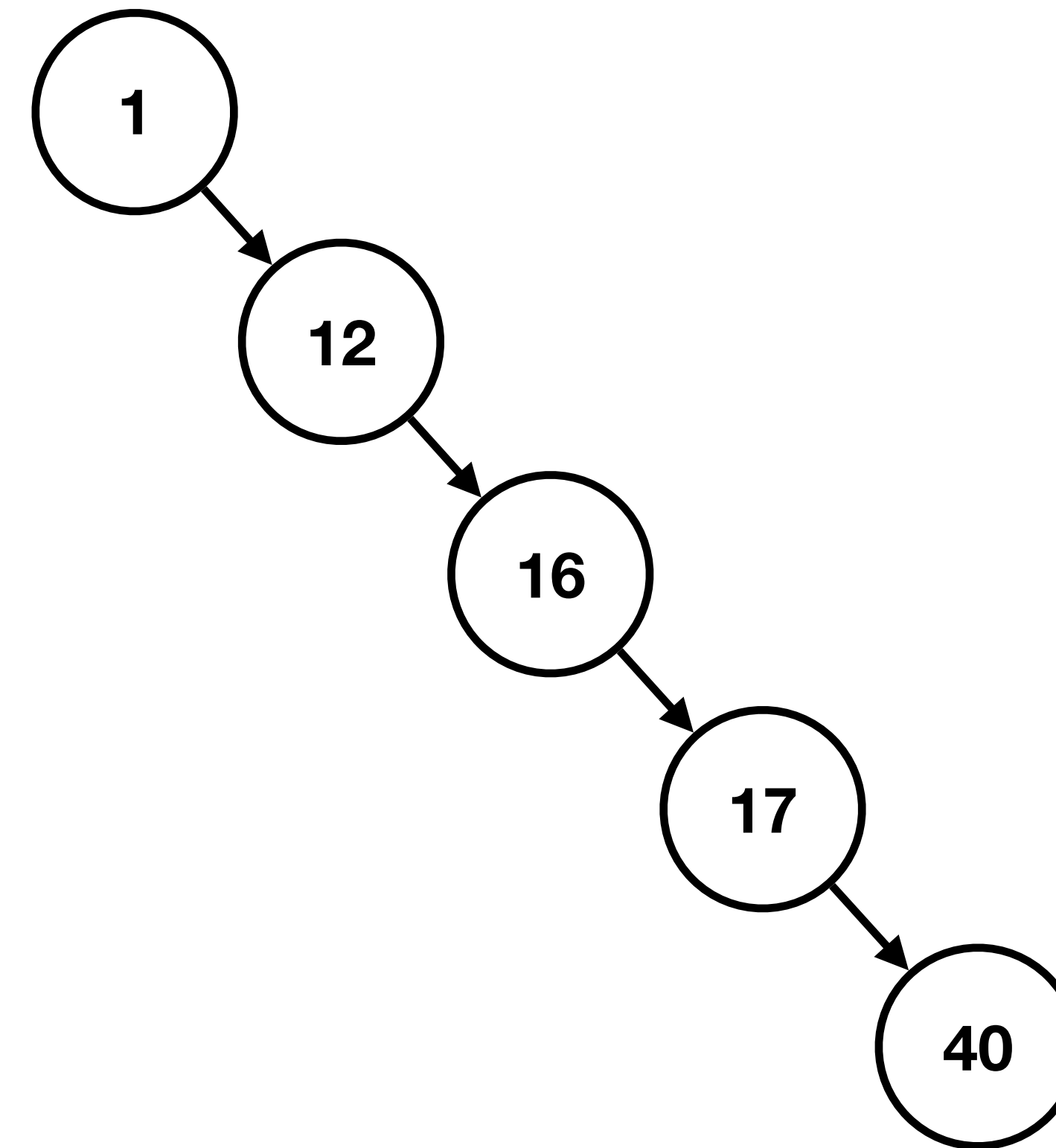
- Insert: 1, 12, 16, 17, 40, 57, 84



# BST

## Height

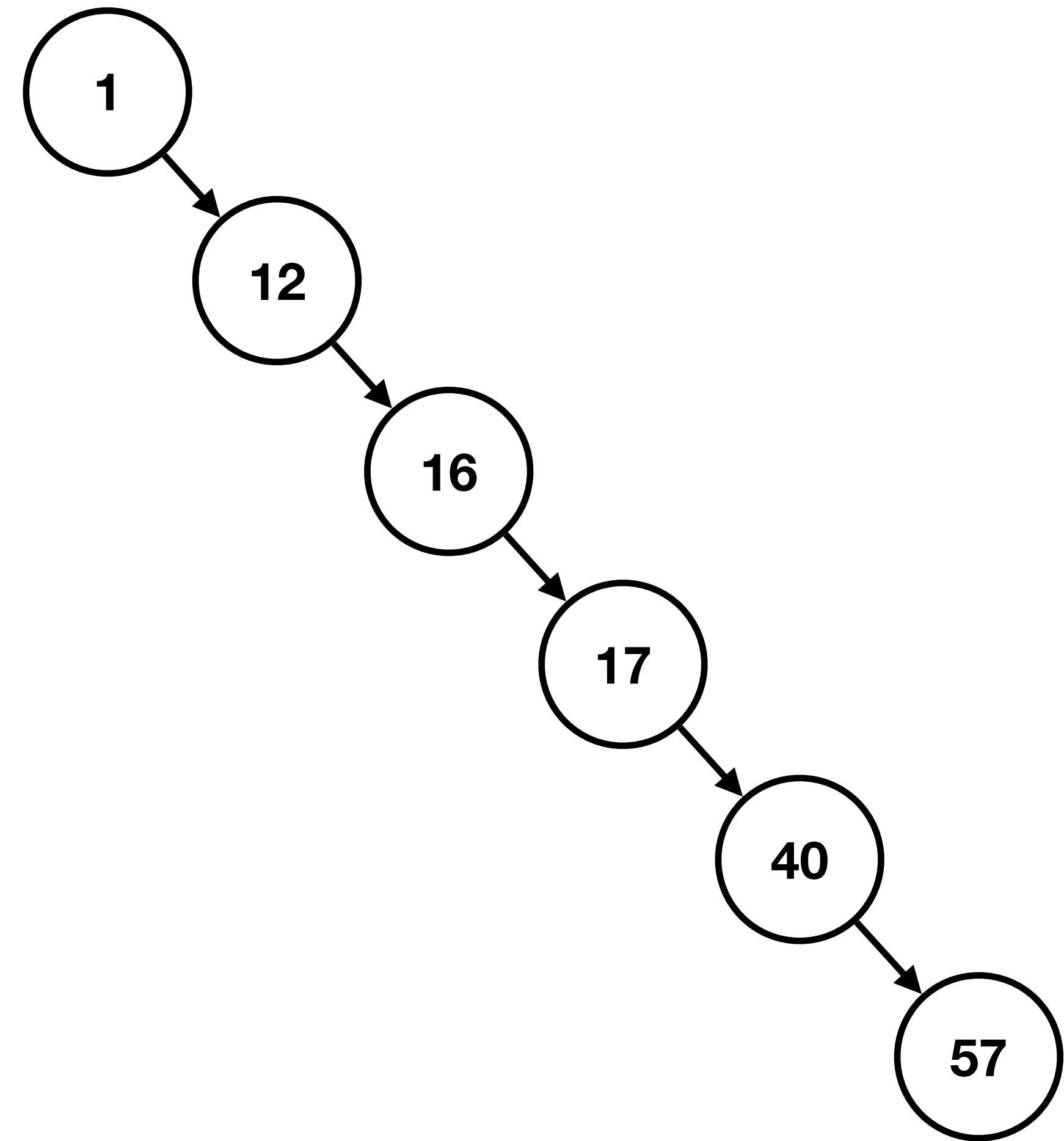
- Insert: 1, 12, 16, 17, 40, 57, 84



# BST

## Height

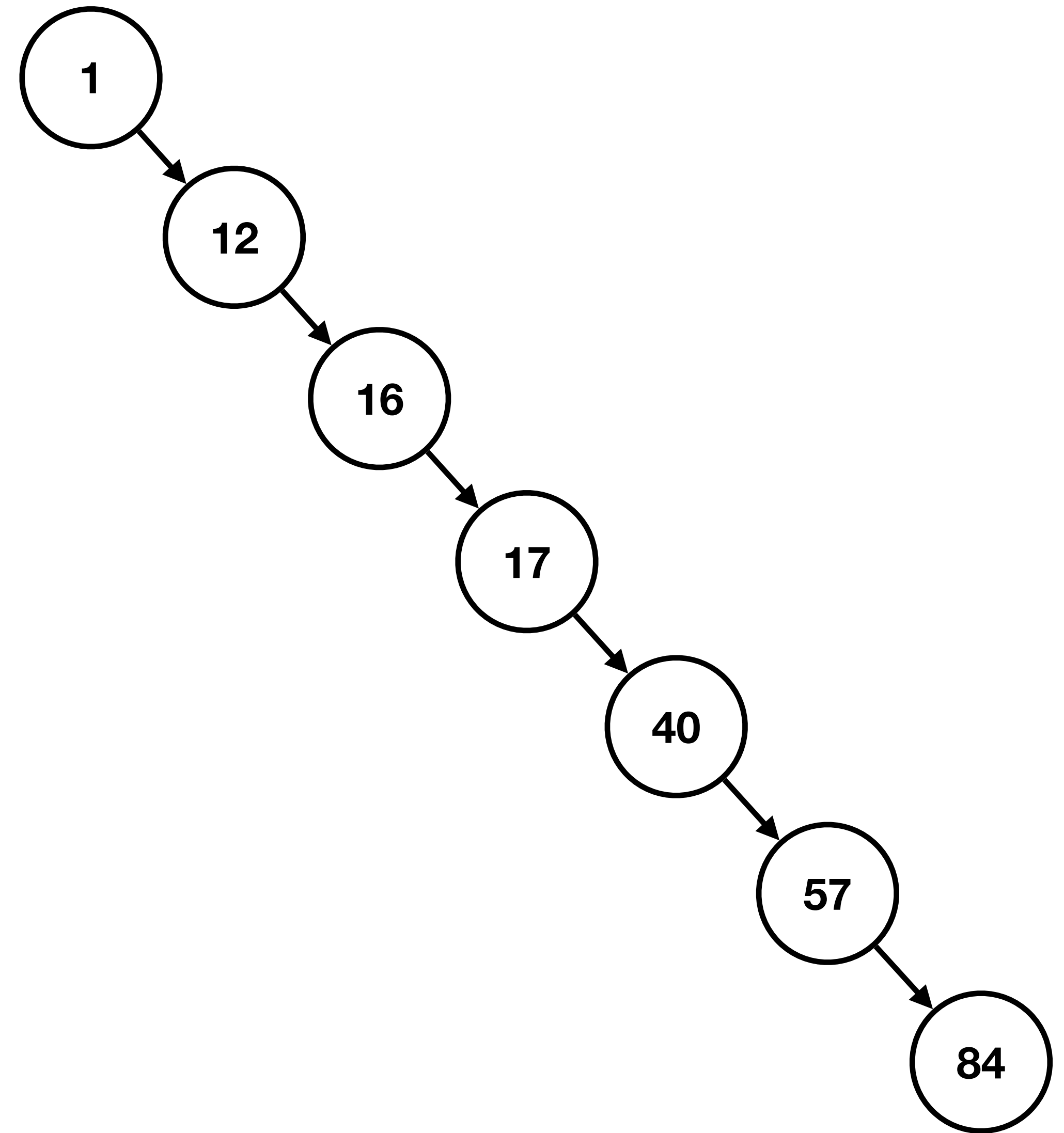
- Insert: 1, 12, 16, 17, 40, 57, 84



# BST

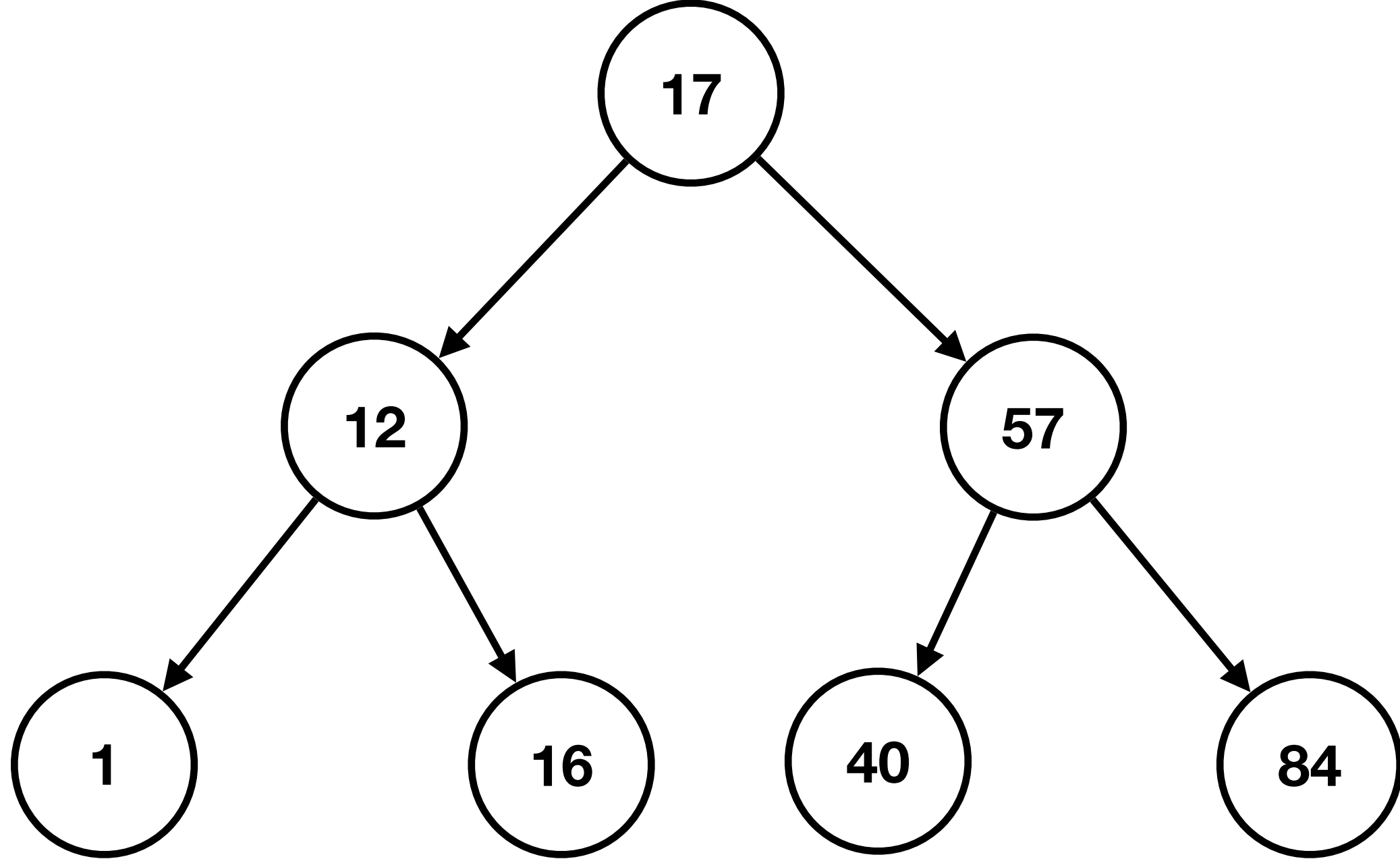
## Height

- Insert: 1, 12, 16, 17, 40, 57, 84

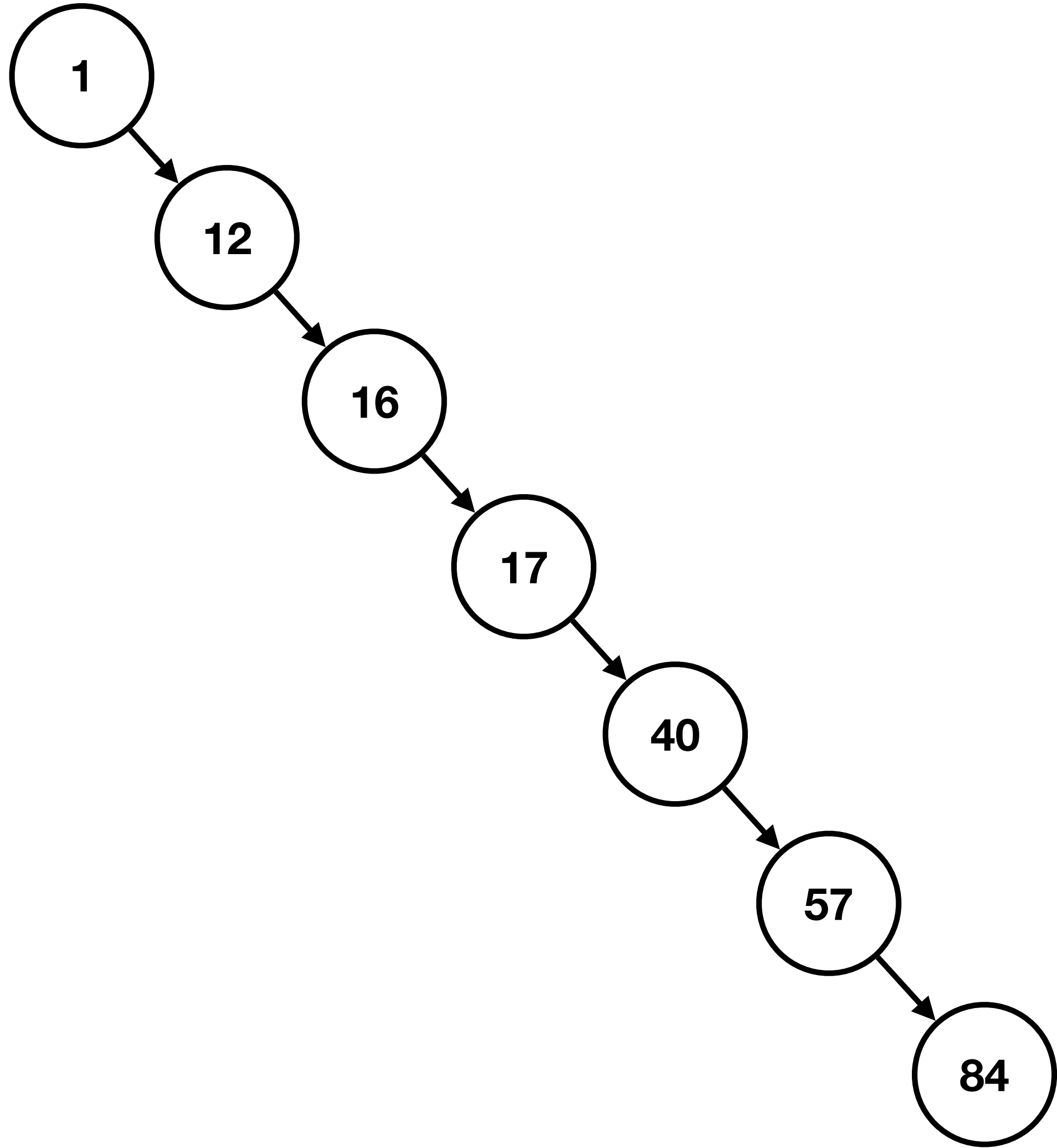


# BST

## Height



Balanced



Unbalanced

# BST

## Complexity

# BST

## Complexity

- lookup, insert:



# BST

## Complexity

- lookup, insert:
  - $O(\log n)$  for a well-balanced BST

# BST

## Complexity

- lookup, insert:
  - $O(\log n)$  for a well-balanced BST
  - $O(n)$  in general :(

# BST

## Complexity

- lookup, insert:
  - $O(\log n)$  for a well-balanced BST
  - $O(n)$  in general :(
- There are self-balancing BSTs

# BST

## Complexity

- lookup, insert:
