Asymptotic Complexity Sorting

CS143: lecture 11

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- But for larger inputs Algorithm B seems to achieve better times
- Except the last input where Algorithm A finishes much much faster

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- The last input is actually a special case where Algorithm A bypasses most of the work needed

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- For different sizes which one is better changes
- For small inputs all algorithms will take similar times
- But for large inputs a linear and a quadratic algorithm will have very different run times

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- Therefore as $n \to \infty$ g(n) grows at least as fast as f(n)
- From this point on we will say that $f(n) \in O(g(n))$

Symbol	Limit definition	Constant definition	Relation	Name
$f(n) \in O\left(g(n)\right)$	$ \limsup_{n \to \infty} \frac{f(n)}{g(n)} \in \mathbb{R} $	$\exists \ n_0 \in \mathbb{N}, c \in \mathbb{R} : \forall \ n > n_0 f(n) \le c \cdot g(n)$	<u><</u>	Big-O
$f(n) \in \Omega\left(g(n)\right)$	f(n)		<u>></u>	Big-Omega
$f(n) \in \Theta\left(g(n)\right)$	$0 < \limsup_{n \to \infty} \frac{f(n)}{g(n)} \in \mathbb{R}$	$\exists \ n_0 \in \mathbb{N}, c_1, c_2 \in \mathbb{R} : \forall \ n > n_0 c_1 \cdot g(n) \le f(n) \le c_2 \cdot g(n)$		Theta
$f(n) \in o\left(g(n)\right)$	$\lim_{n \to \infty} \sup \frac{f(n)}{g(n)} = 0$	$\forall c \in \mathbb{R} \exists n_0 \in \mathbb{N} : \forall n > n_0 f(n) \leq c \cdot g(n)$	<	little-o
$f(n) \in \omega\left(g(n)\right)$	$\lim_{n \to \infty} \sup \frac{f(n)}{g(n)} = +\infty$	$\forall c \in \mathbb{R} \exists n_0 \in \mathbb{N} : \forall n > n_0 f(n) \ge c \cdot g(n)$	<	little- omega

L'Hôpital's rule

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For example:
$$\limsup_{n \to \infty} \frac{3 + 80n}{3 + 6n + 6n^2} = \limsup_{n \to \infty} \frac{80}{6 + 12n} = 0$$

Properties

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$$\lim_{n \to \infty} \frac{a_k n^k + \dots + a_0}{n^k} = \lim_{n \to \infty} \frac{a_k n^k}{n^k} + \dots + \frac{a_0}{n^k} = a_k + \dots + 0 = a_k \in \mathbb{R}$$

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- Sum: If $f_1(n) = O\left(g(n)\right)$ and $f_2(n) = O\left(g(n)\right)$ then $f_1(n) + f_2(n) = O\left(g(n)\right)$

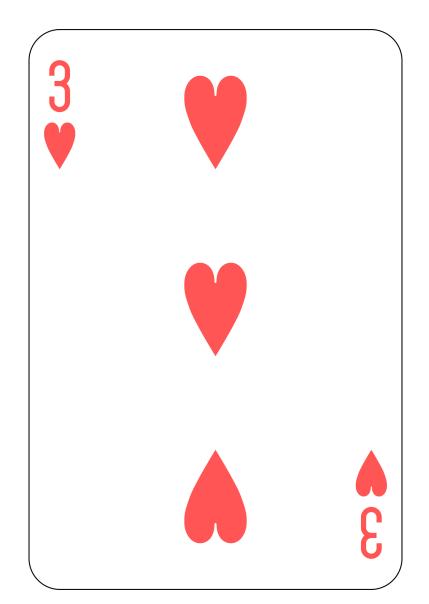
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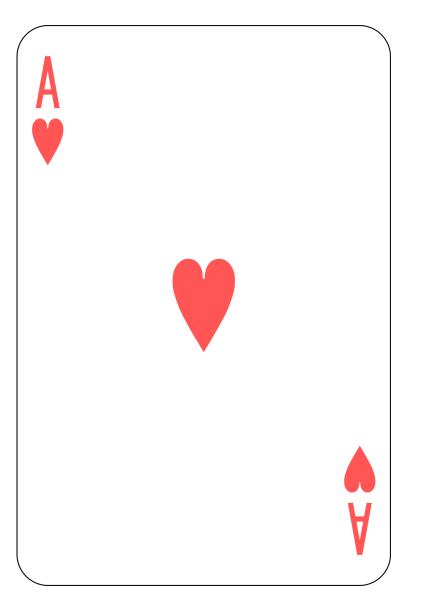
Putting things in order

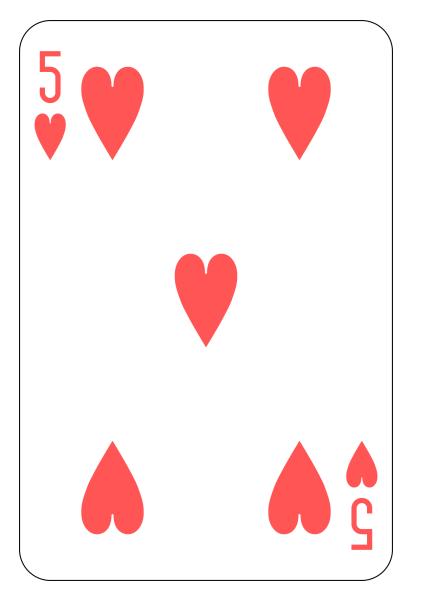
- What do we have:
 - A list of n elements
 - A comparison function: ≤
- What do we want:
 - The list has all the same elements as it started with
 - If $i \leq j$, list[i] \leq list[j]

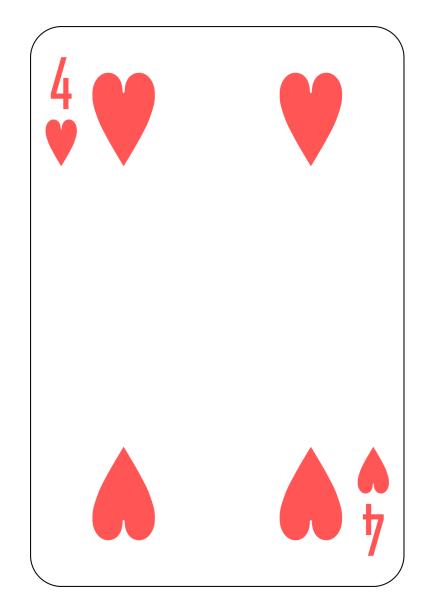
Sorting Example

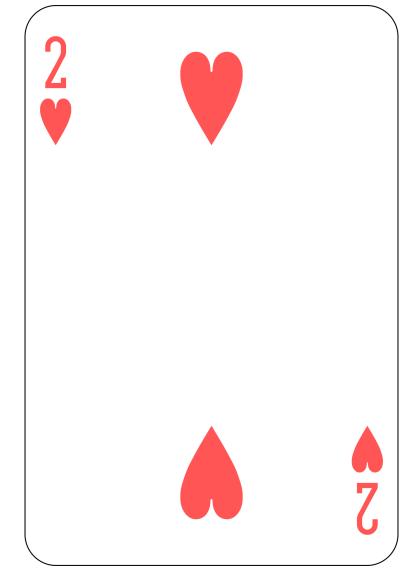
Example



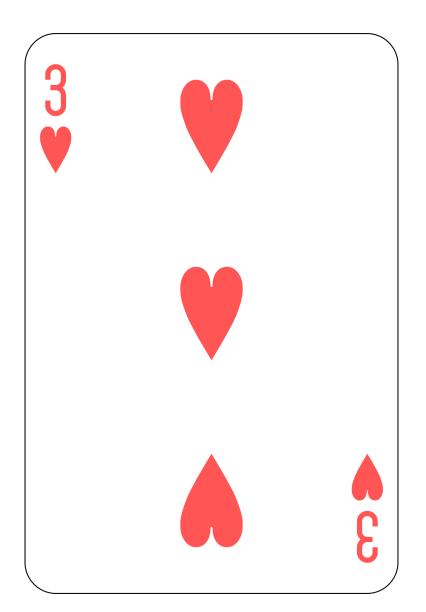


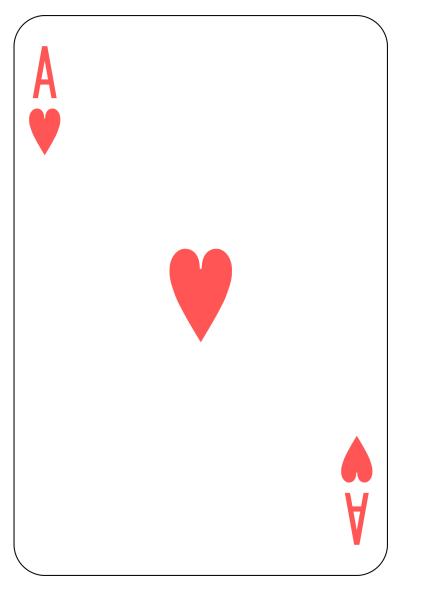


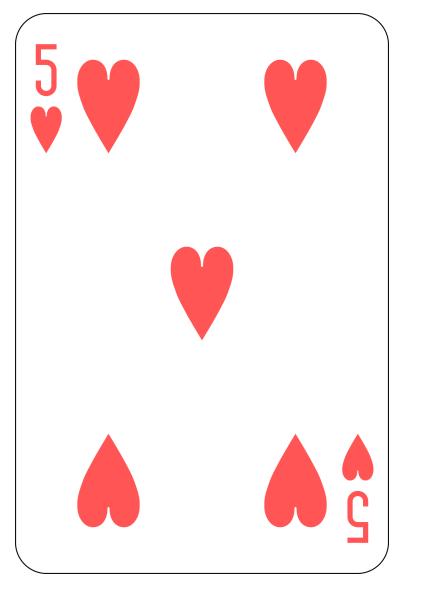


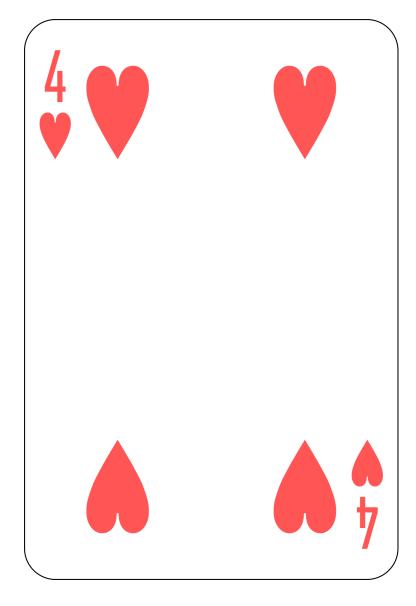


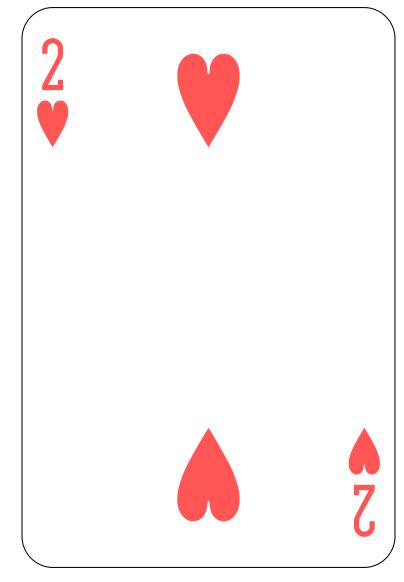
Example 1 (how I do it)