  - $O(\log n)$  for a well-balanced BST
  - $O(n)$  in general :(
- There are self-balancing BSTs
  - Red-black trees, AVL trees, ...

# BST

## Remove

# BST

## Remove

- First, find node to remove

# BST

## Remove

- First, find node to remove
  - same in lookup and insert

# BST

## Remove

- First, find node to remove
  - same in lookup and insert
- Easy case: the node is a leaf



# BST

## Remove

- First, find node to remove
  - same in lookup and insert
- Easy case: the node is a leaf
  - Delete it

# BST

## Remove

- First, find node to remove
  - same in lookup and insert
- Easy case: the node is a leaf
  - Delete it
  - Don't forget to update the parent's pointer

# BST

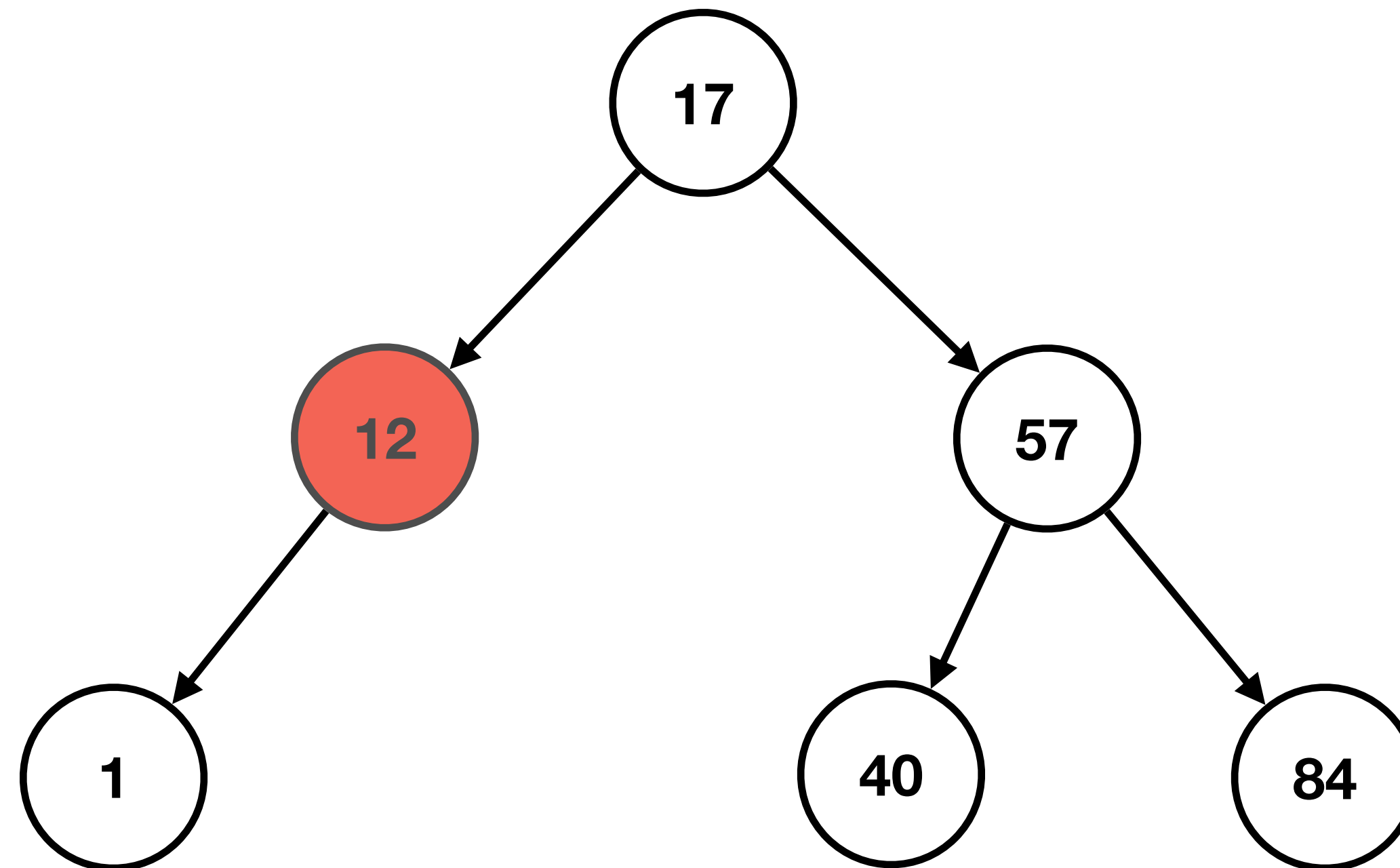
## Remove

- Harder case: node to be removed has one child

# BST

## Remove

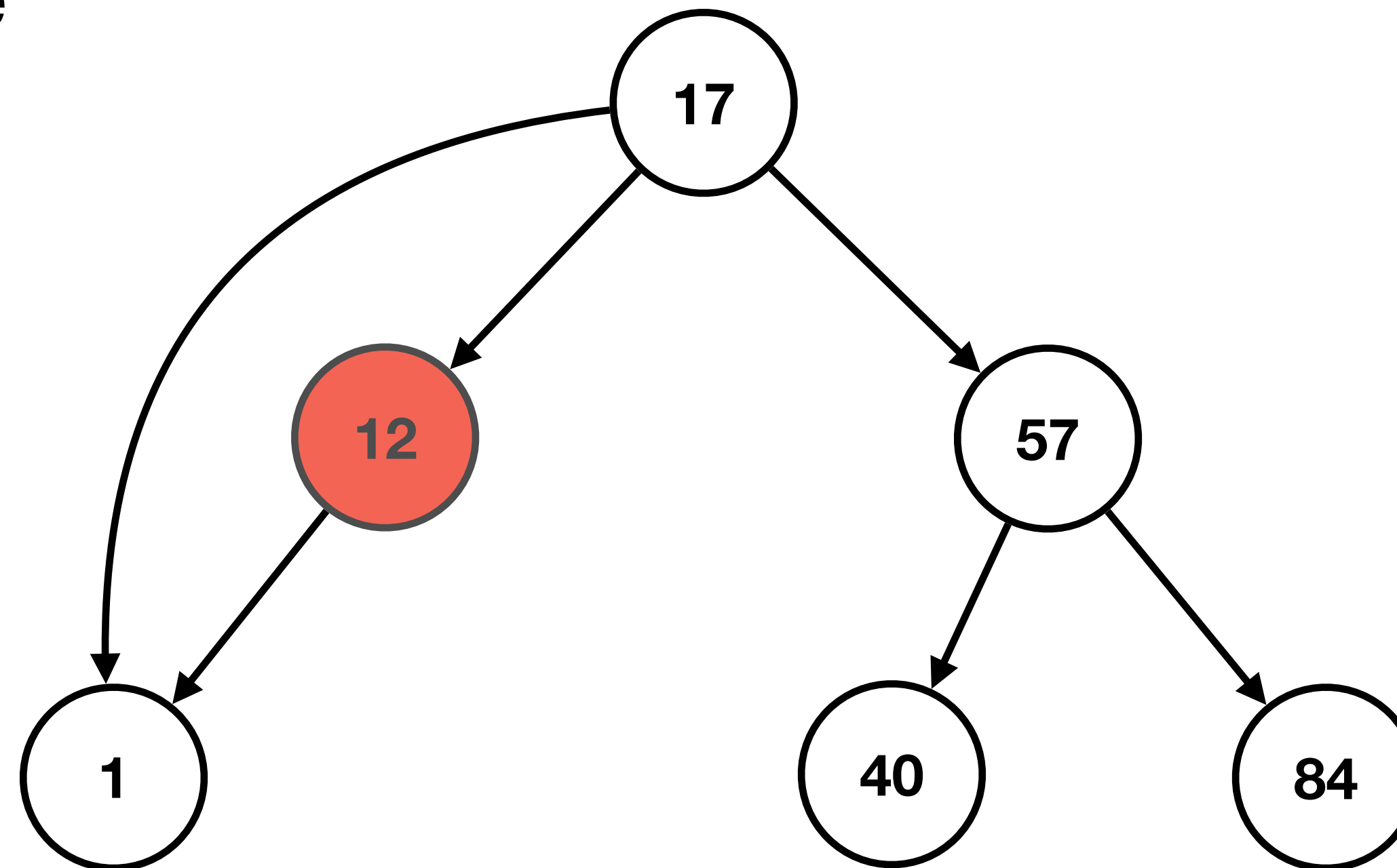
- Harder case: node to be removed has one child



# BST

## Remove

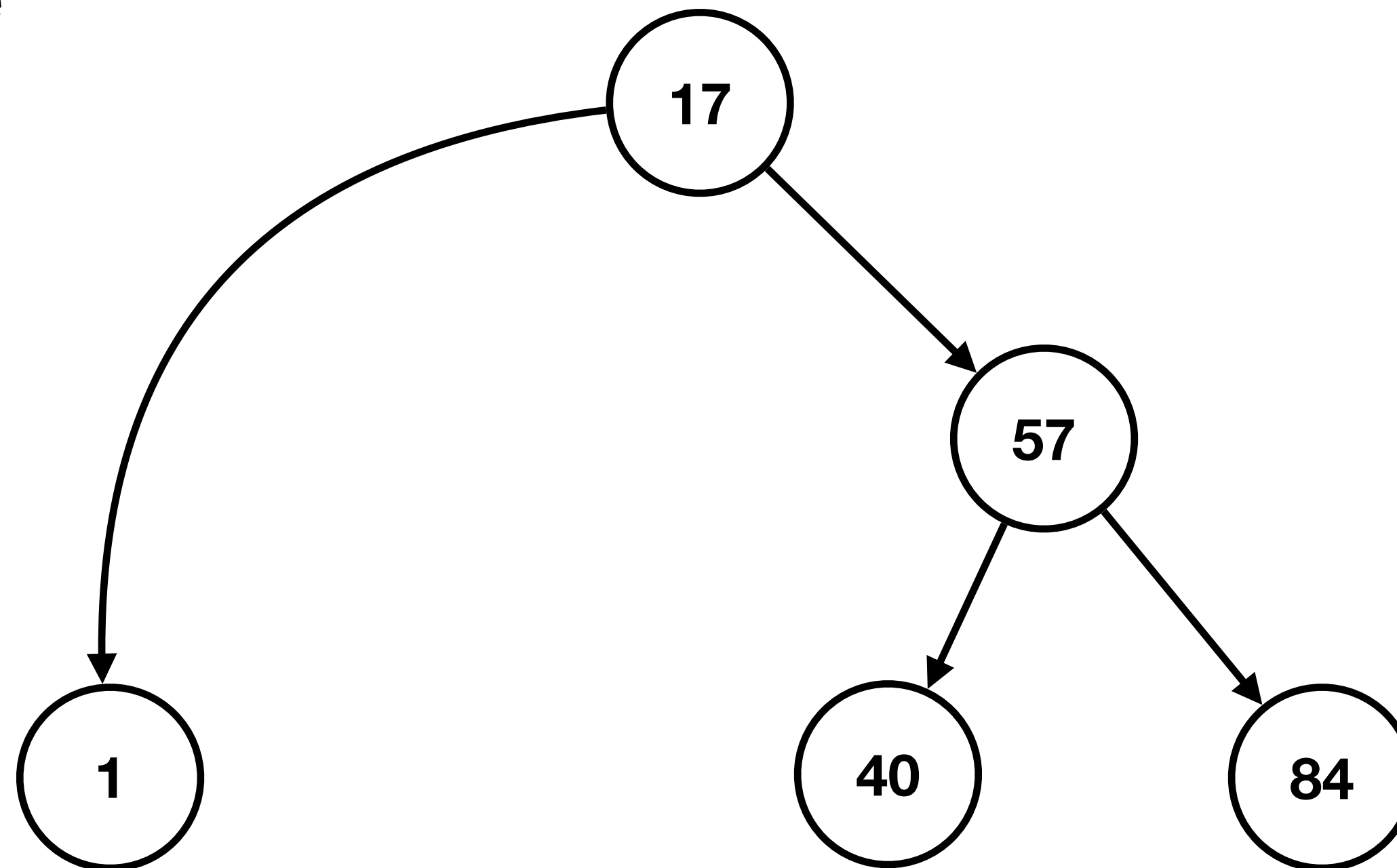
- Harder case: node to be removed has one child
  - Bypass this node



# BST

## Remove

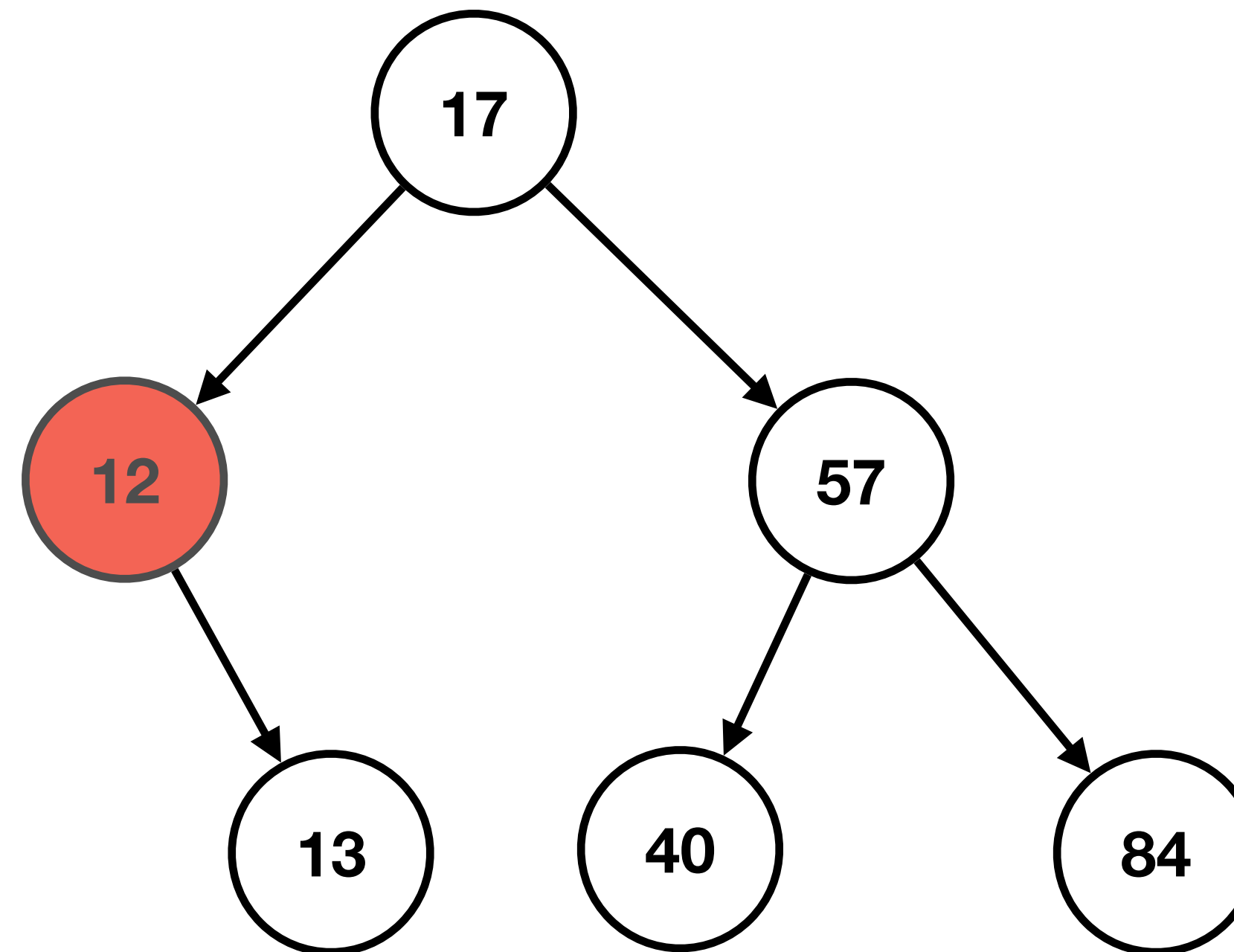
- Harder case: node to be removed has one child
  - Bypass this node



# BST

## Remove

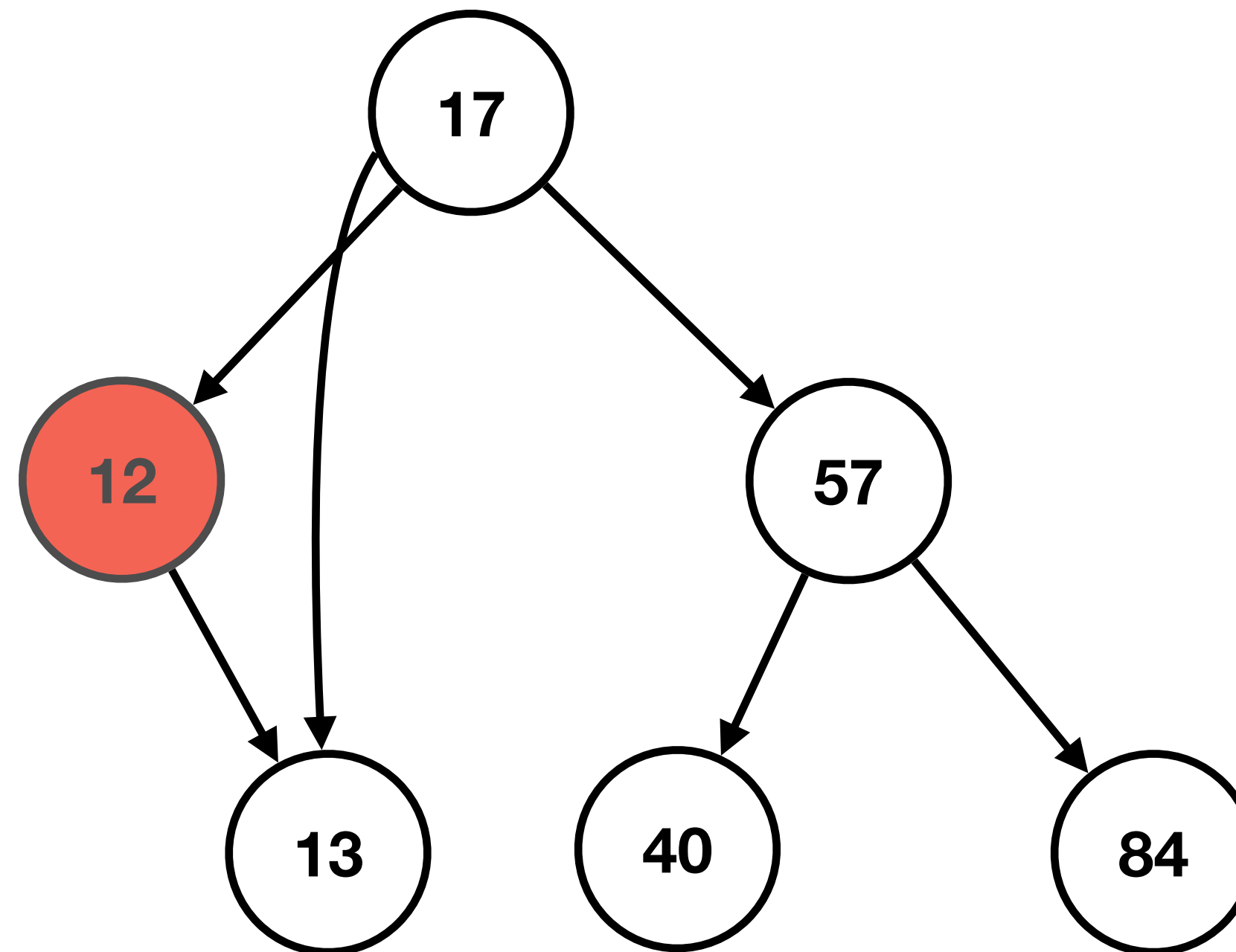
- Harder case: node to be removed has one child
  - Bypass this node