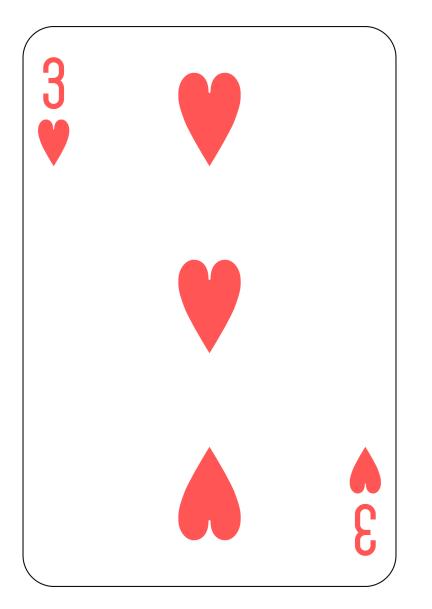


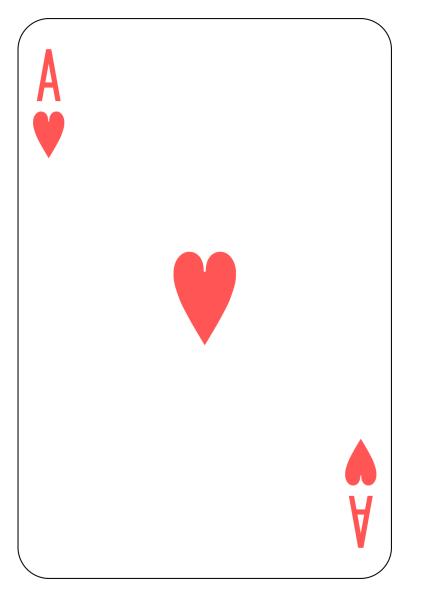


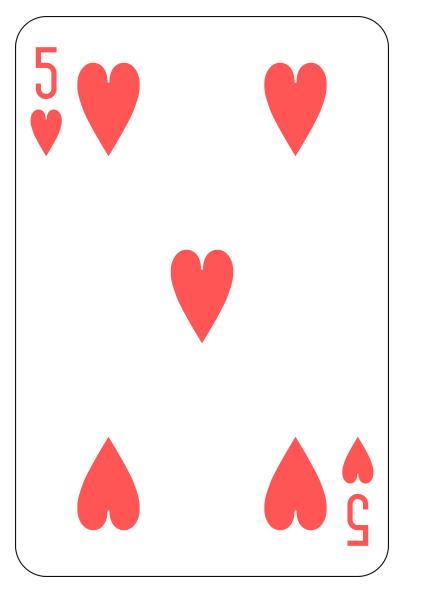


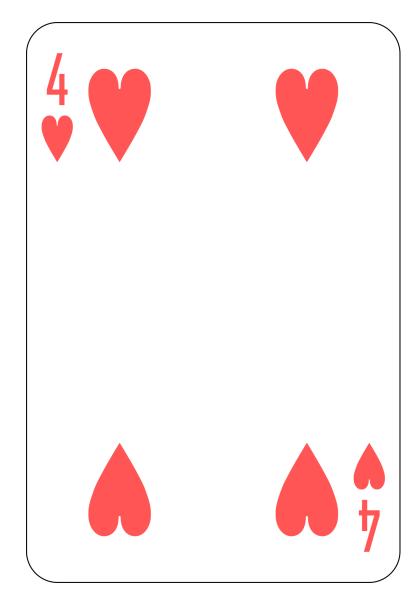


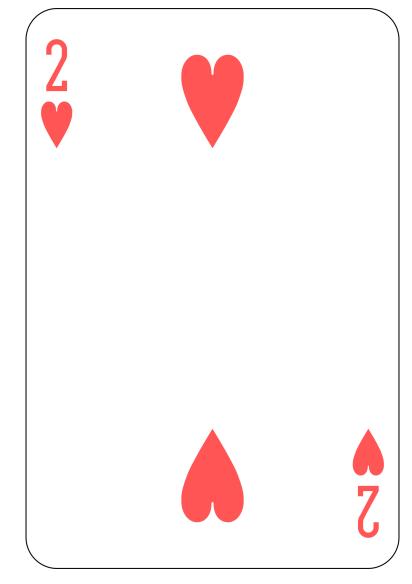
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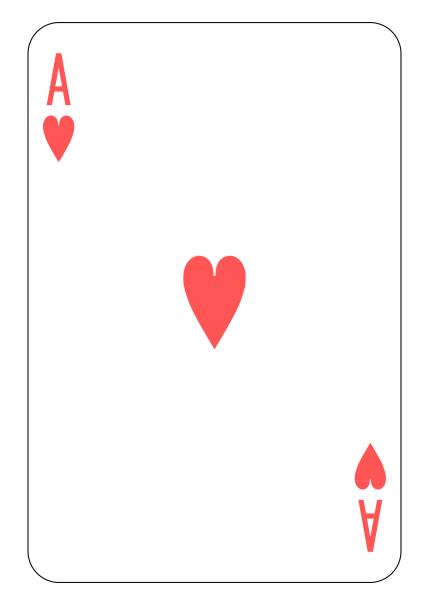


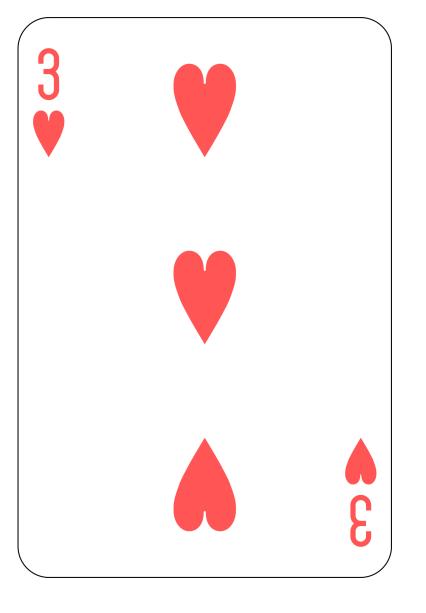


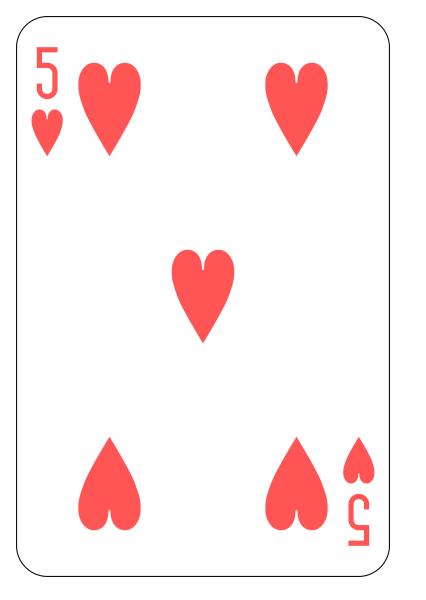


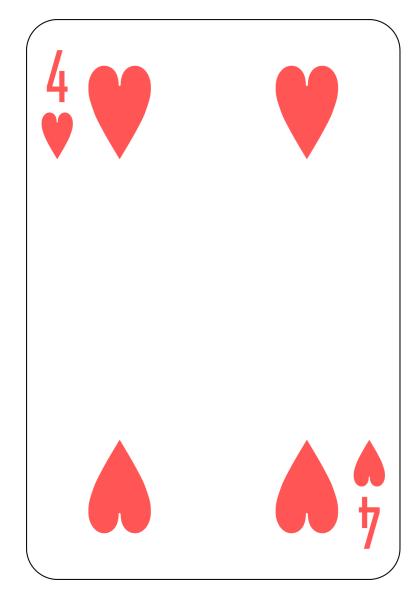


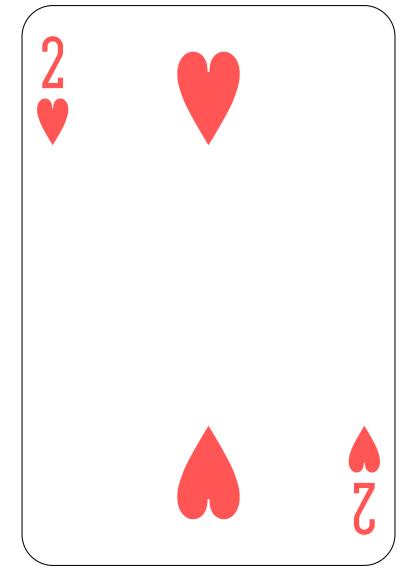
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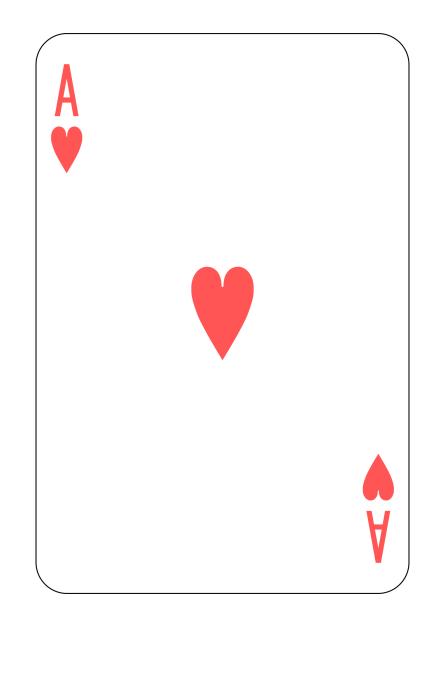


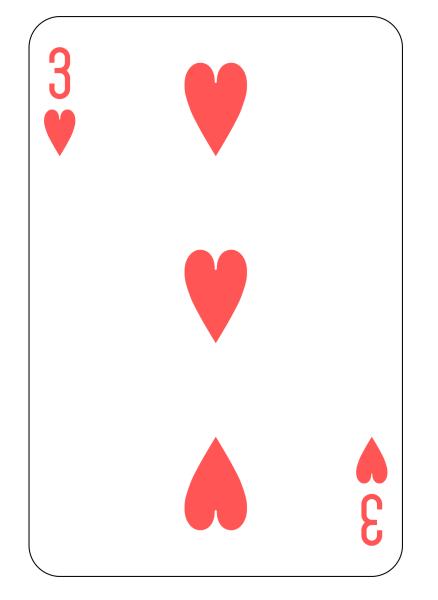


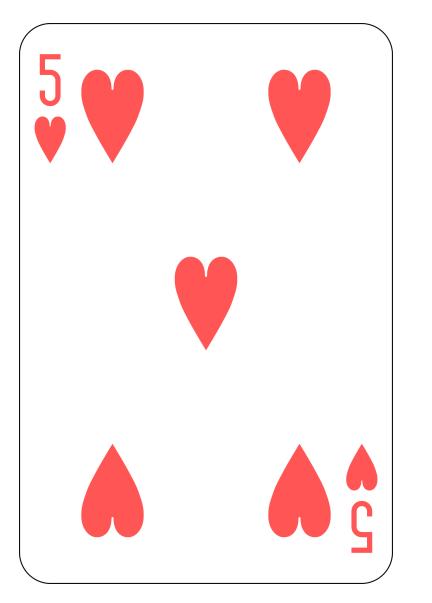


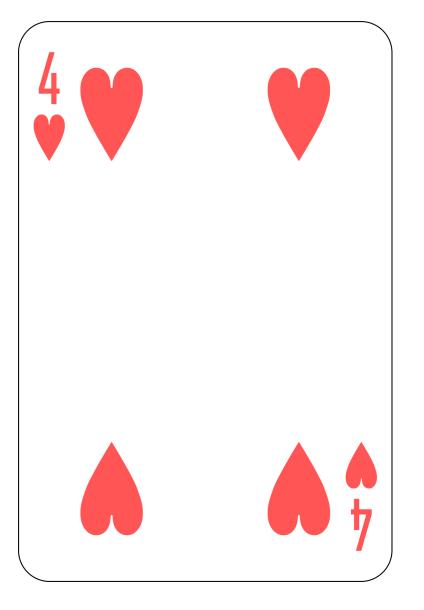


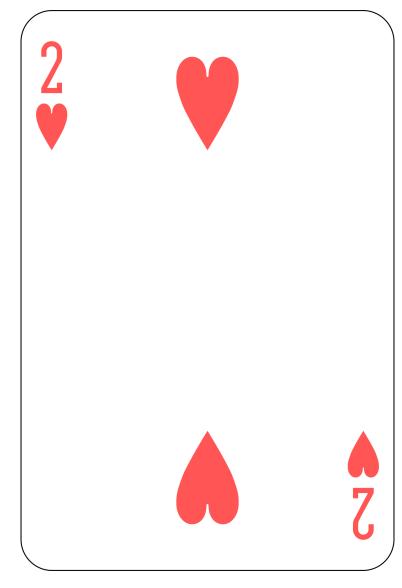
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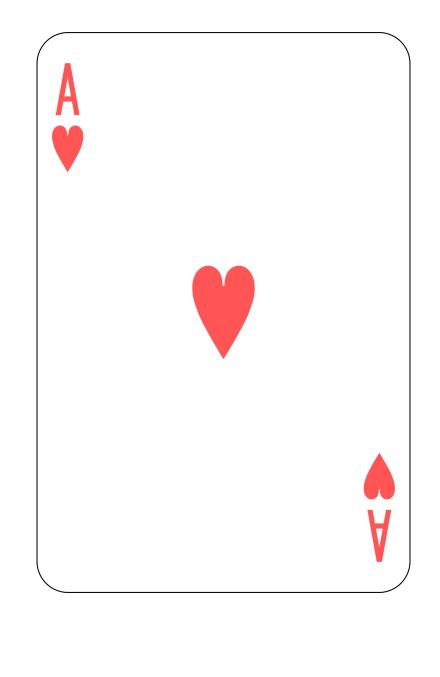