# BST

## Remove

- Harder case: node to be removed has one child
  - Bypass this node

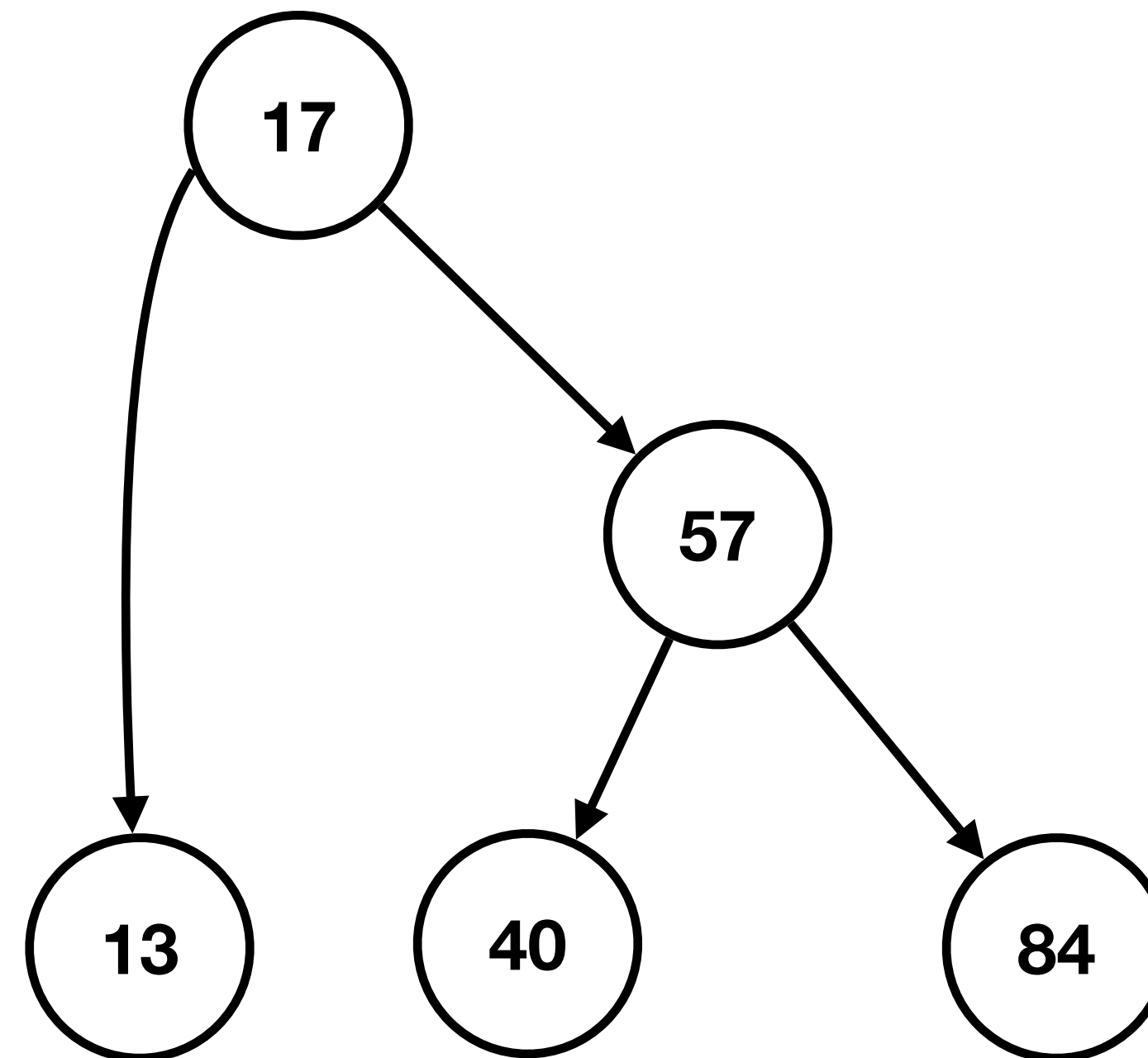




# BST

## Remove

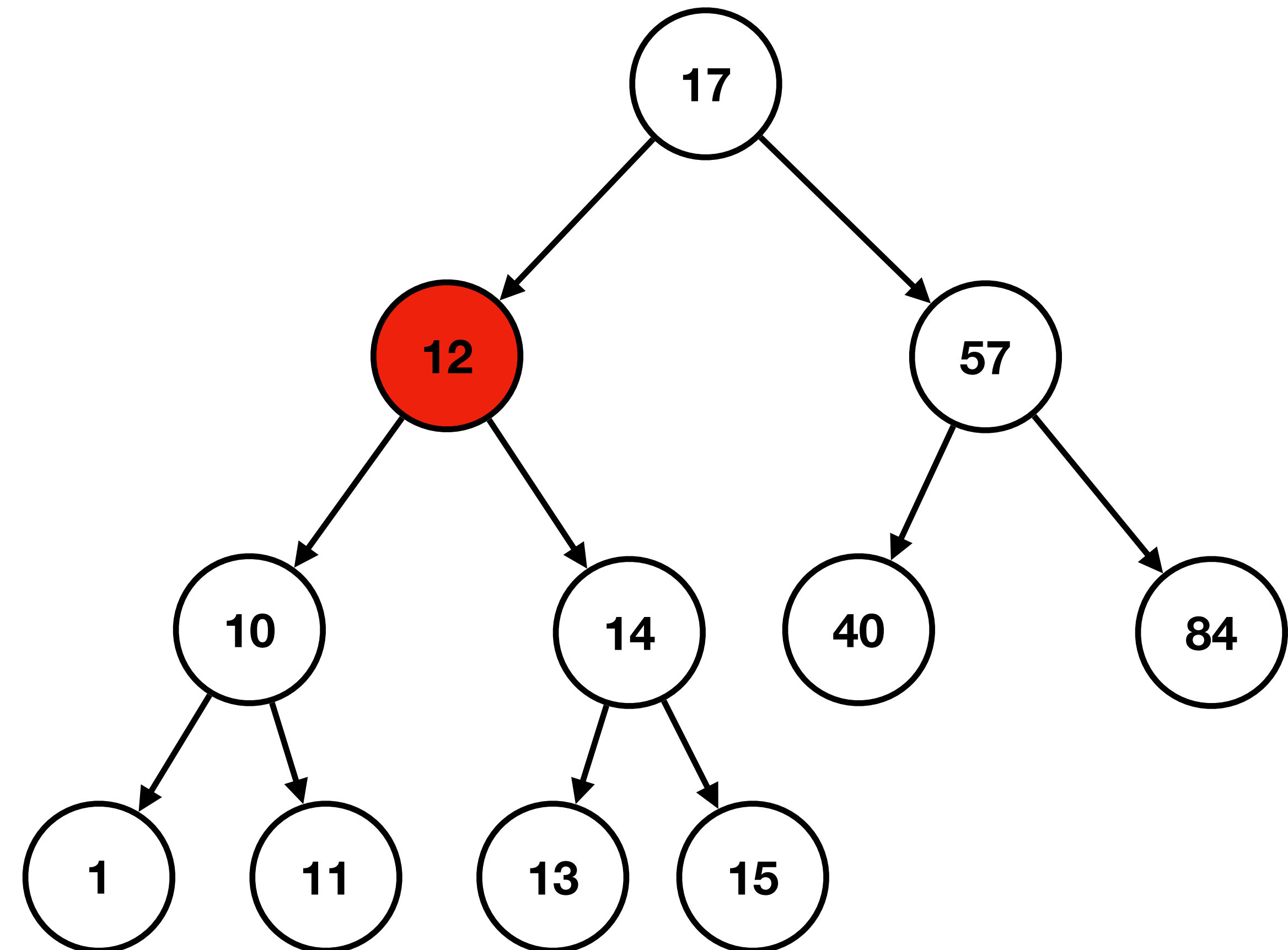
- Harder case: node to be removed has one child
  - Bypass this node



# BST

## Remove

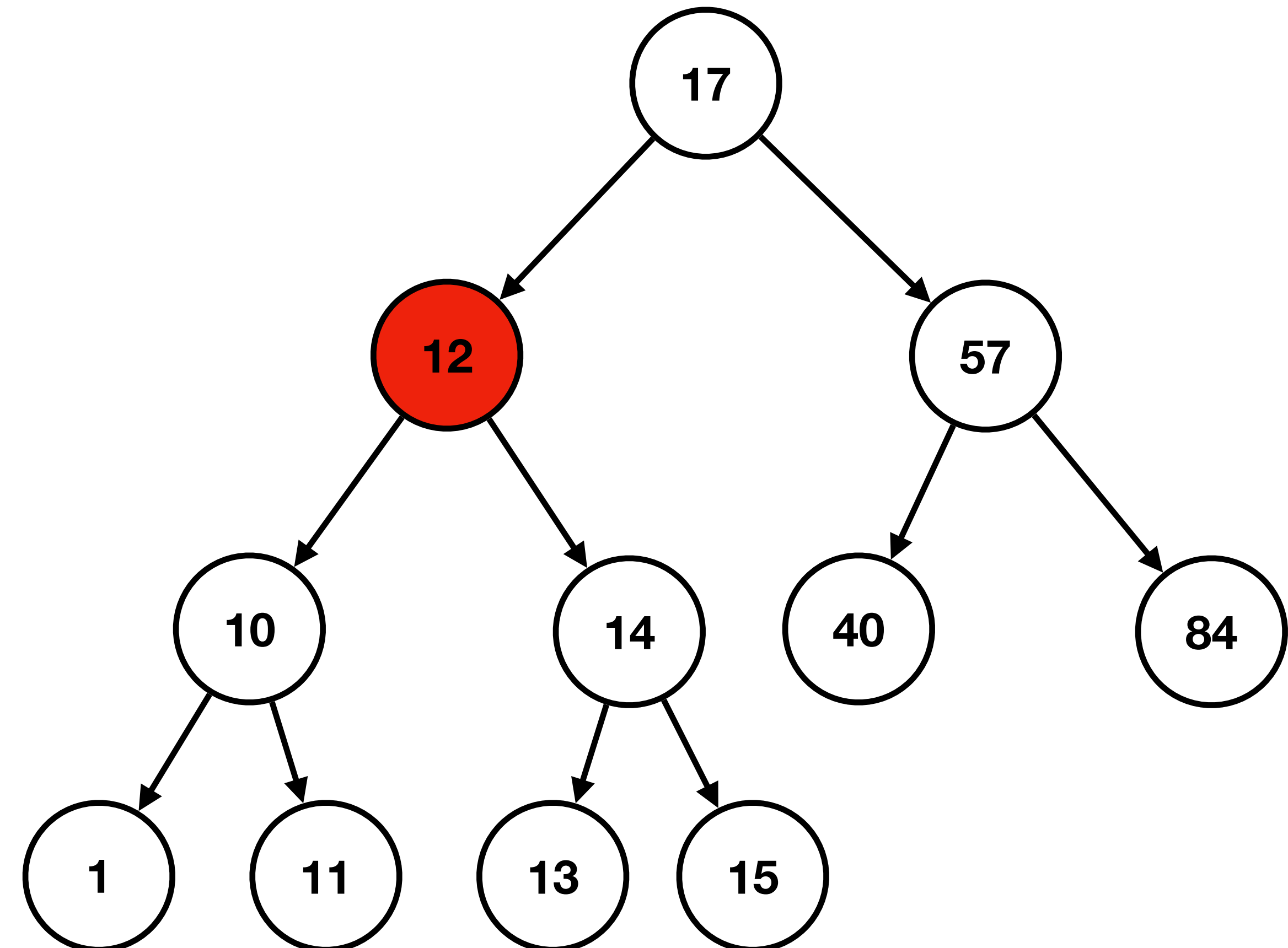
- Hardest case: node to be removed has two children



# BST

## Remove

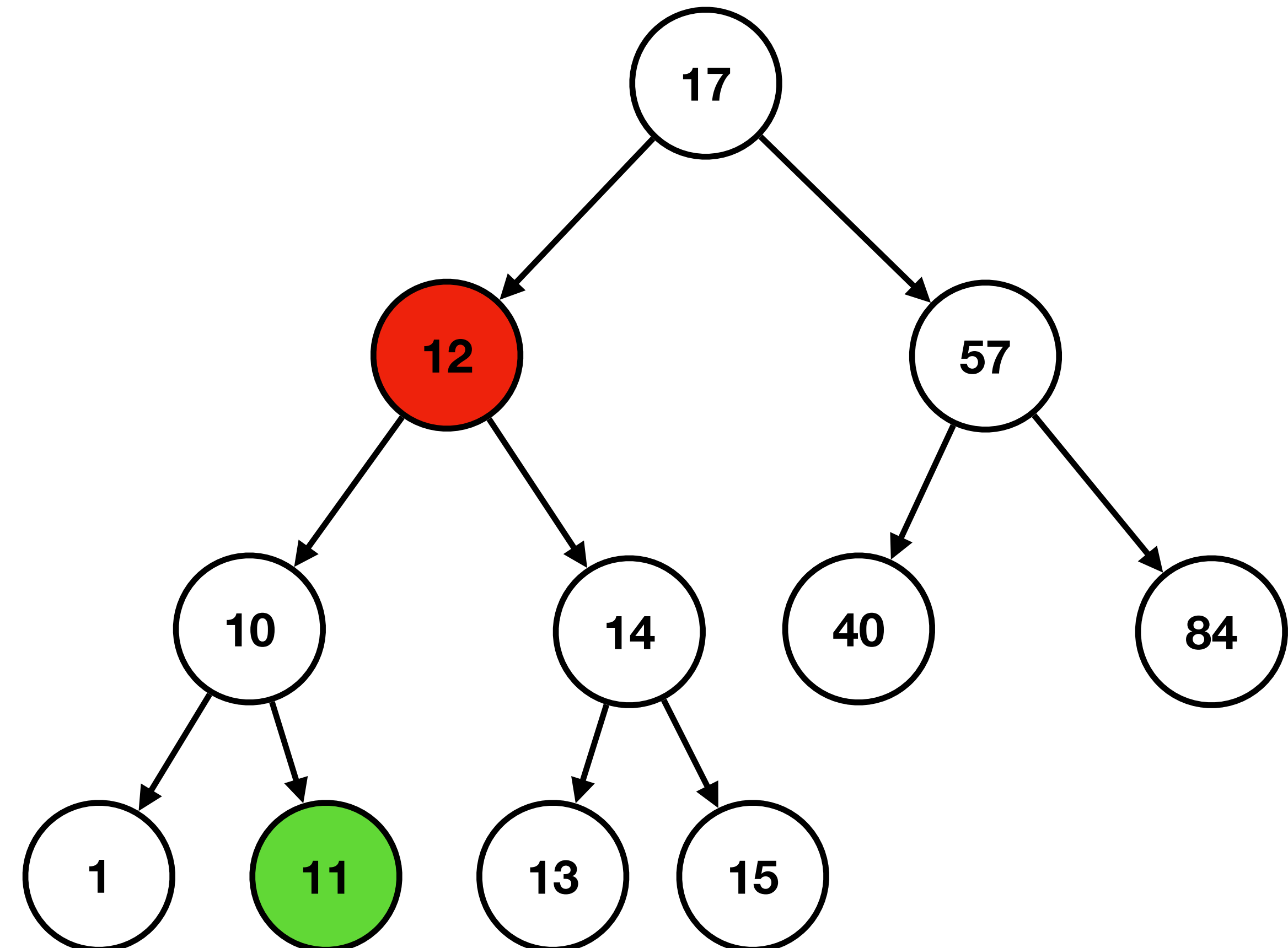
- Hardest case: node to be removed has two children
  - Replace 12 with a value that's:
    - Larger than everything in left subtree
    - Smaller than .. in right ..



# BST

## Remove

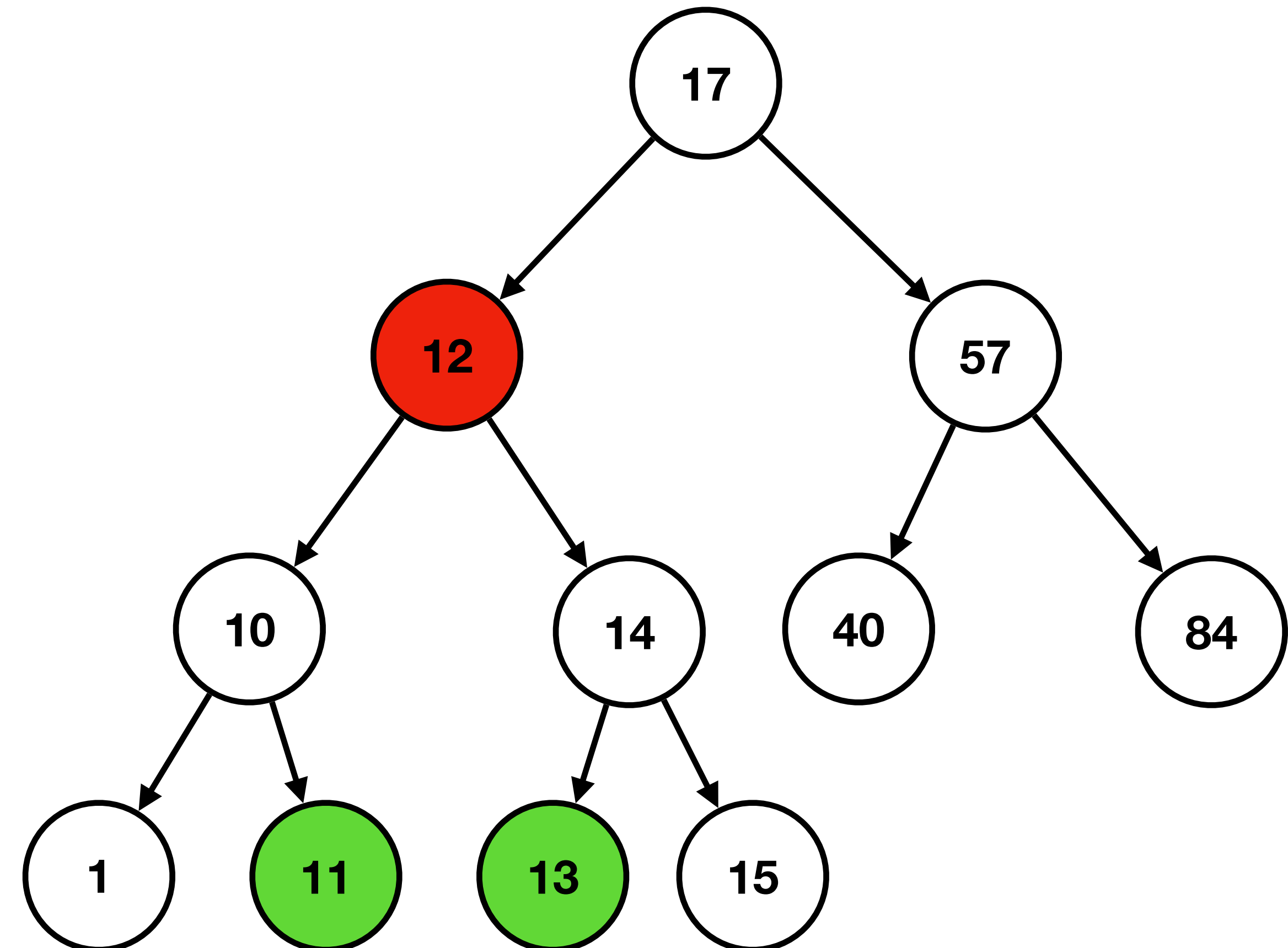
- Hardest case: node to be removed has two children
  - Replace 12 with a value that's:
    - Larger than everything in left subtree
    - Smaller than .. in right ..