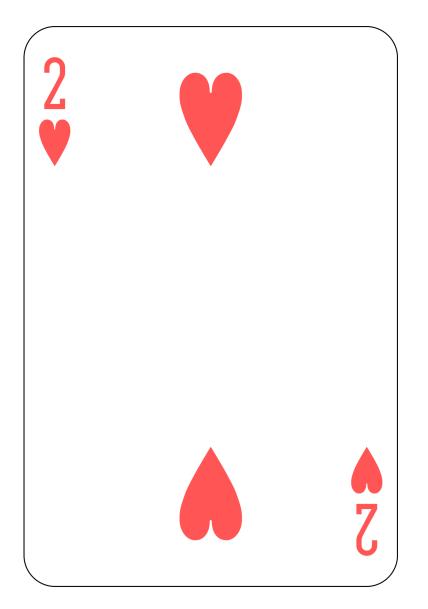


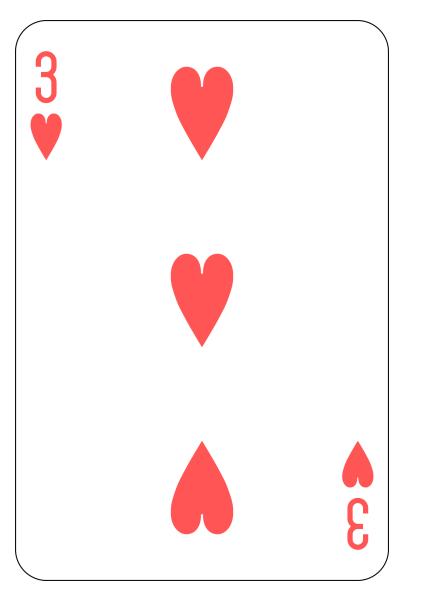


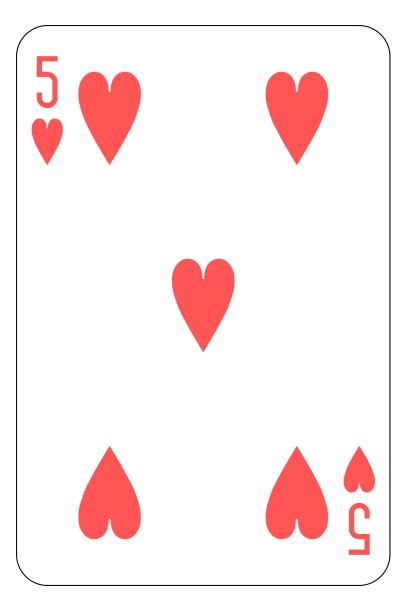


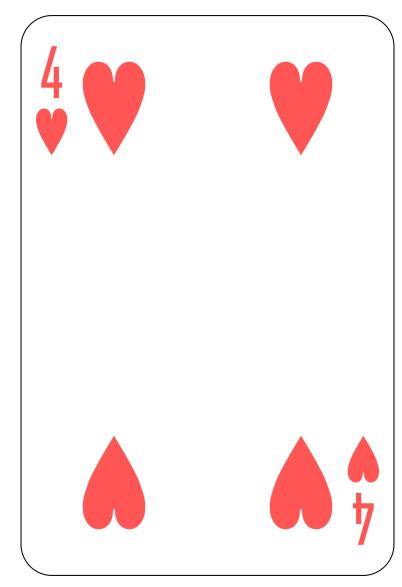
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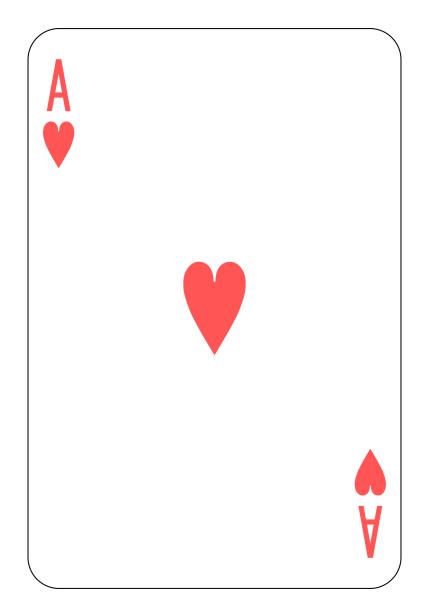


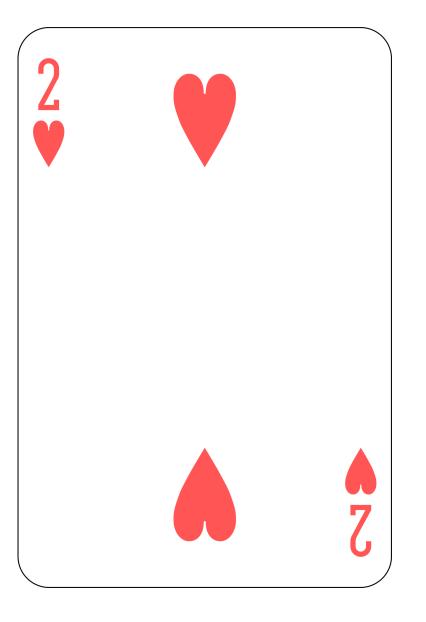


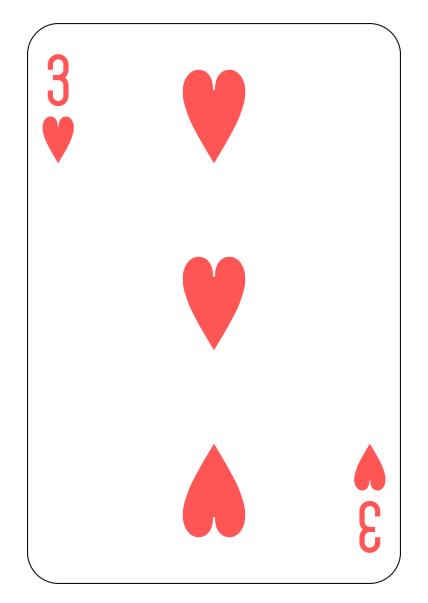


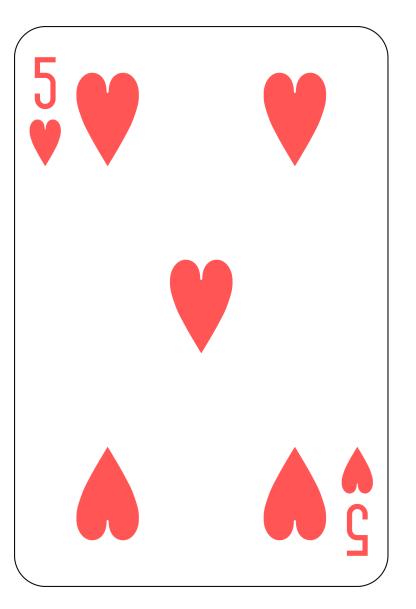


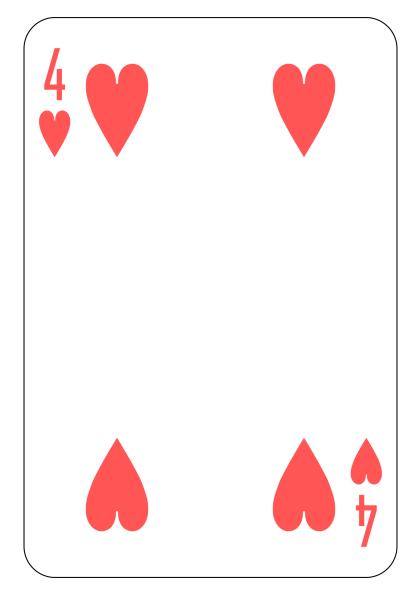
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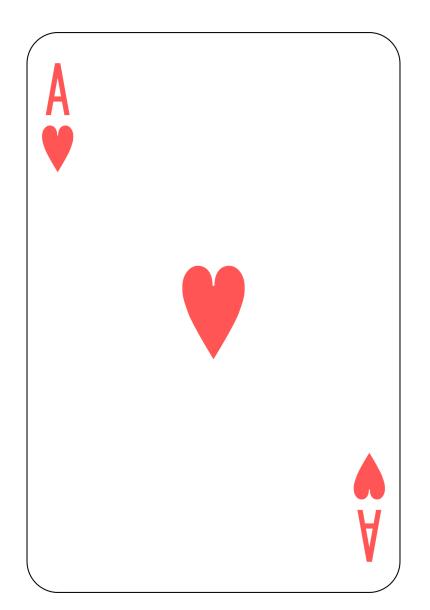


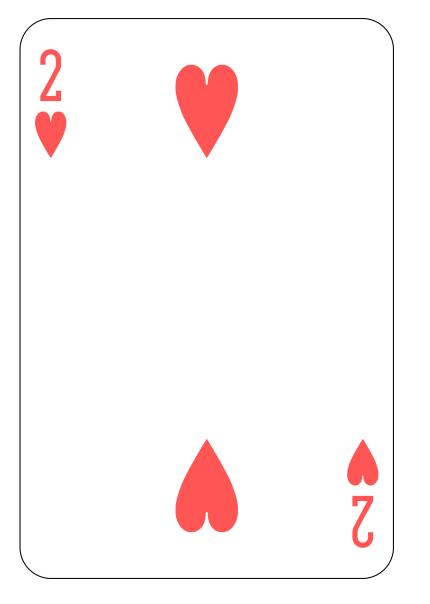


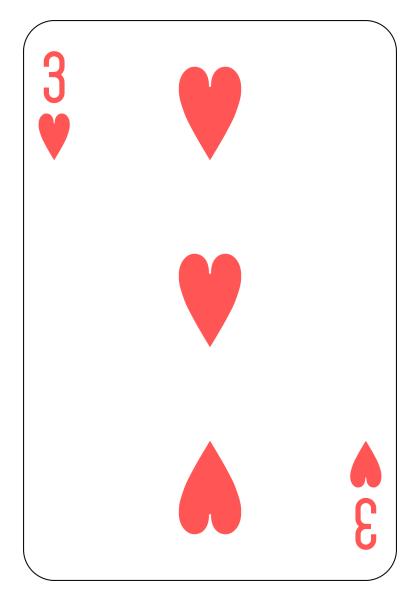


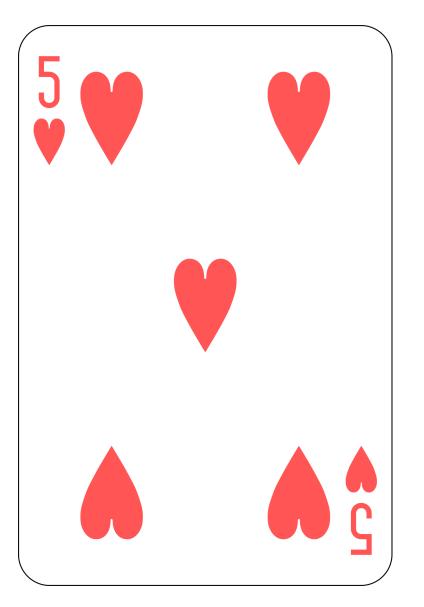


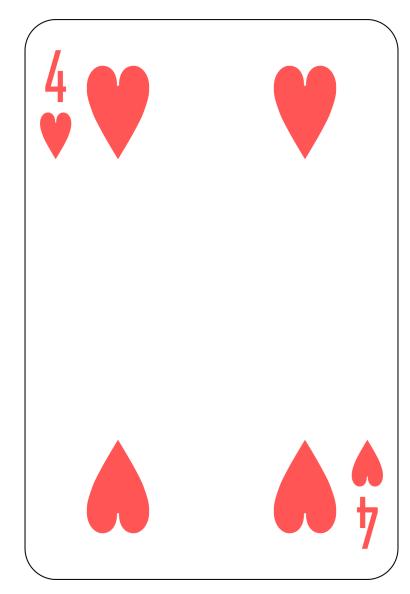
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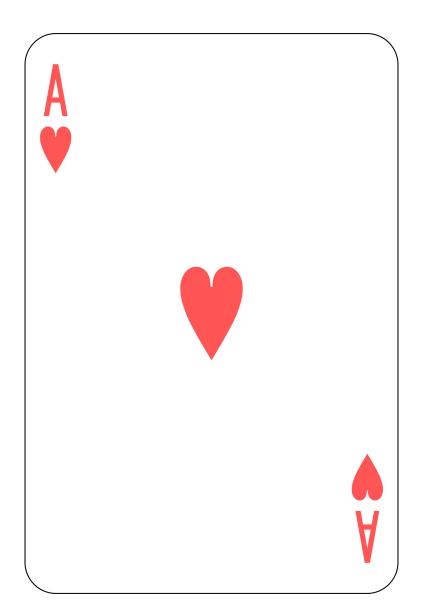