# BST

## Remove

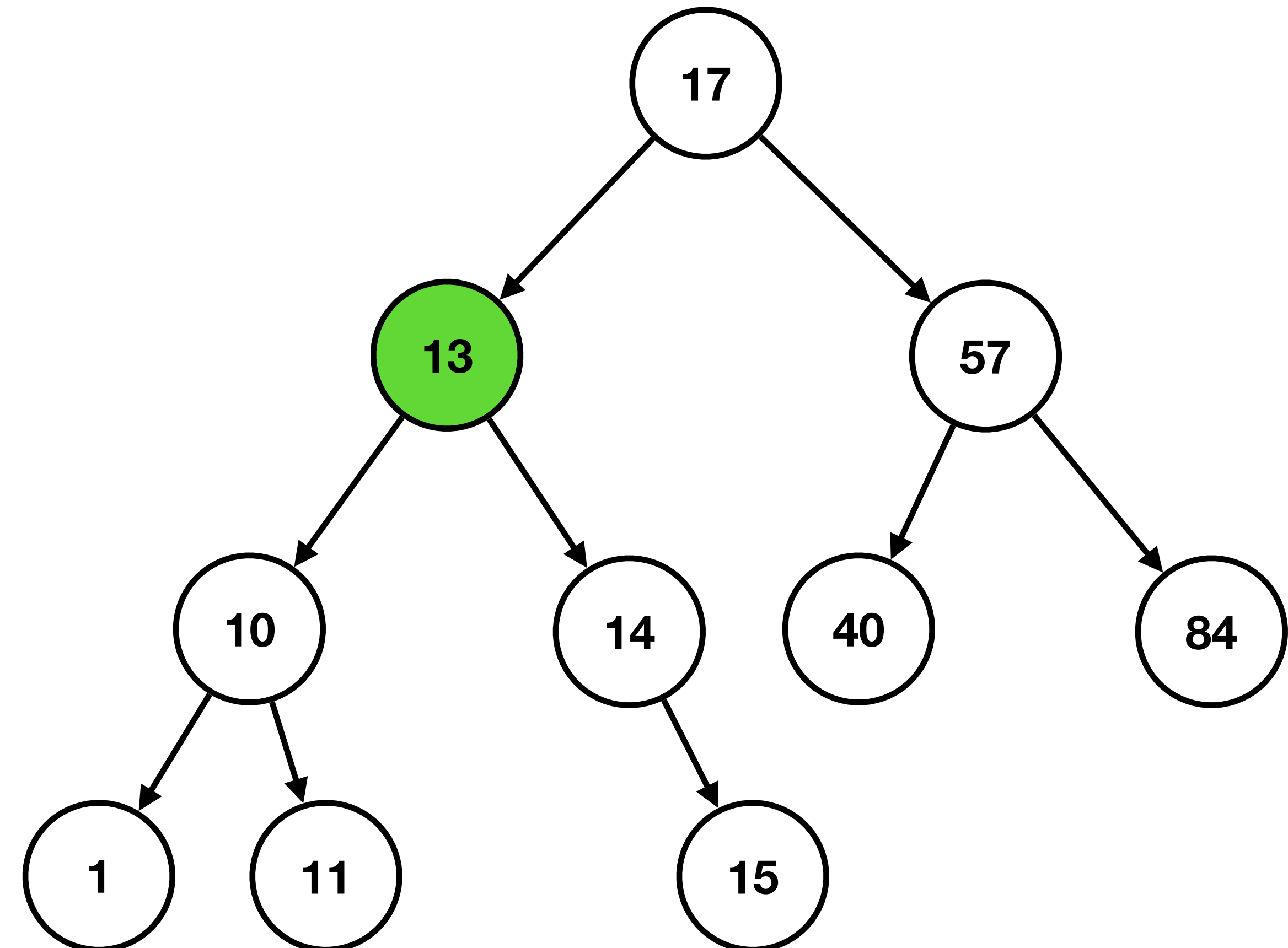
- Hardest case: node to be removed has two child
  - Replace 12 with a value that's:
    - Larger than everything in left subtree
    - Smaller than .. in right ..



# BST

## Remove

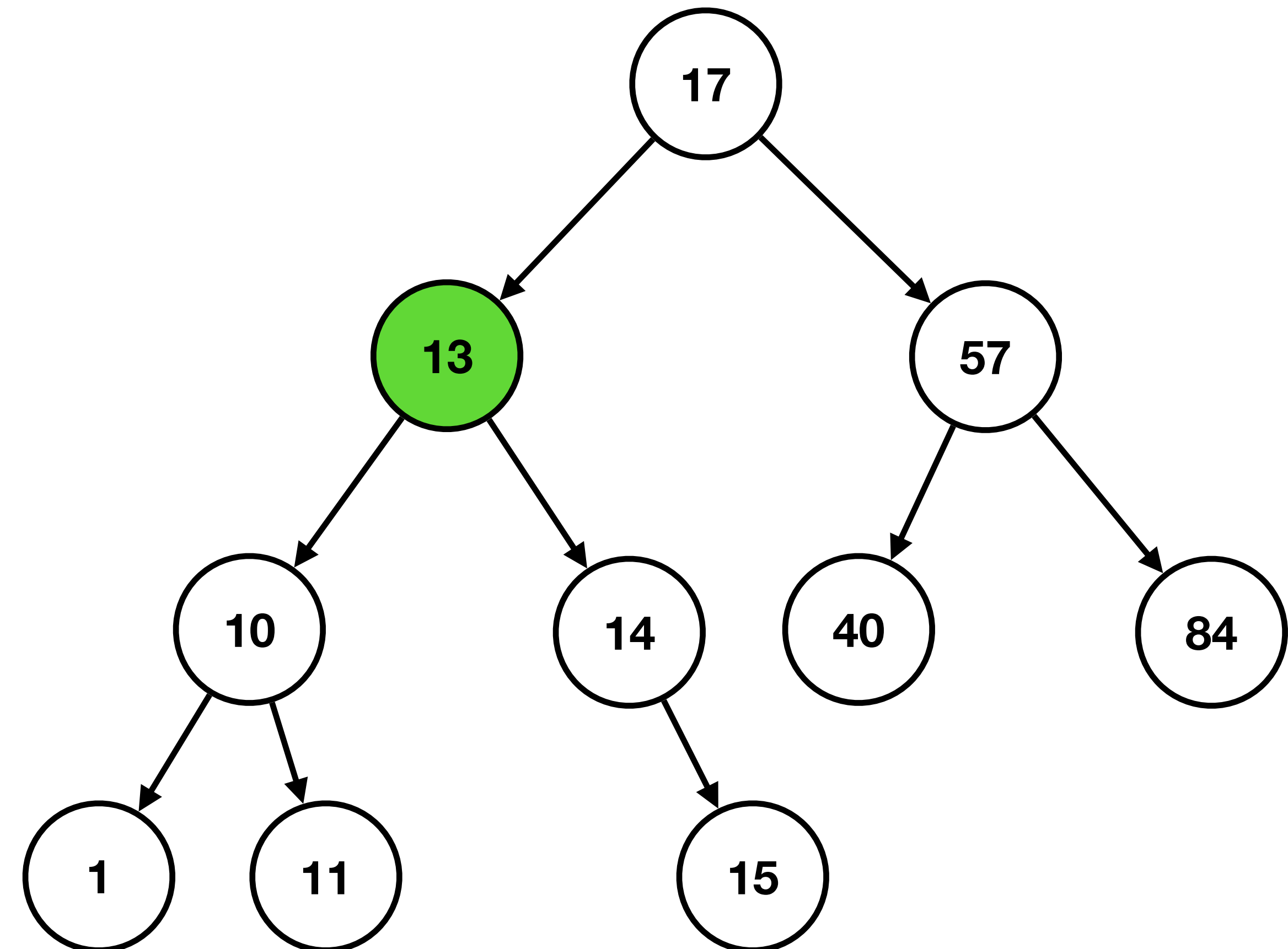
- Hardest case: node to be removed has two child
  - Replace 12 with a value that's:
    - Larger than everything in left subtree
    - Smaller than .. in right ..



# BST

## Remove

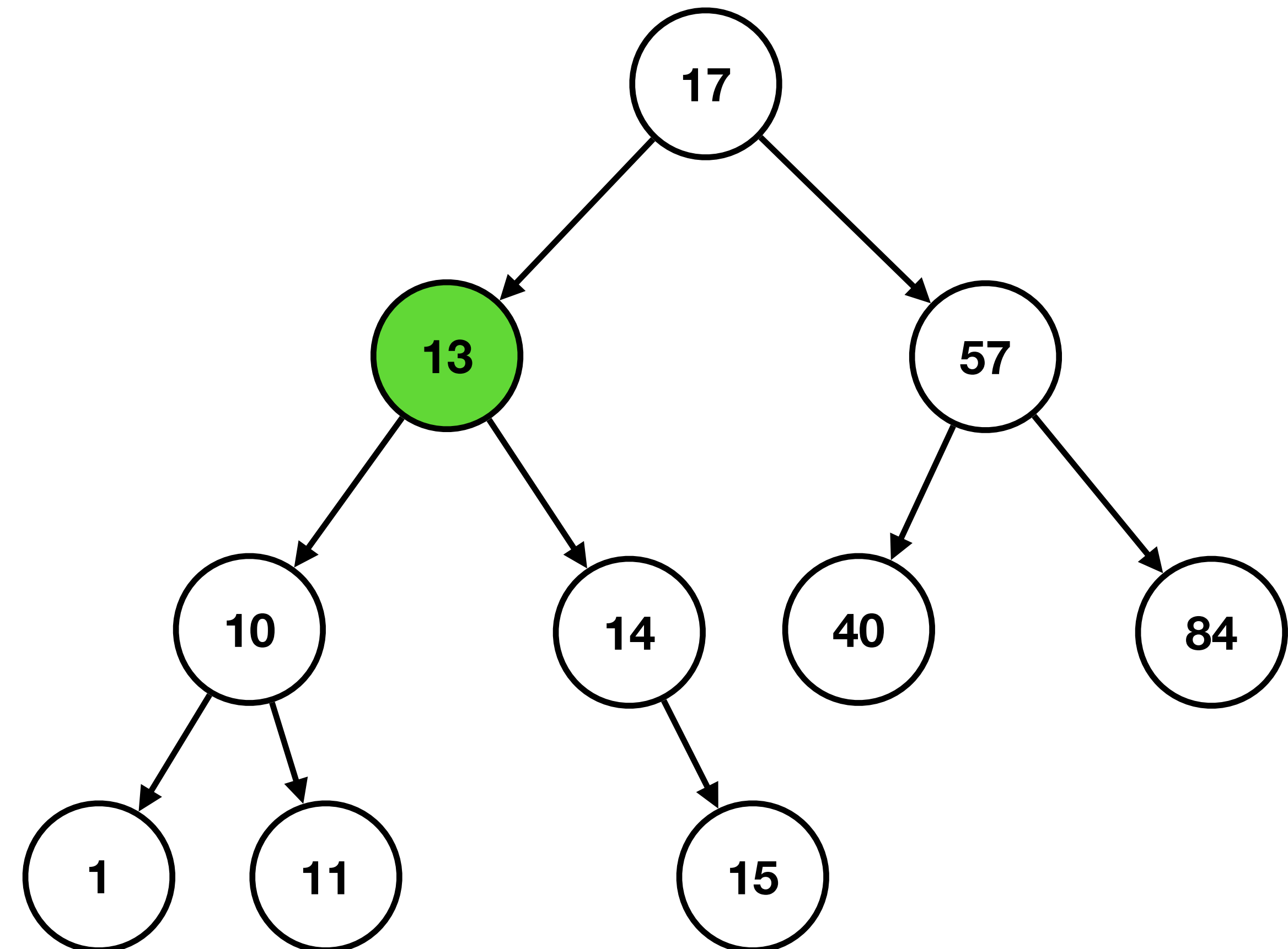
- Hardest case: node to be removed has two children



# BST

## Remove

- Hardest case: node to be removed has two children
  - Find min(right subtree), replace