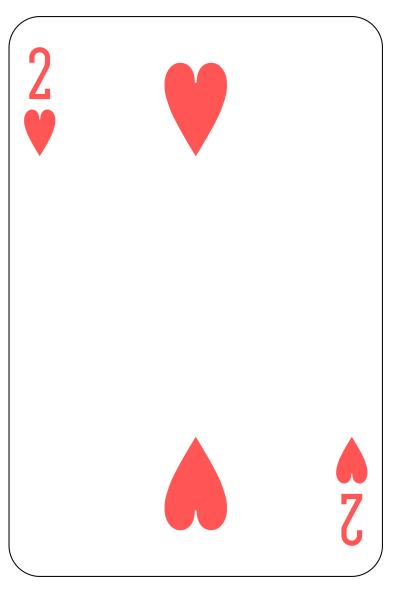


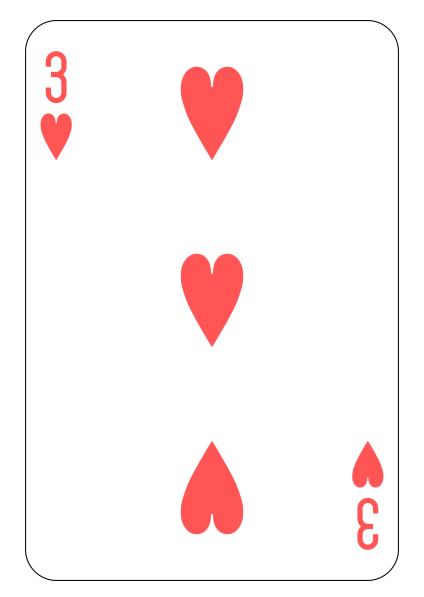


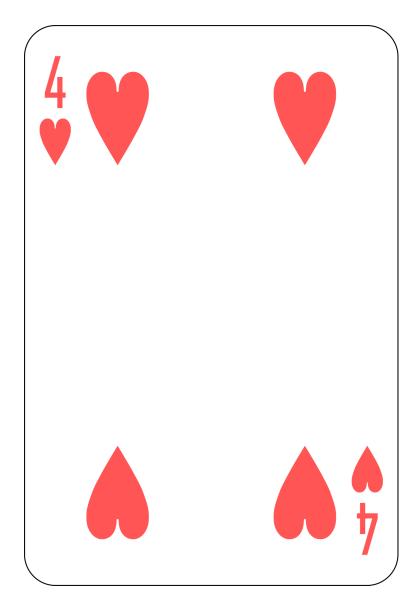


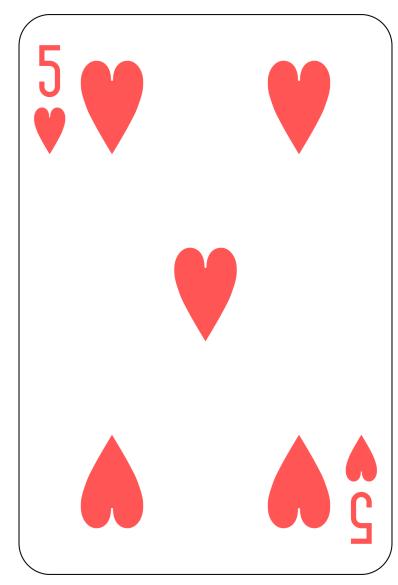
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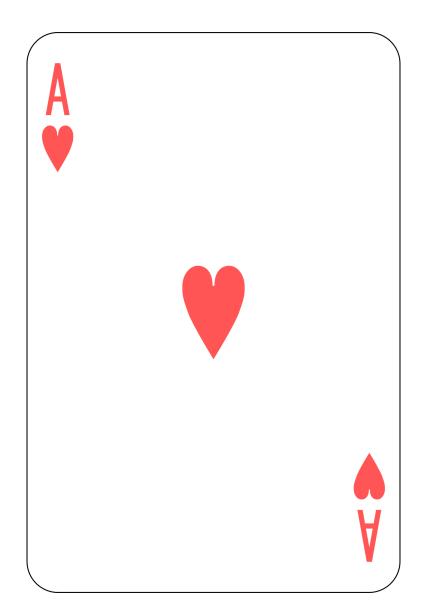


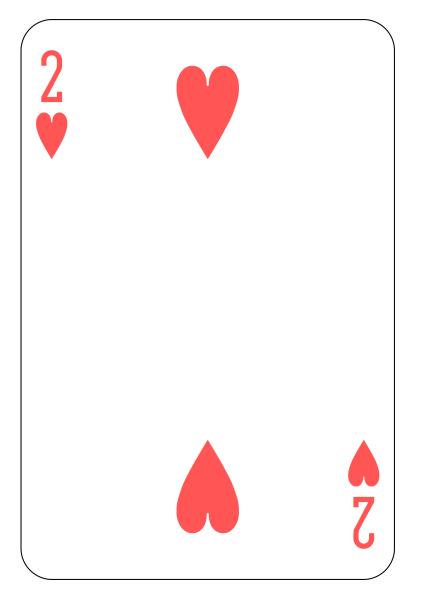


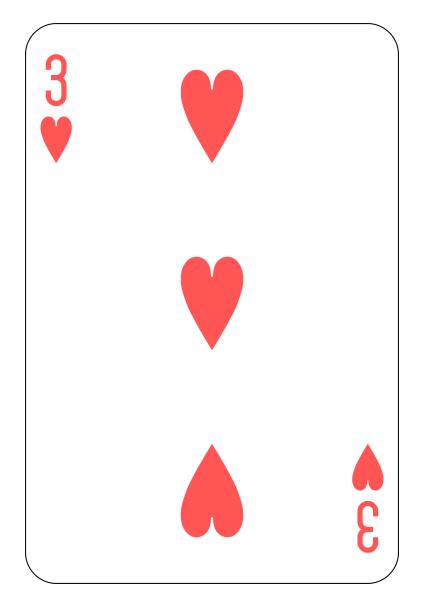


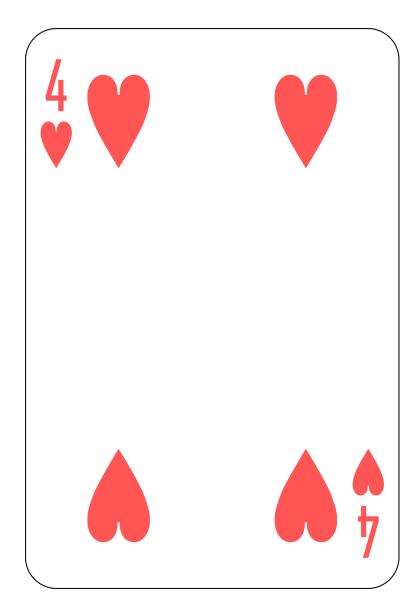


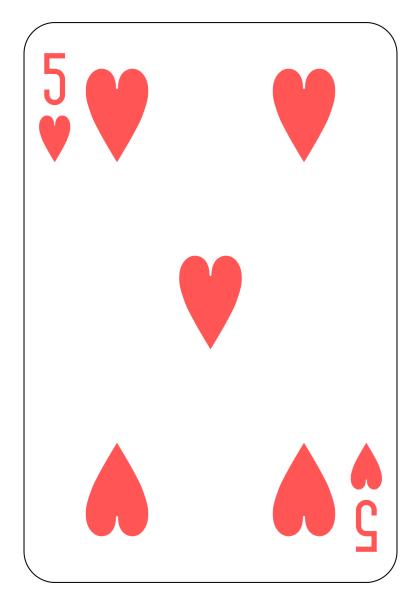
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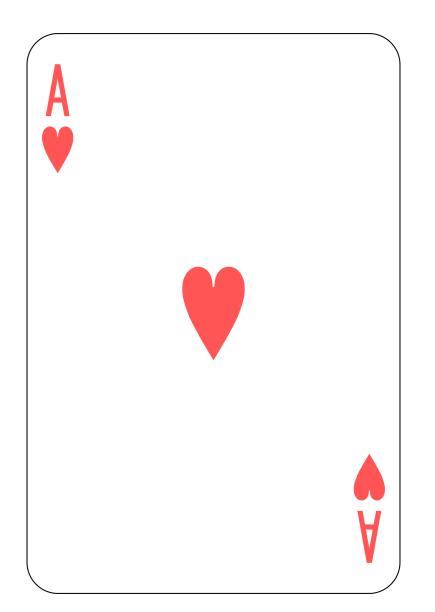


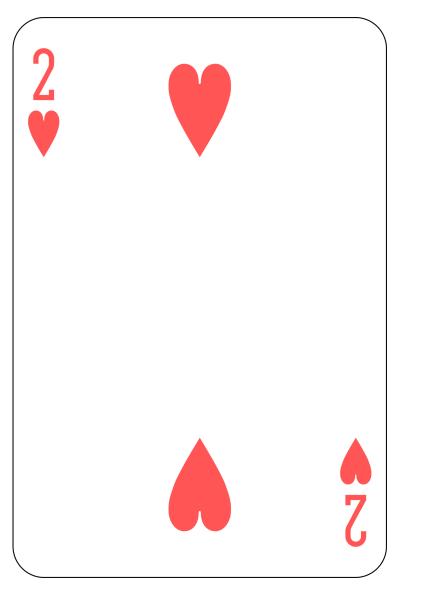


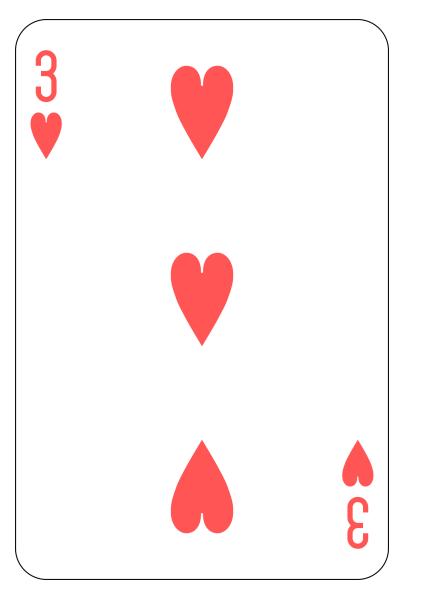


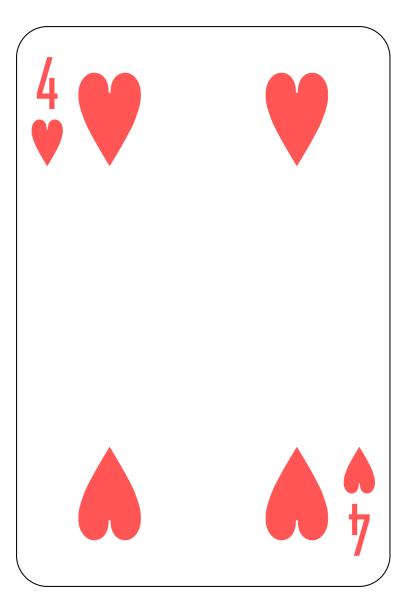


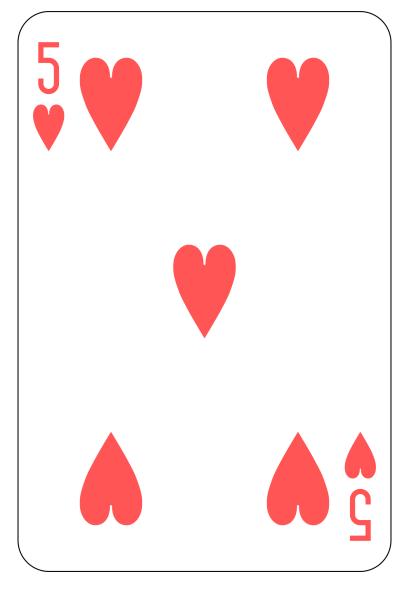
Example 1 (how I do it)











Example 1 (how I do it): Algorithm

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For i = 1 to n - 1:
    min = index of smallest in A[i : n]
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Why swap instead of pushing things over?

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 - It's more efficient and we don't care about the order of the unsorted part

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- Why swap instead of pushing things over?
 - It's more efficient and we don't care about the order of the unsorted part
- This is called selection sort -- we select the one we want repeatedly.

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How many comparisons do we need to do?

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- How many comparisons do we need to do?
 - $(n-1) + (n-2) + ... + 1 = n(n-1)/2 = O(n^2)$

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How many swaps?

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How many comparisons do we need to do?

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$$(n-1) + (n-2) + ... + 1 = n(n-1)/2 = O(n^2)$$

- How many swaps?
 - n-1

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Everything left of the line is sorted.

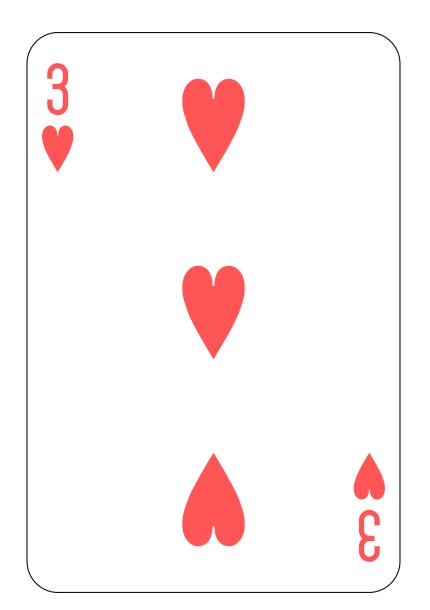
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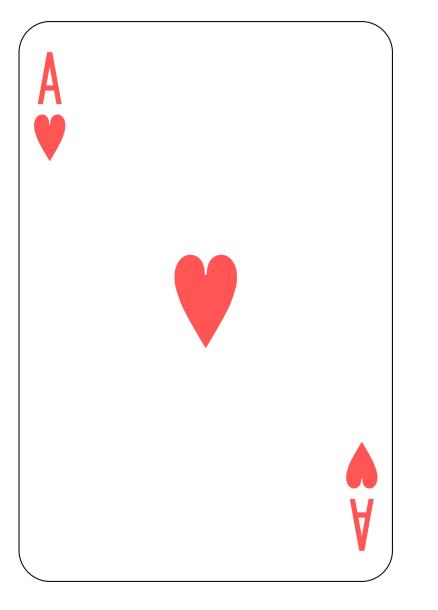
```
For i = 1 to n - 1:
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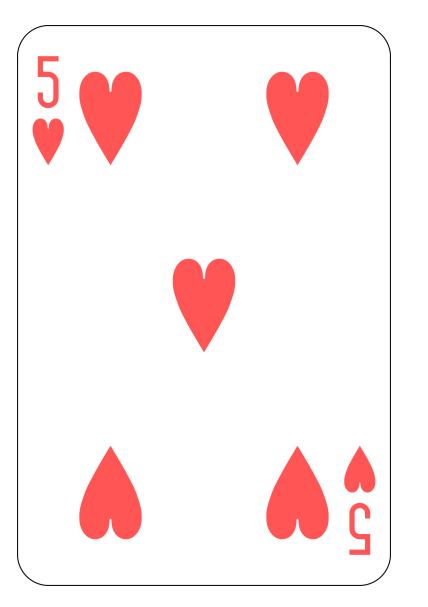
- Everything left of the line is sorted.
- Scanning the right (unsorted) part, and putting it to the end of the left (sorted) part.

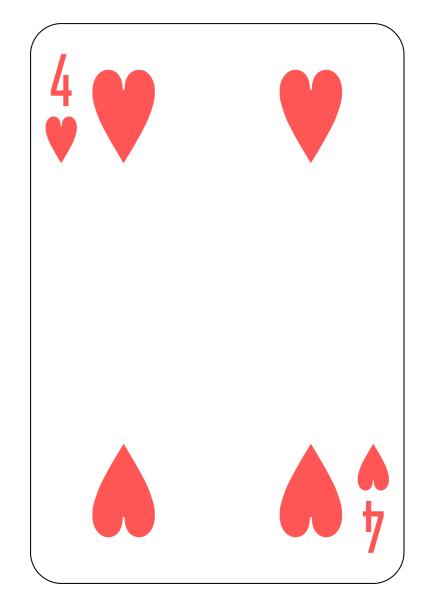
Sorting Example 2

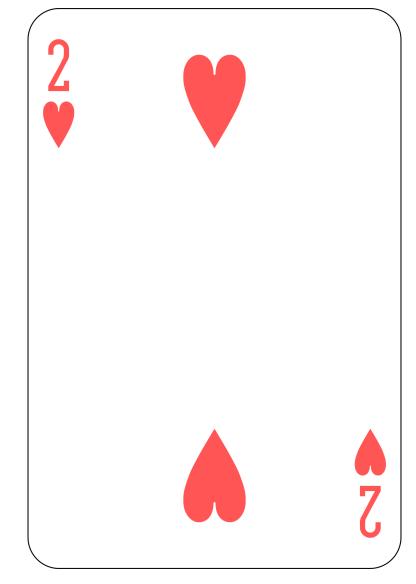
Sorting Example 2





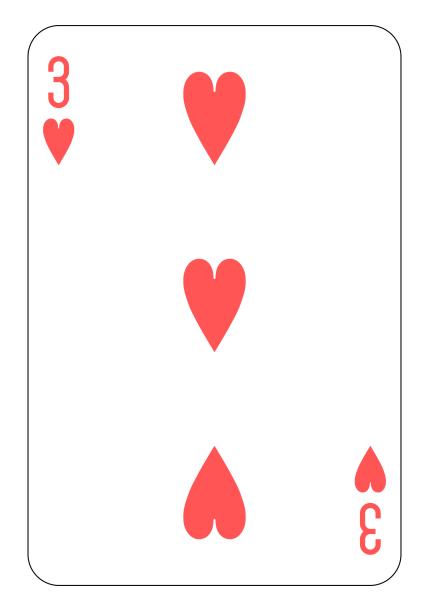


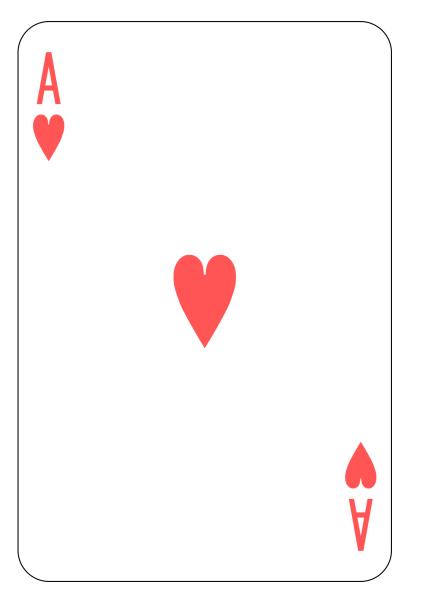


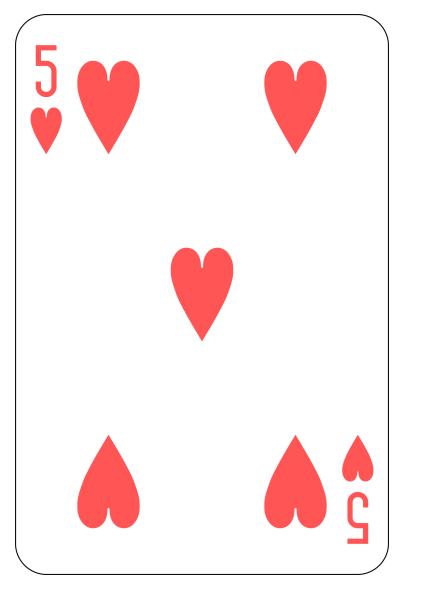


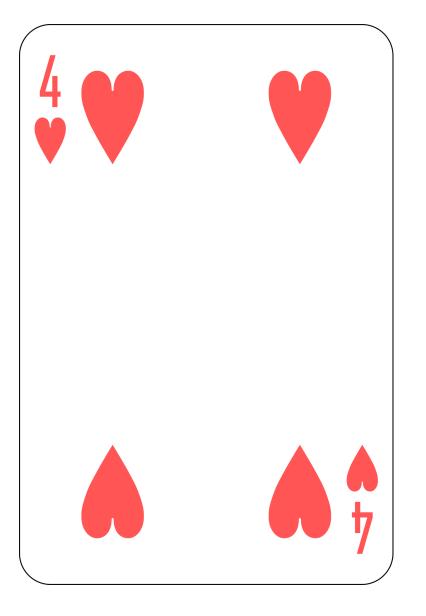
Example 2

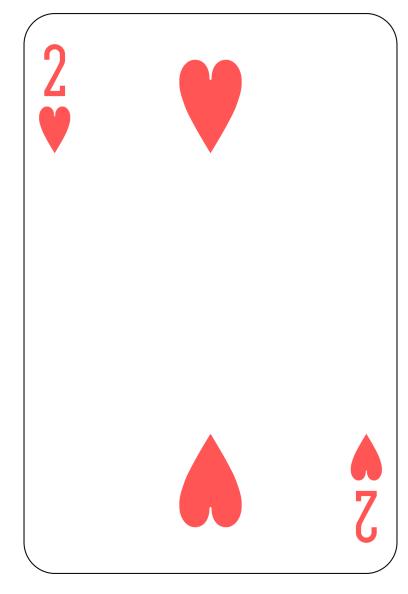
Pick the first unsorted and insert it into the right place





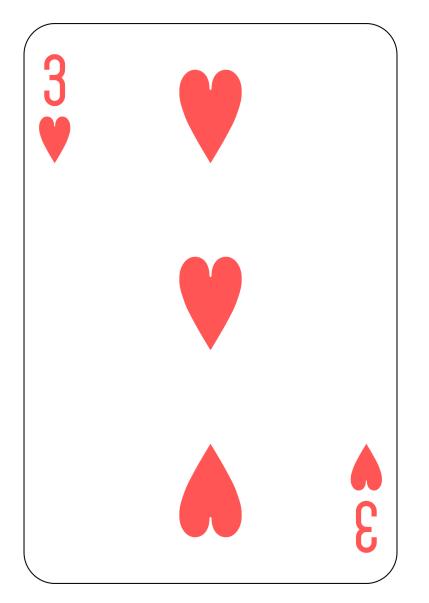