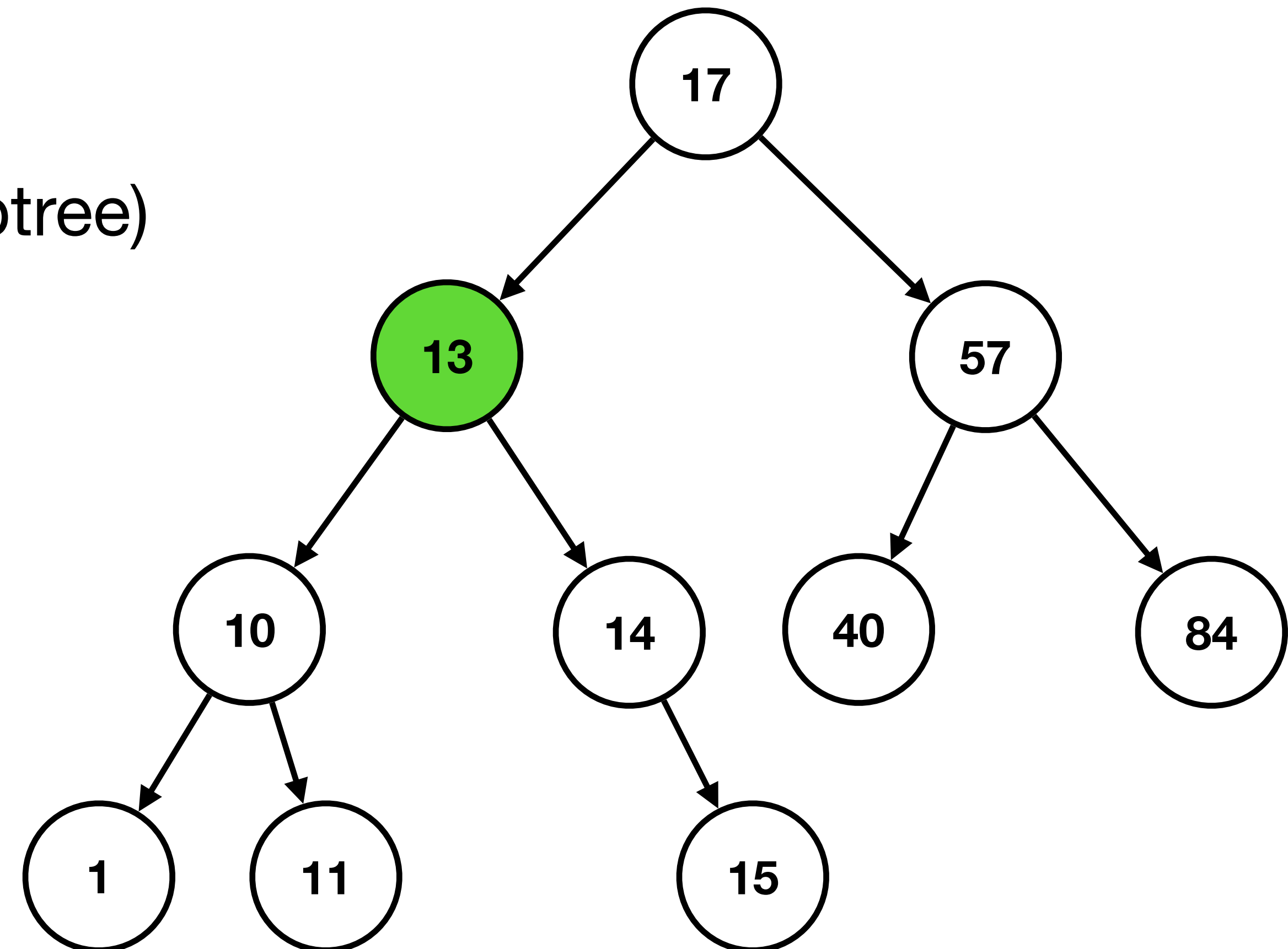




# BST

## Remove

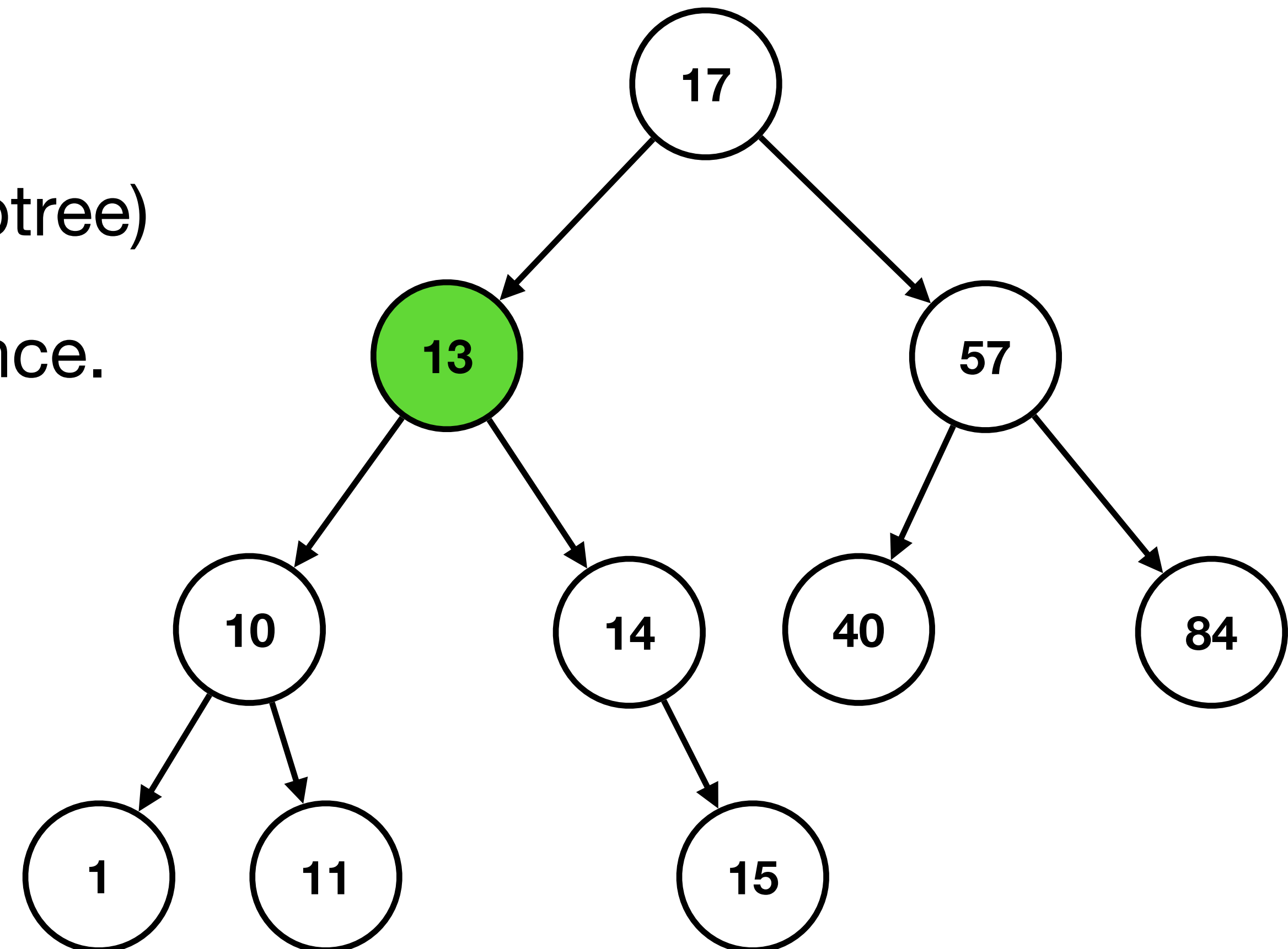
- Hardest case: node to be removed has two children
  - Find min(right subtree), replace
  - Call remove recursively on min(right subtree)



# BST

## Remove

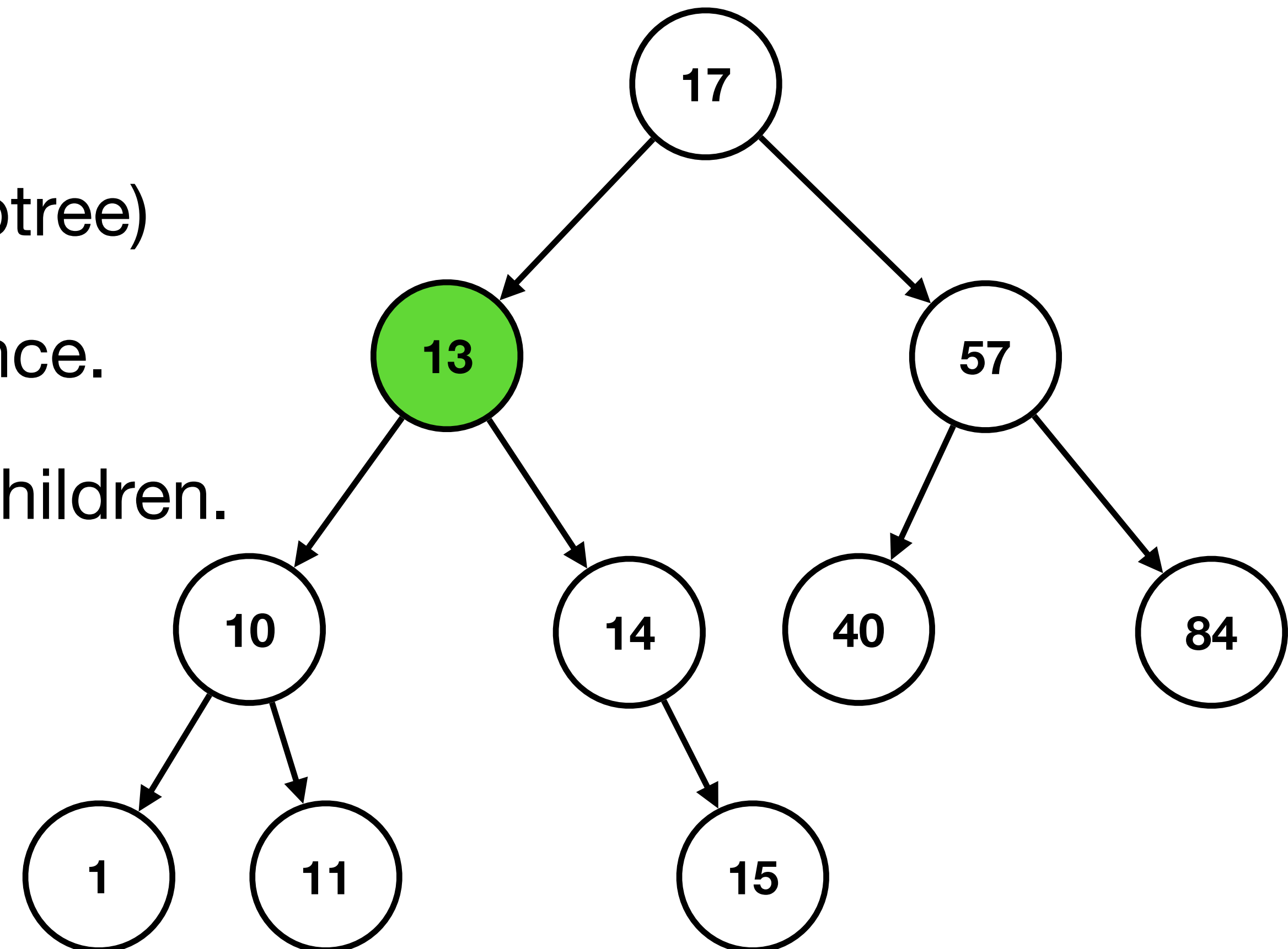
- Hardest case: node to be removed has two children
  - Find min(right subtree), replace
  - Call remove recursively on min(right subtree)
    - This recursive call will only happen once.



# BST

## Remove

- Hardest case: node to be removed has two children
  - Find min(right subtree), replace
  - Call remove recursively on min(right subtree)
    - This recursive call will only happen once.
    - min(right subtree) cannot have both children.



# BST

## Remove

# BST

## Remove

1. Find node to remove

# BST

## Remove

1. Find node to remove

- Easy case: node is leaf -- delete

# BST

## Remove

1. Find node to remove

- Easy case: node is leaf -- delete
- Harder case: node to remove has one child -- bypass

# BST

## Remove

### 1. Find node to remove

- Easy case: node is leaf -- delete
- Harder case: node to remove has one child -- bypass
- Hardest case: node to remove has both



# BST

## Remove

### 1. Find node to remove

- Easy case: node is leaf -- delete
- Harder case: node to remove has one child -- bypass
- Hardest case: node to remove has both
  - Find min(right subtree) -- replace

# BST

## Remove

### 1. Find node to remove

- Easy case: node is leaf -- delete
- Harder case: node to remove has one child -- bypass
- Hardest case: node to remove has both
  - Find min(right subtree) -- replace
  - Remove min(right subtree)

# BST

## Remove Complexity

### 1. Find node to remove

- Easy case: node is leaf -- delete
- Harder case: node to remove has one child -- bypass
- Hardest case: node to remove has both
  - Find min(right subtree) -- replace
  - Remove min(right subtree)

# BST

## Remove Complexity

1. Find node to remove

**<--  $O(\text{height})$**

- Easy case: node is leaf -- delete
- Harder case: node to remove has one child -- bypass
- Hardest case: node to remove has both
  - Find min(right subtree) -- replace
  - Remove min(right subtree)

# BST

## Remove Complexity

1. Find node to remove **<--  $O(\text{height})$** 
  - Easy case: node is leaf -- delete **<--  $O(1)$**
  - Harder case: node to remove has one child -- bypass
  - Hardest case: node to remove has both
    - Find min(right subtree) -- replace
    - Remove min(right subtree)

# BST

## Remove Complexity

1. Find node to remove **<--  $O(\text{height})$** 
  - Easy case: node is leaf -- delete **<--  $O(1)$**
  - Harder case: node to remove has one child -- bypass **<--  $O(1)$**
  - Hardest case: node to remove has both
    - Find min(right subtree) -- replace
    - Remove min(right subtree)

# BST

## Remove Complexity

1. Find node to remove **<--  $O(\text{height})$** 
  - Easy case: node is leaf -- delete **<--  $O(1)$**
  - Harder case: node to remove has one child -- bypass **<--  $O(1)$**
  - Hardest case: node to remove has both
    - Find min(right subtree) -- replace **<--  $O(\text{height})$**
    - Remove min(right subtree)

# BST

## Remove Complexity

1. Find node to remove  **$\leftarrow O(\text{height})$** 
  - Easy case: node is leaf -- delete  **$\leftarrow O(1)$**
  - Harder case: node to remove has one child -- bypass  **$\leftarrow O(1)$**
  - Hardest case: node to remove has both
    - Find min(right subtree) -- replace  **$\leftarrow O(\text{height})$**
    - Remove min(right subtree)  **$\leftarrow O(\text{height})$**



# BST

## Remove

# BST

## Remove

Overall complexity:

# BST

## Remove

Overall complexity:

$$O(\text{height}) + O(1) + O(1) + O(\text{height}) = O(\text{height})$$

# BST

## Remove

Overall complexity:

$$O(\text{height}) + O(1) + O(1) + O(\text{height}) = O(\text{height})$$

Same as insert and lookup.