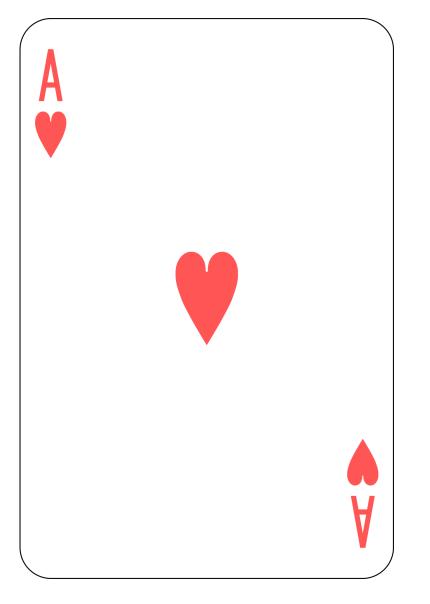


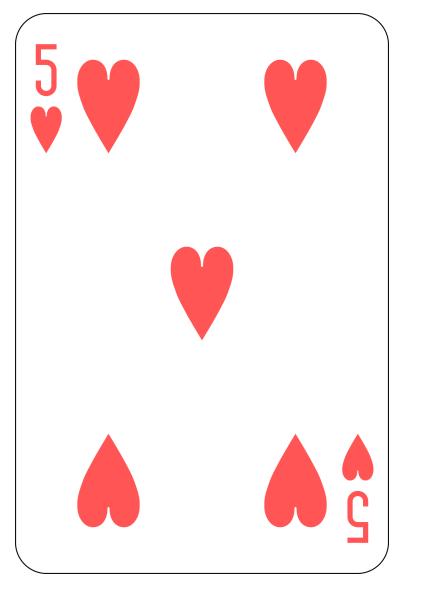


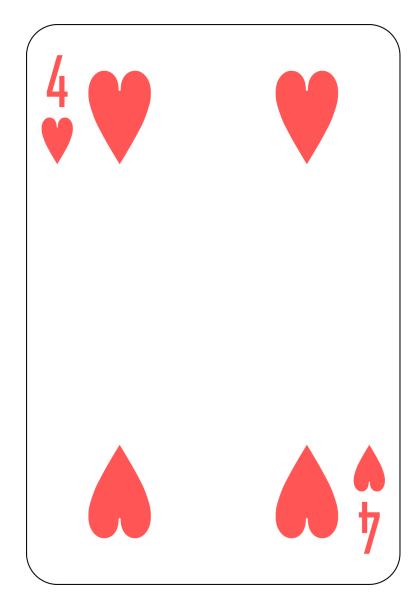
Example 2

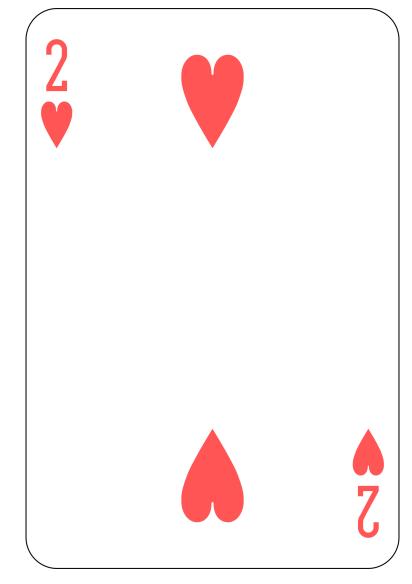
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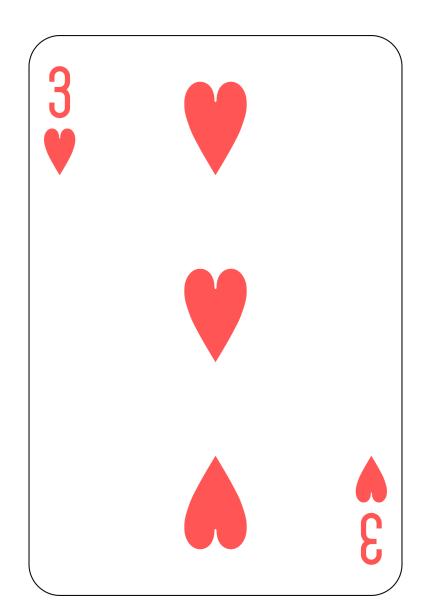


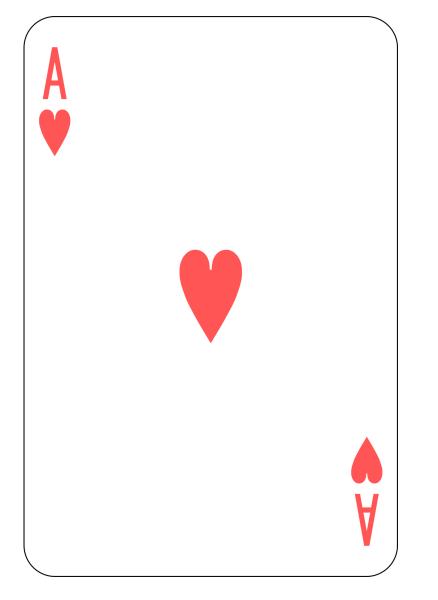


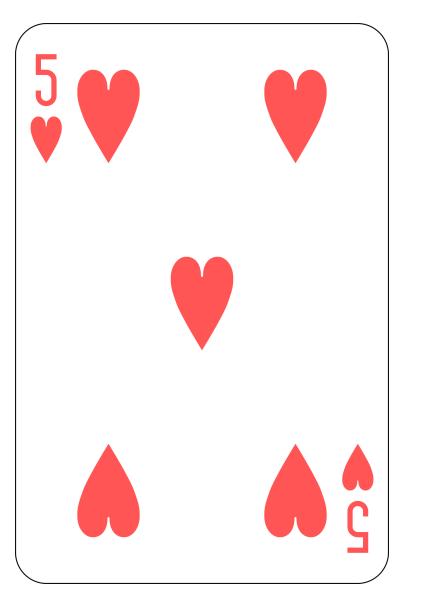


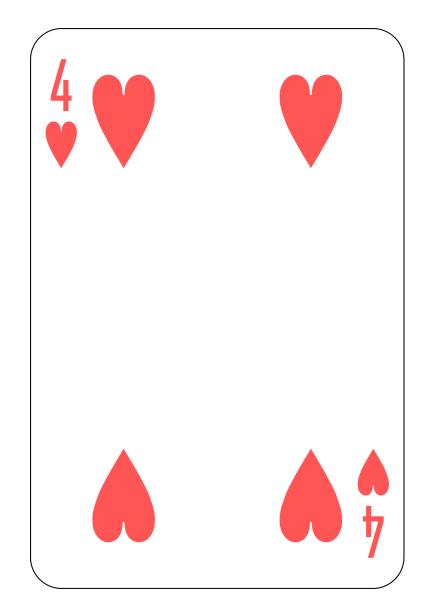
Example 2

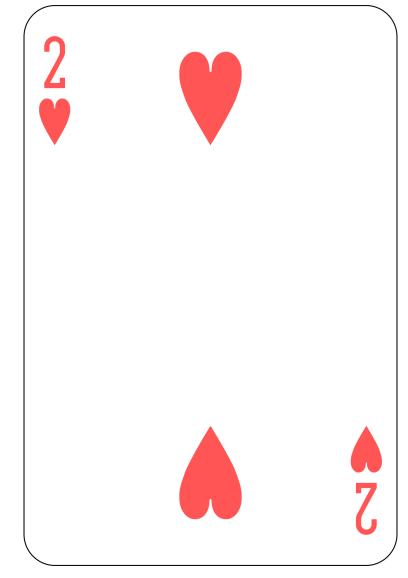
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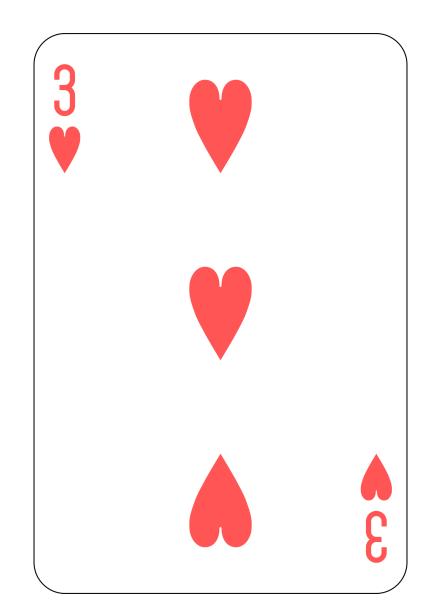


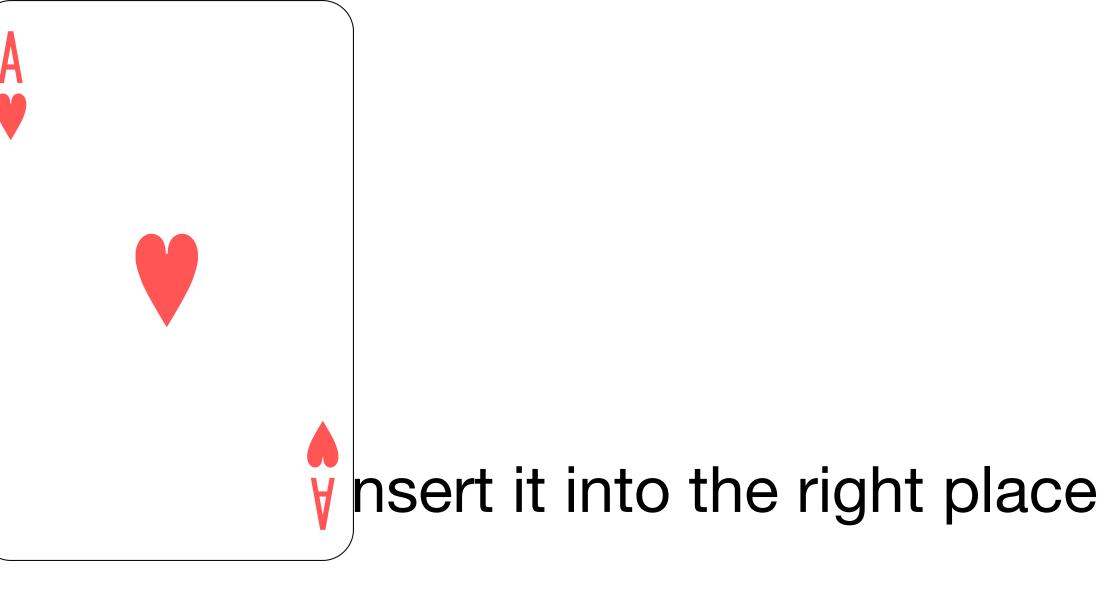


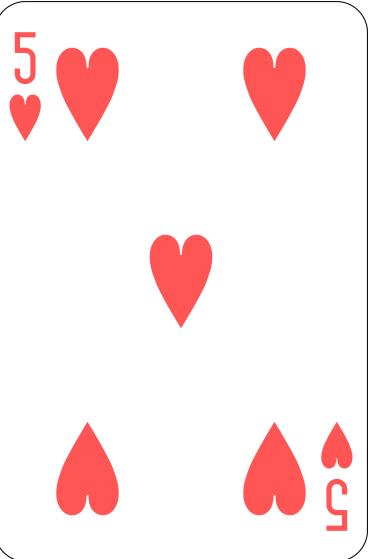


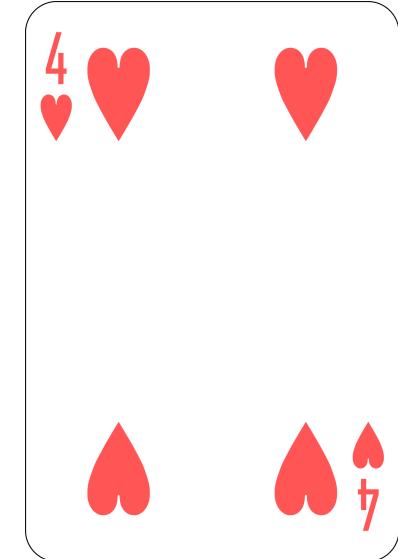
Sorting Example 2

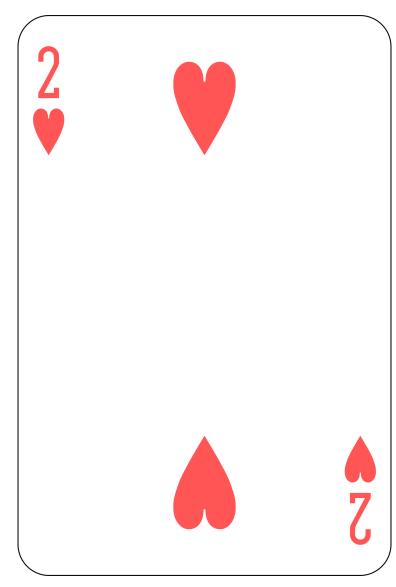
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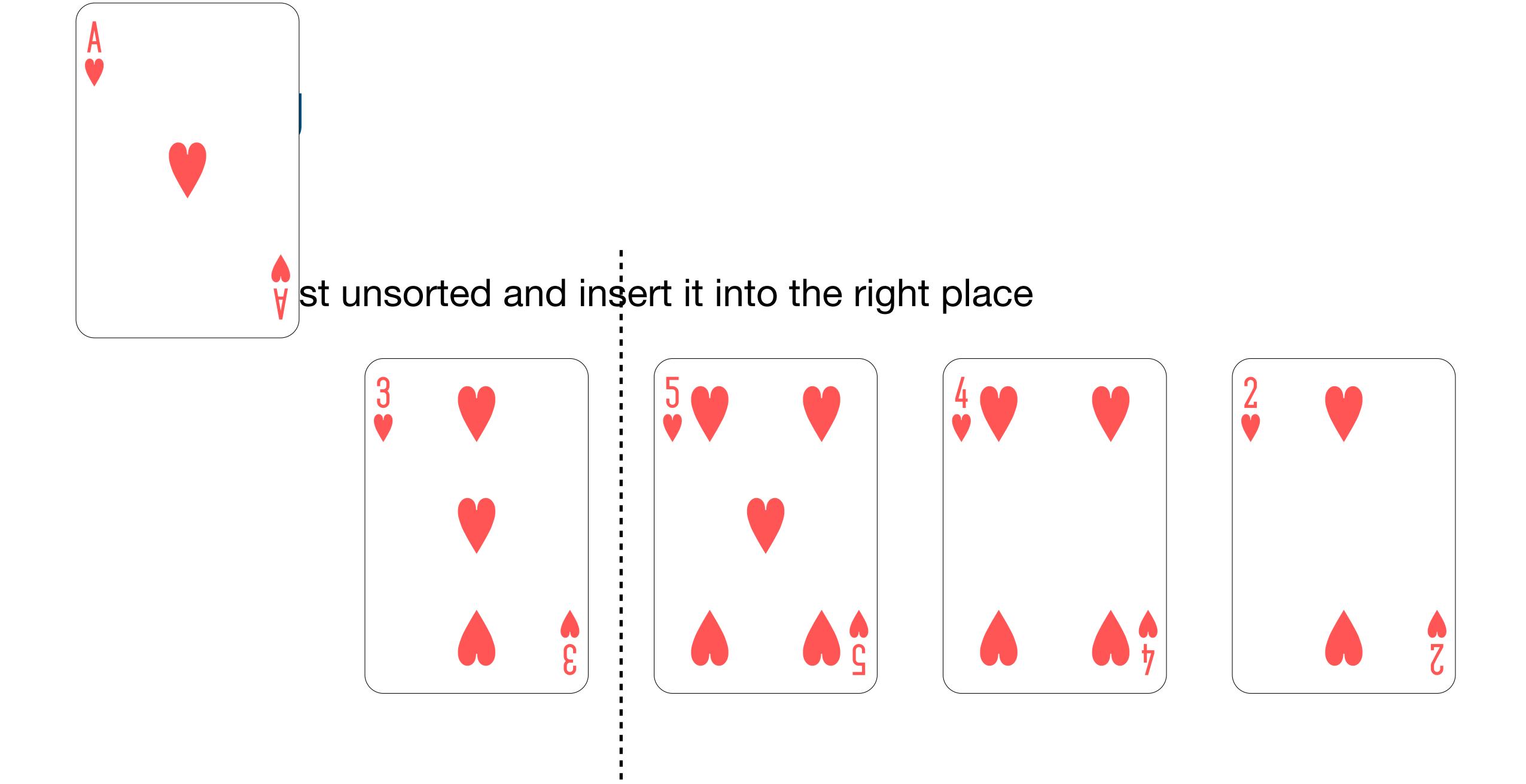