# Maps

## Complexity

	lookup		insert		remove	
	average	worst	average	worst	average	worst
ArrayList	O(n)		O(1)	O(n)	O(1)	
Linked List	O(n)		O(1)		O(1)	
ArrayList (sorted)	O(log n)		O(n)		O(n)	
Linked List (sorted)	O(n)		O(1)		O(1)	

# Maps

## Complexity

	lookup		insert		remove	
	average	worst	average	worst	average	worst
ArrayList	O(n)		O(1)	O(n)	O(1)	
Linked List	O(n)		O(1)		O(1)	
ArrayList (sorted)	O(log n)		O(n)		O(n)	
Linked List (sorted)	O(n)		O(1)		O(1)	
BST						

# Maps

## Complexity

	lookup		insert		remove	
	average	worst	average	worst	average	worst
ArrayList	O(n)		O(1)	O(n)	O(1)	
Linked List	O(n)		O(1)		O(1)	
ArrayList (sorted)	O(log n)		O(n)		O(n)	
Linked List (sorted)	O(n)		O(1)		O(1)	
BST	O(log n)					

# Maps

## Complexity

	lookup		insert		remove	
	average	worst	average	worst	average	worst
ArrayList	O(n)		O(1)	O(n)	O(1)	
Linked List	O(n)		O(1)		O(1)	
ArrayList (sorted)	O(log n)		O(n)		O(n)	
Linked List (sorted)	O(n)		O(1)		O(1)	
BST	O(log n)	O(n)				



# Maps

## Complexity

	lookup		insert		remove	
	average	worst	average	worst	average	worst
ArrayList	O(n)		O(1)	O(n)	O(1)	
Linked List	O(n)		O(1)		O(1)	
ArrayList (sorted)	O(log n)		O(n)		O(n)	
Linked List (sorted)	O(n)		O(1)		O(1)	
BST	O(log n)	O(n)	O(log n)			

# Maps

## Complexity

	lookup		insert		remove	
	average	worst	average	worst	average	worst
ArrayList	O(n)		O(1)	O(n)	O(1)	
Linked List	O(n)		O(1)		O(1)	
ArrayList (sorted)	O(log n)		O(n)		O(n)	
Linked List (sorted)	O(n)		O(1)		O(1)	
BST	O(log n)	O(n)	O(log n)	O(n)		

# Maps

## Complexity

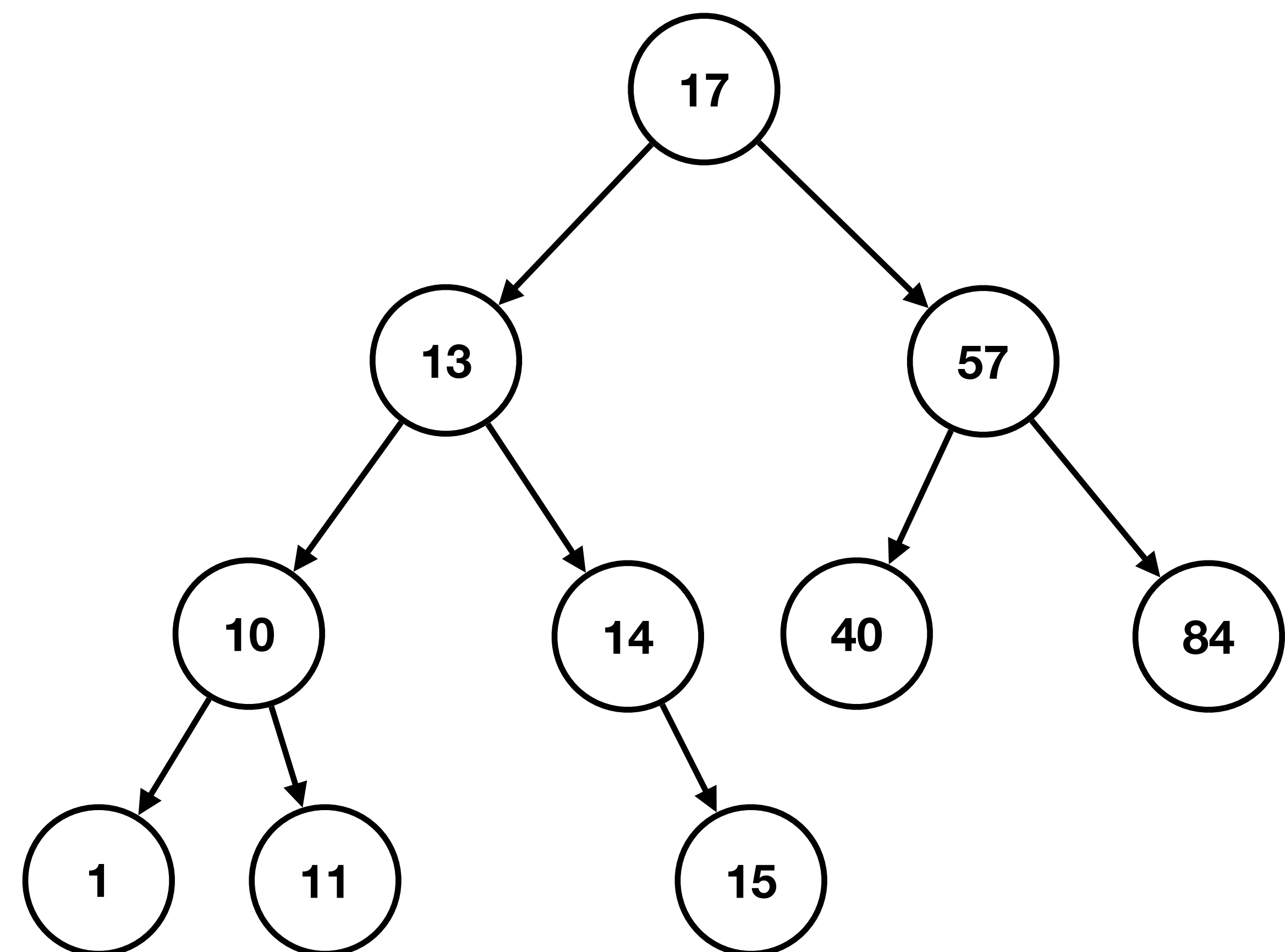
	lookup		insert		remove	
	average	worst	average	worst	average	worst
ArrayList	O(n)		O(1)	O(n)	O(1)	
Linked List	O(n)		O(1)		O(1)	
ArrayList (sorted)	O(log n)		O(n)		O(n)	
Linked List (sorted)	O(n)		O(1)		O(1)	
BST	O(log n)	O(n)	O(log n)	O(n)	O(log n)	

# Maps

## Complexity

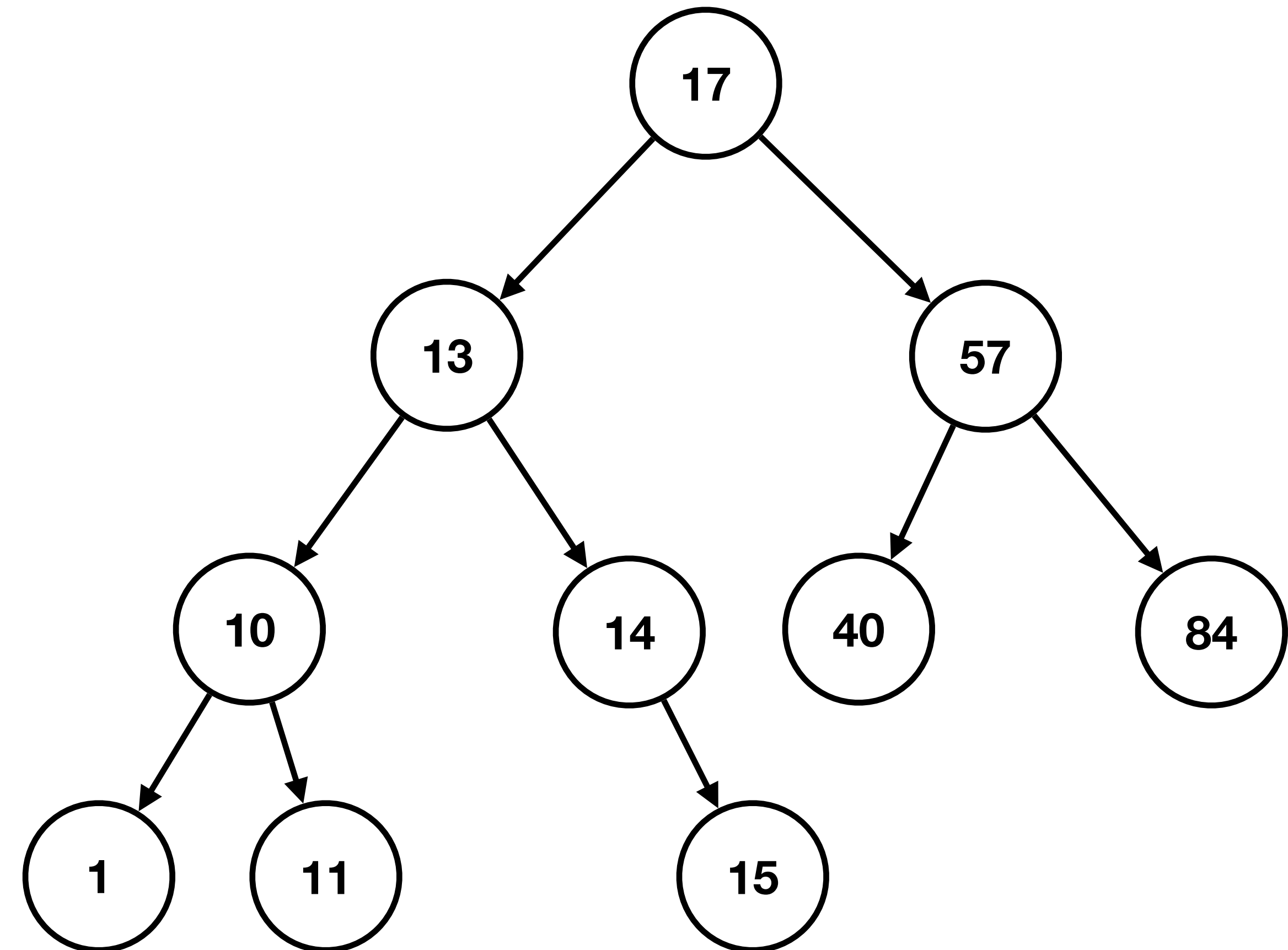
	lookup		insert		remove	
	average	worst	average	worst	average	worst
ArrayList	O(n)		O(1)	O(n)	O(1)	
Linked List	O(n)		O(1)		O(1)	
ArrayList (sorted)	O(log n)		O(n)		O(n)	
Linked List (sorted)	O(n)		O(1)		O(1)	
BST	O(log n)	O(n)	O(log n)	O(n)	O(log n)	O(n)

# Back to sorting



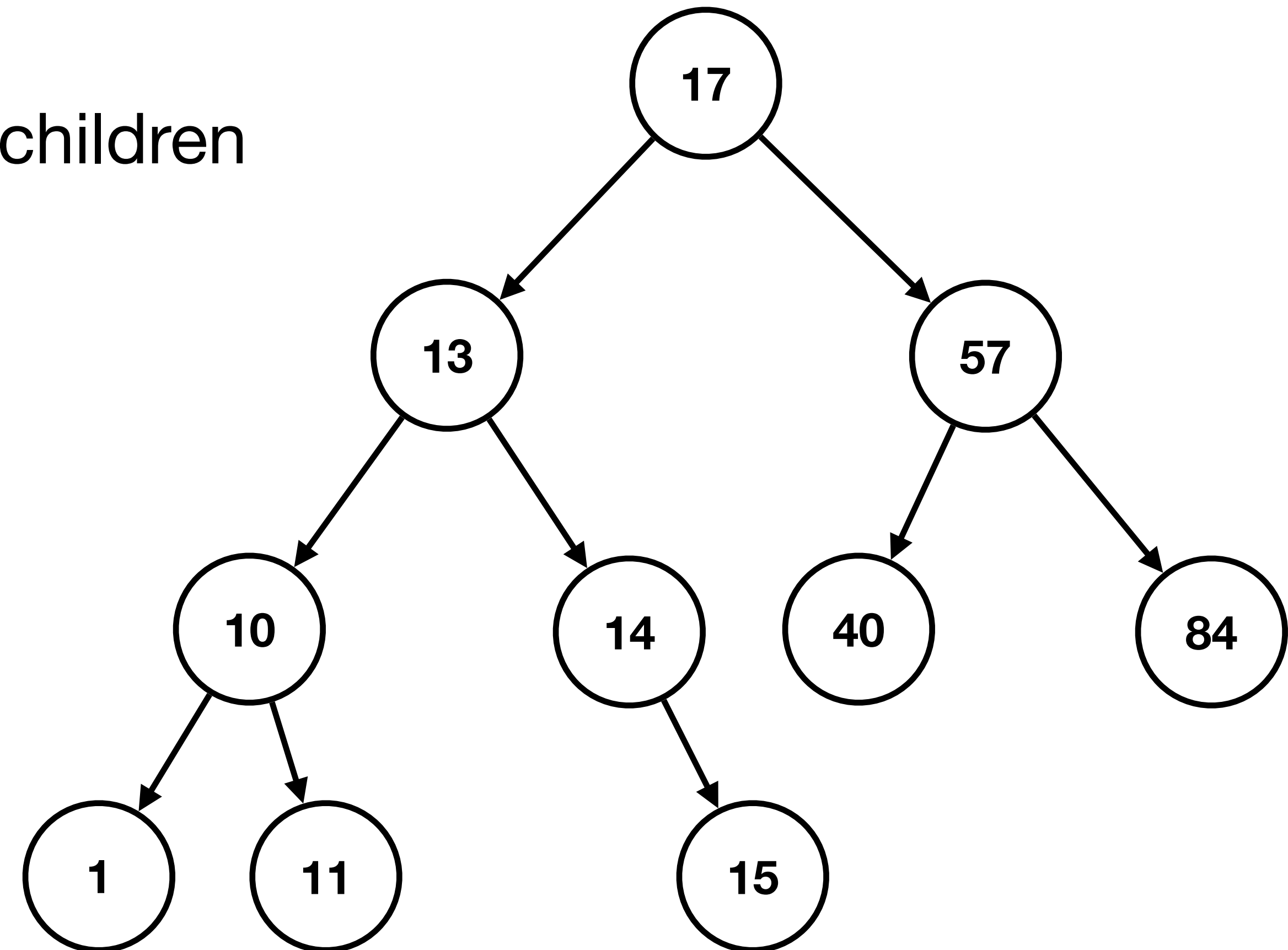
# Back to sorting

- If we have a BST, how can we visit all nodes in sorted order?