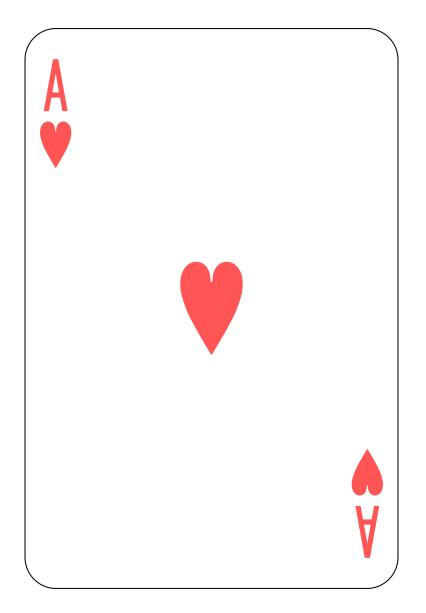


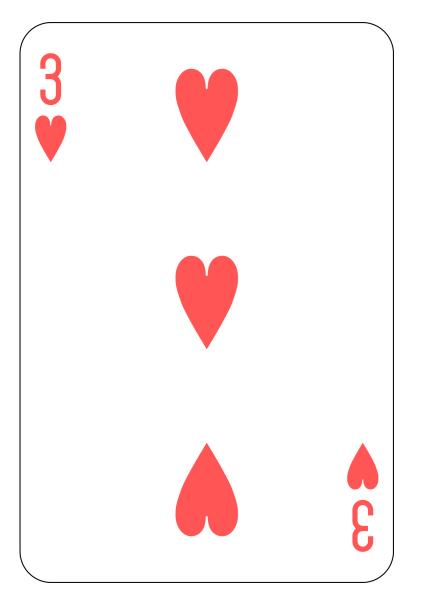


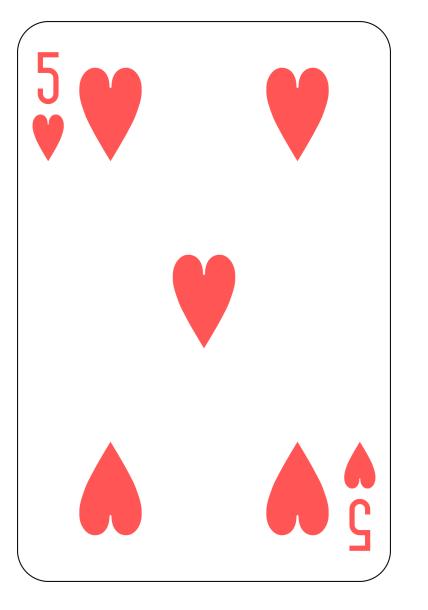


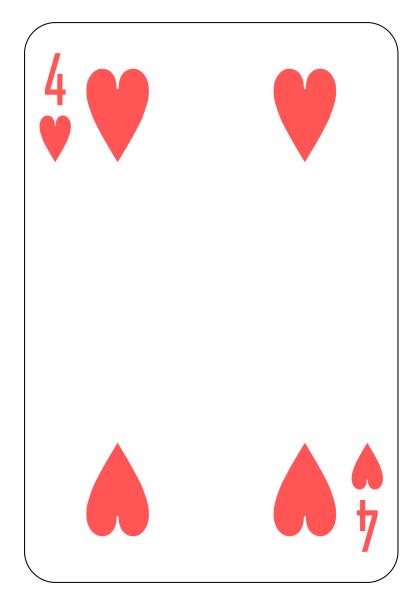
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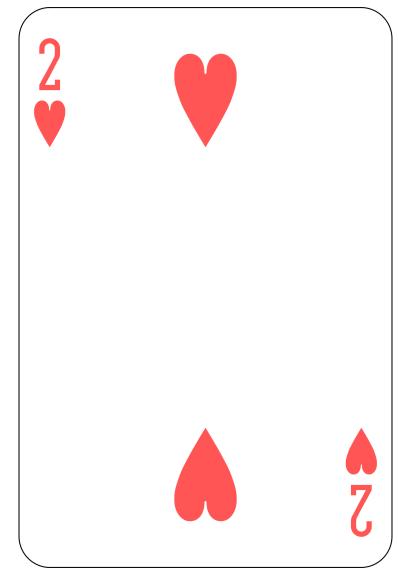
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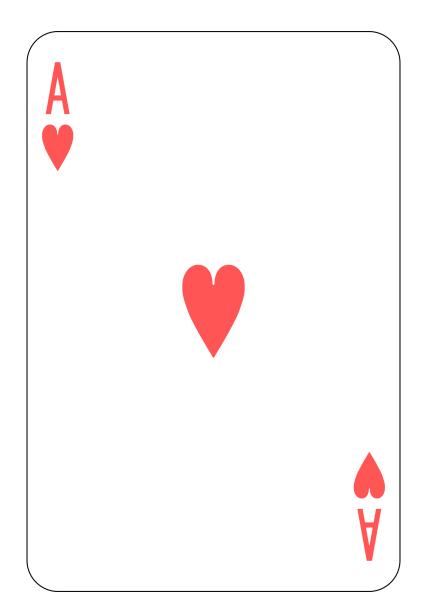


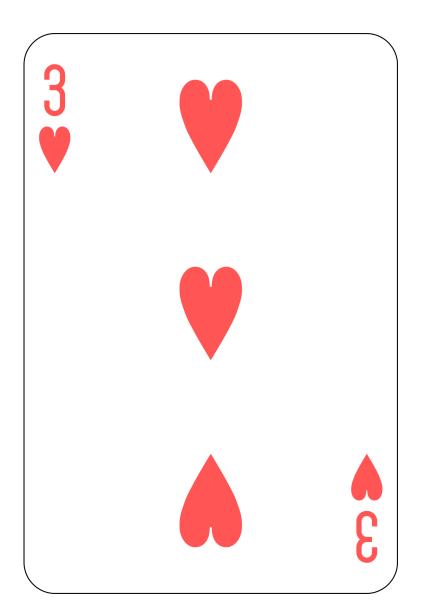




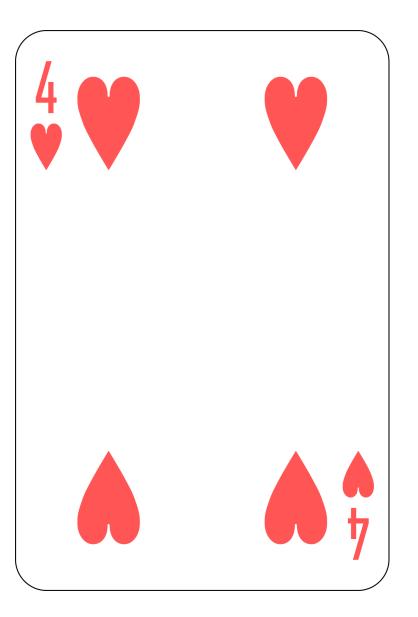
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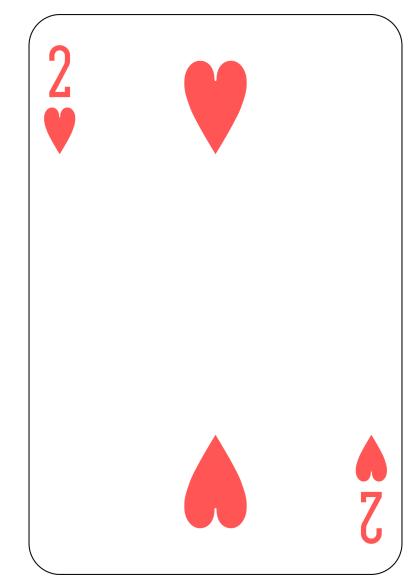
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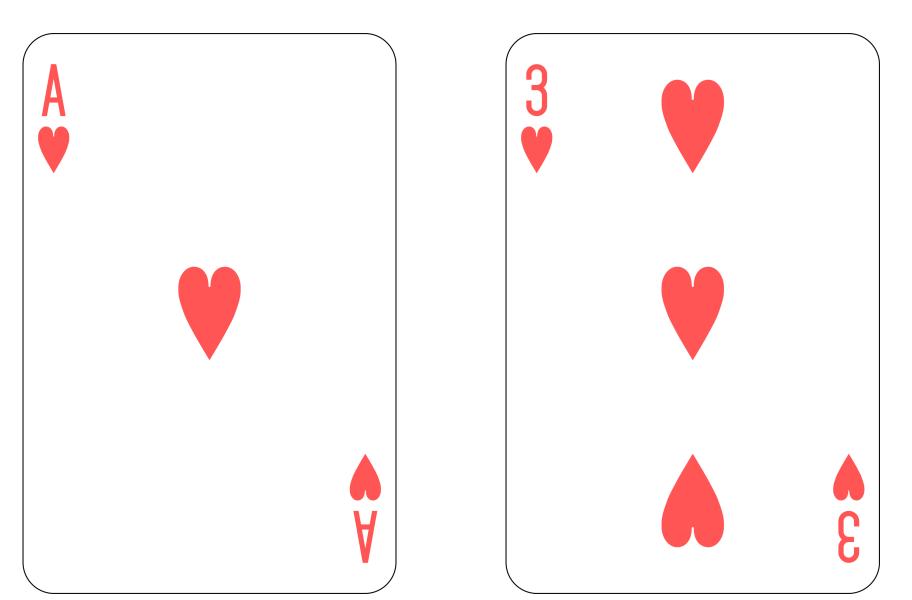


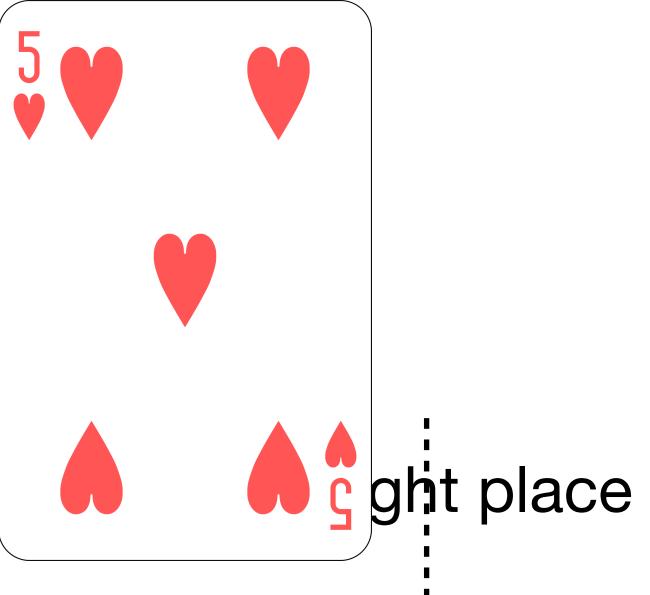


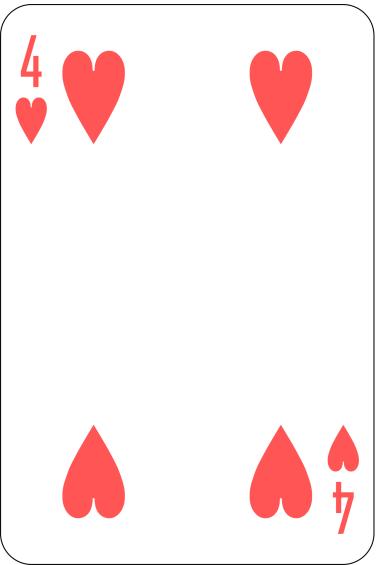


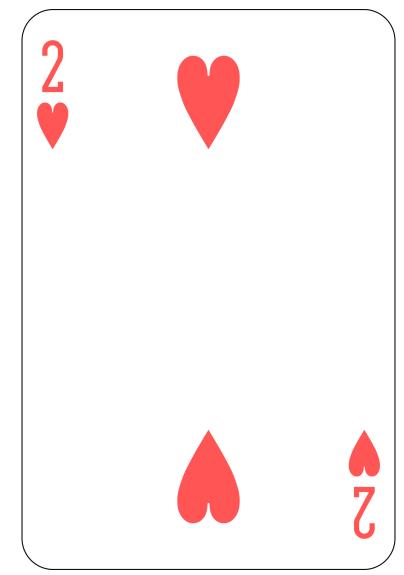
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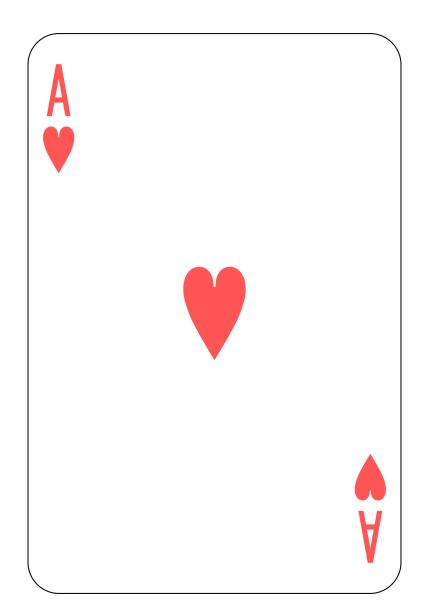