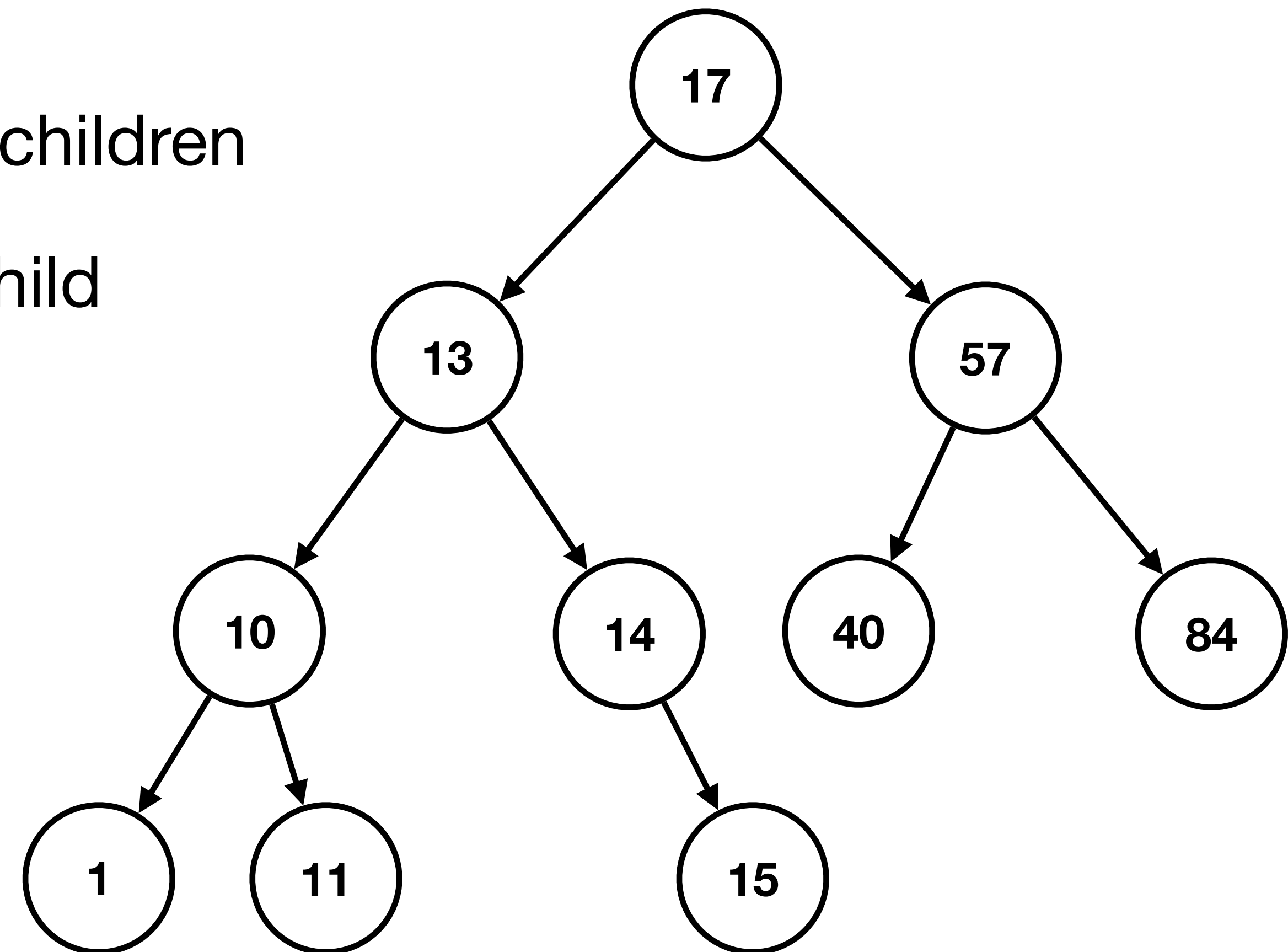
# Back to sorting

- If we have a BST, how can we visit all nodes in sorted order?
  - pre-order traversal: curr first, then both children



# Back to sorting

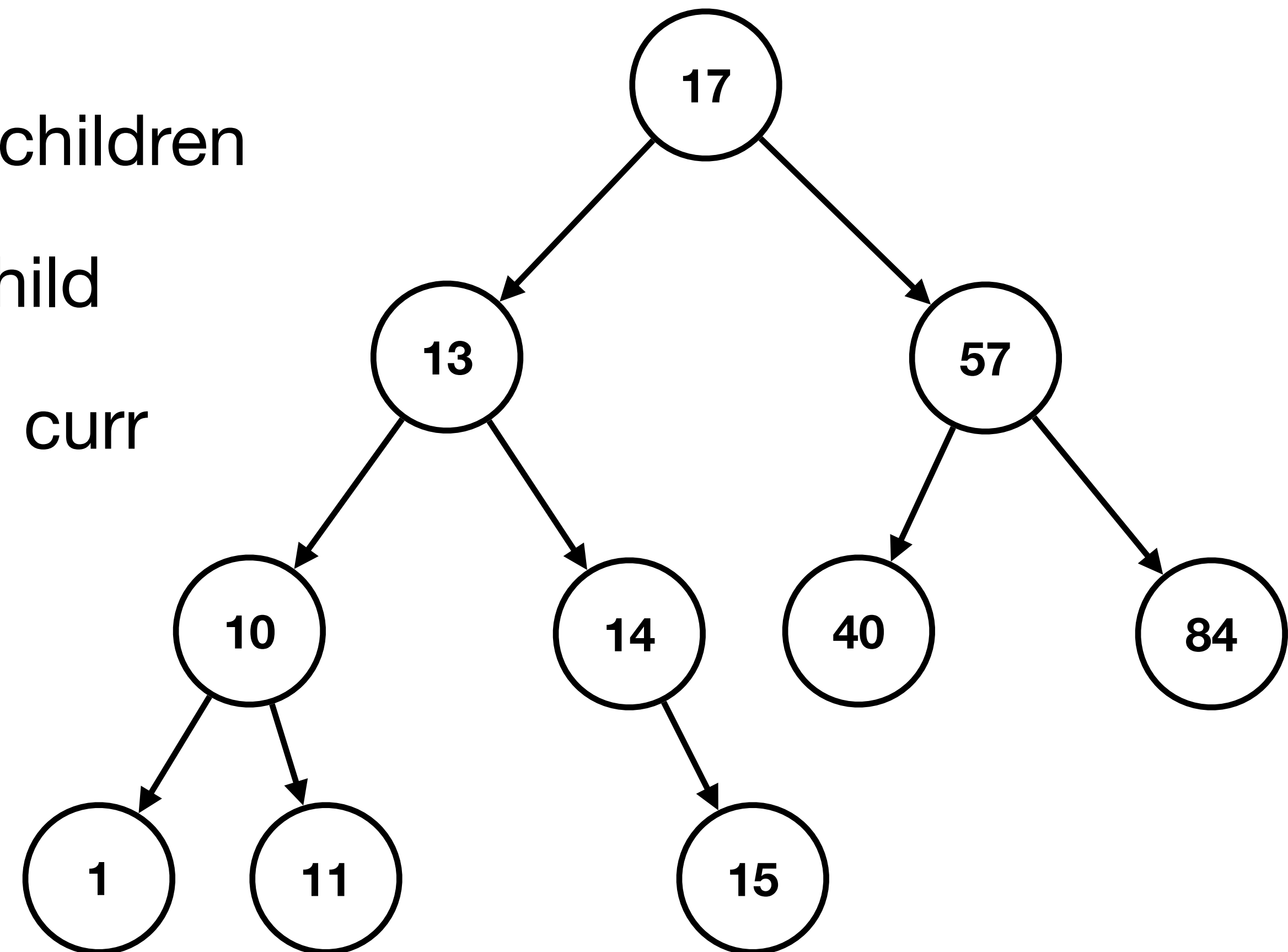
- If we have a BST, how can we visit all nodes in sorted order?
  - pre-order traversal: curr first, then both children
  - in-order traversal: left child, curr, right child





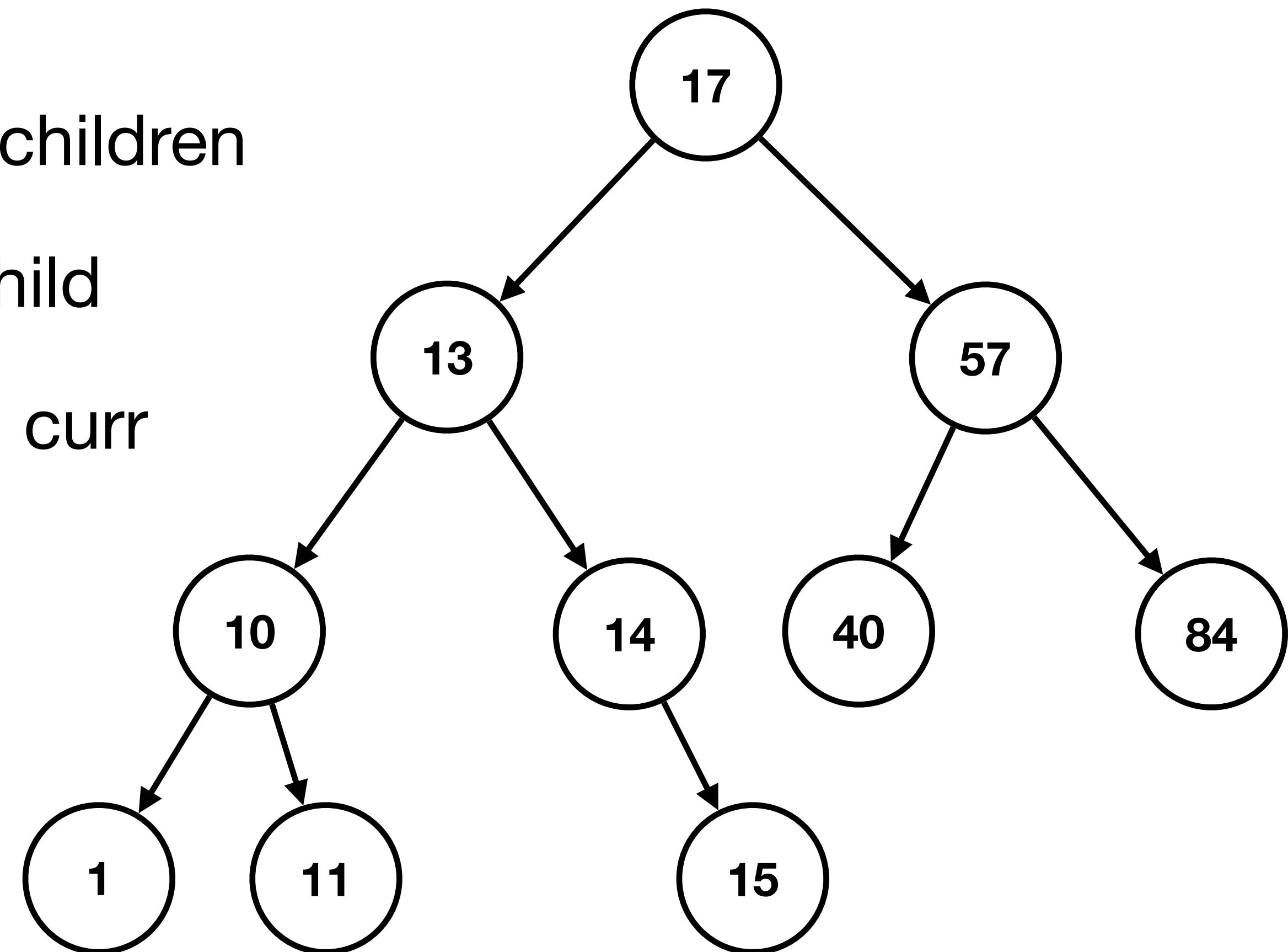
# Back to sorting

- If we have a BST, how can we visit all nodes in sorted order?
  - pre-order traversal: curr first, then both children
  - in-order traversal: left child, curr, right child
  - post-order traversal: both children, then curr



# Back to sorting

- If we have a BST, how can we visit all nodes in sorted order?
  - pre-order traversal: curr first, then both children
  - ✓ • in-order traversal: left child, curr, right child
  - post-order traversal: both children, then curr



# Sorting

## In-order Traversal

```
void walk(struct tree_node *tree,  
          void (*visit)(void *key, void *value, void *data),  
          void *data);
```

# Sorting

## In-order Traversal

```
void walk(struct tree_node *tree,  
          void (*visit)(void *key, void *value, void *data),  
          void *data)  
{
```

# Sorting

## In-order Traversal

```
void walk(struct tree_node *tree,  
          void (*visit)(void *key, void *value, void *data),  
          void *data)  
{  
    if (tree == NULL) {
```

# Sorting

## In-order Traversal

```
void walk(struct tree_node *tree,  
          void (*visit)(void *key, void *value, void *data),  
          void *data)  
{  
    if (tree == NULL) {  
        return;  
    }
```

# Sorting

## In-order Traversal

```
void walk(struct tree_node *tree,  
          void (*visit)(void *key, void *value, void *data),  
          void *data)  
{  
    if (tree == NULL) {  
        return;  
    }
```

# Sorting

## In-order Traversal

```
void walk(struct tree_node *tree,  
          void (*visit)(void *key, void *value, void *data),  
          void *data)  
{  
    if (tree == NULL) {  
        return;  
    }  
    walk(tree->left, visit, data);
```



# Sorting

## In-order Traversal

```
void walk(struct tree_node *tree,  
          void (*visit)(void *key, void *value, void *data),  
          void *data)  
{  
    if (tree == NULL) {  
        return;  
    }  
    walk(tree->left, visit, data);  
    visit(tree->key, tree->value, data);  
}
```

# Sorting

## In-order Traversal

```
void walk(struct tree_node *tree,  
          void (*visit)(void *key, void *value, void *data),  
          void *data)  
{  
    if (tree == NULL) {  
        return;  
    }  
    walk(tree->left, visit, data);  
    visit(tree->key, tree->value, data);  
    walk(tree->right, visit, data);  
}
```

# Sorting

## In-order Traversal

```
void walk(struct tree_node *tree,  
          void (*visit)(void *key, void *value, void *data),  
          void *data)  
{  
    if (tree == NULL) {  
        return;  
    }  
    walk(tree->left, visit, data);  
    visit(tree->key, tree->value, data);  
    walk(tree->right, visit, data);  
}
```

# Sorting

## In-order Traversal

```
void walk(struct tree_node *tree,
          void (*visit)(void *key, void *value, void *data),
          void *data)
{
    if (tree == NULL) {
        return;
    }
    walk(tree->left, visit, data);
    visit(tree->key, tree->value, data);
    walk(tree->right, visit, data);
}
```

# Sorting

## Pre-order Traversal

```
void walk(struct tree_node *tree,  
          void (*visit)(void *key, void *value, void *data),  
          void *data)  
{  
    if (tree == NULL) {  
        return;  
    }  
    visit(tree->key, tree->value, data);  
    walk(tree->left, visit, data);  
    walk(tree->right, visit, data);  
}
```

# Sorting

## Post-order Traversal

```
void walk(struct tree_node *tree,
          void (*visit)(void *key, void *value, void *data),
          void *data)
{
    if (tree == NULL) {
        return;
    }
    walk(tree->left, visit, data);
    walk(tree->right, visit, data);
    visit(tree->key, tree->value, data);
}
```