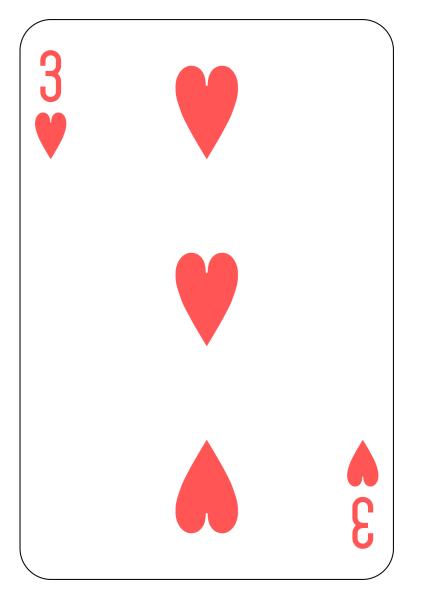


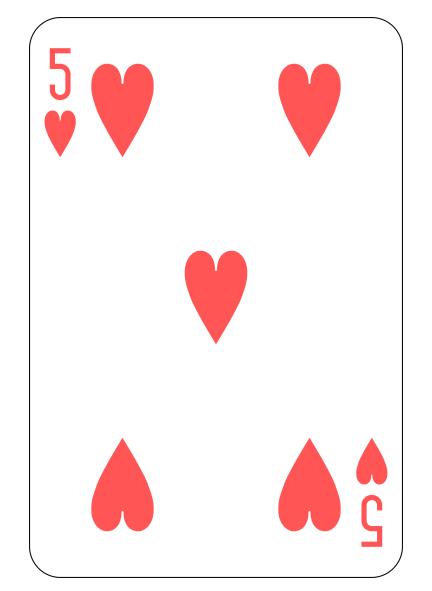


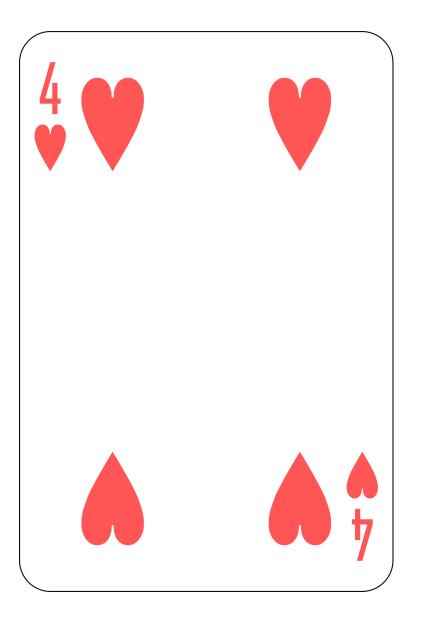
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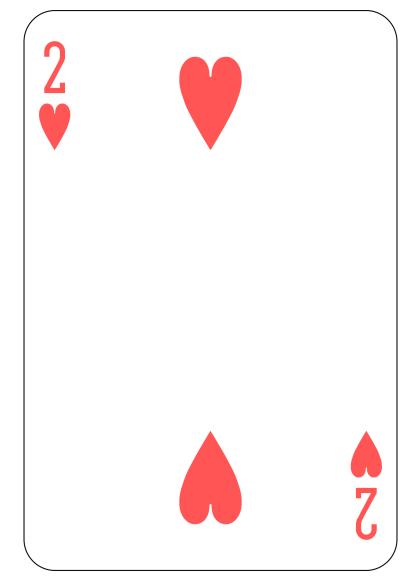
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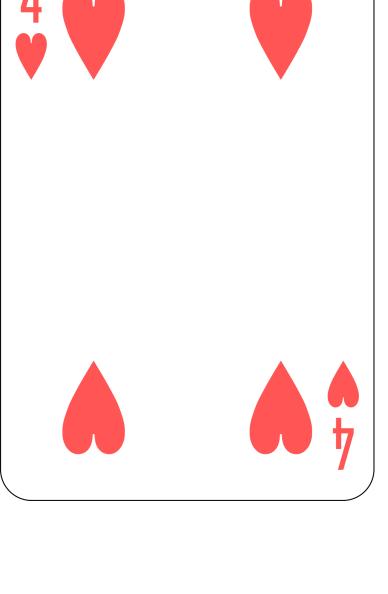


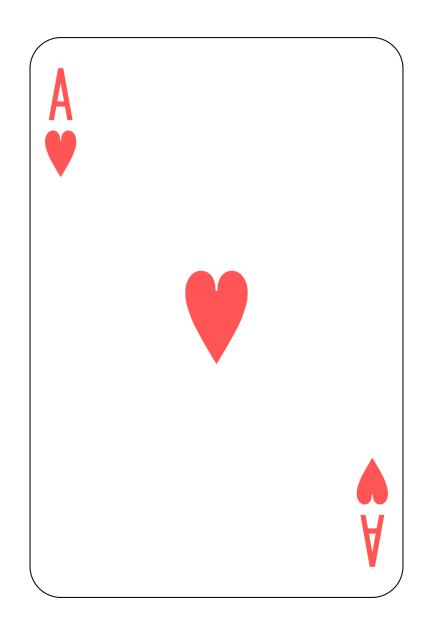


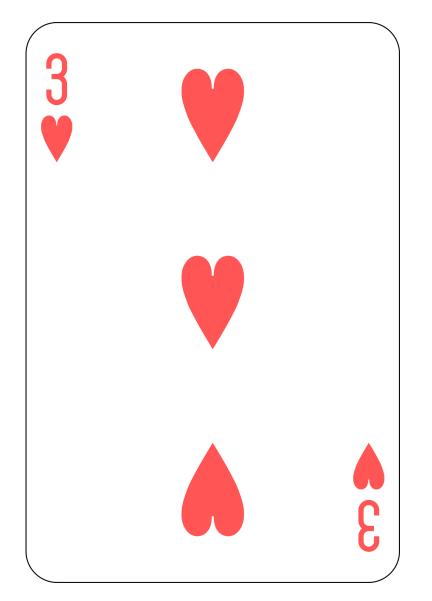


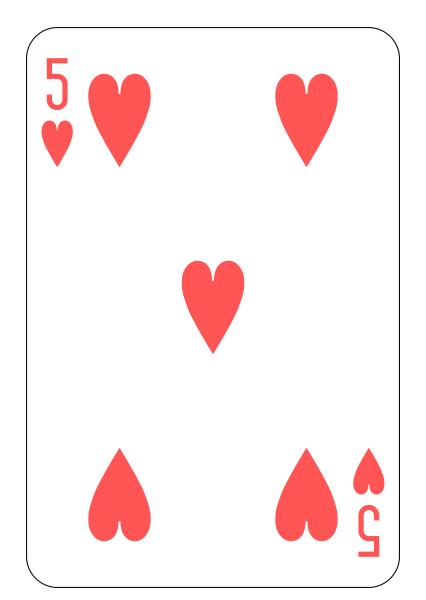
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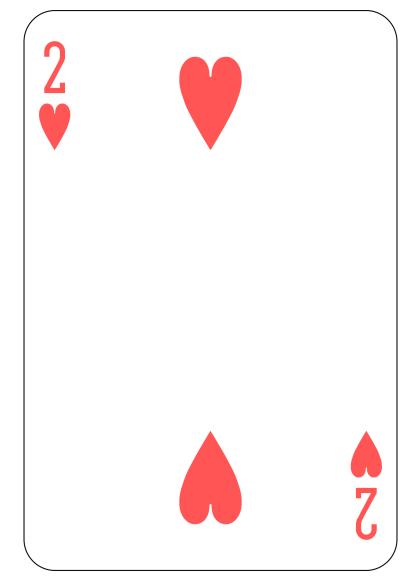
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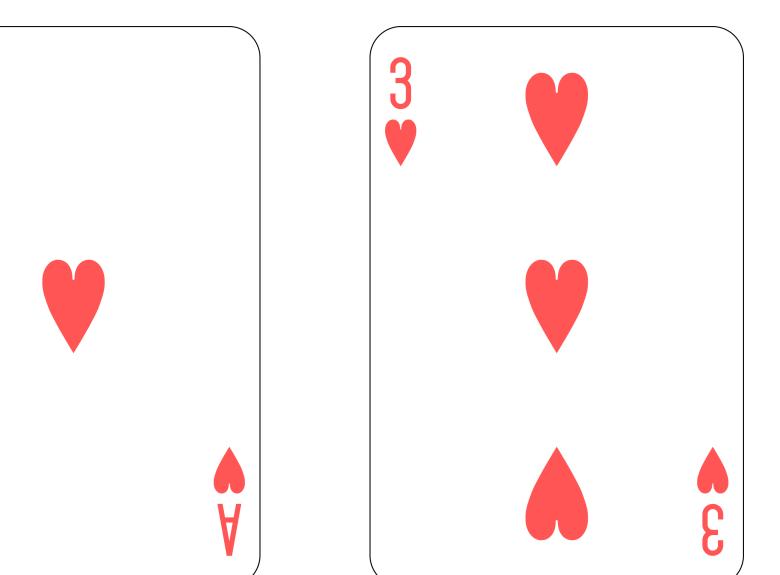




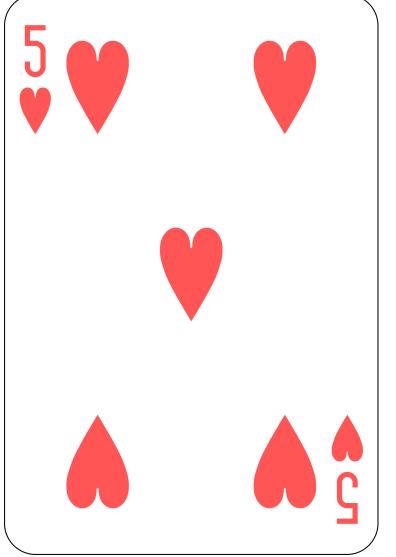


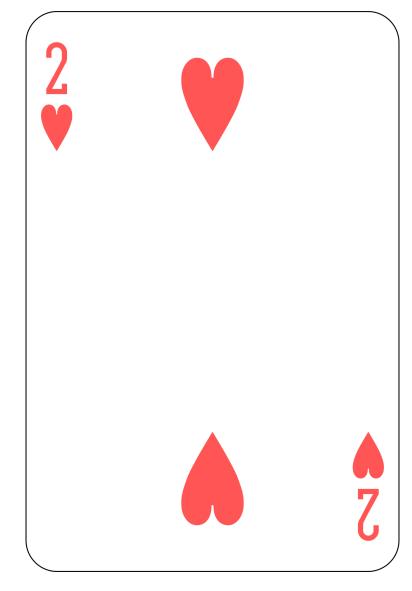
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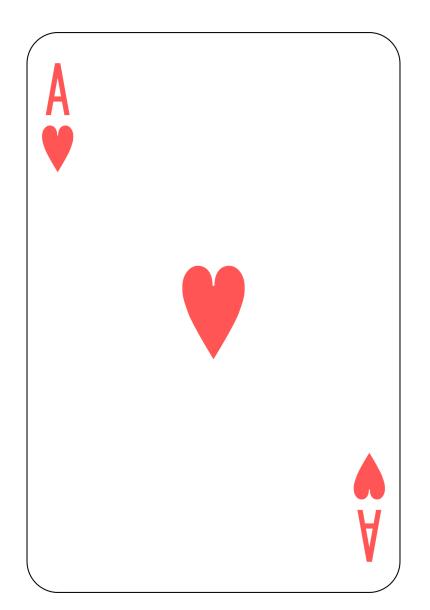


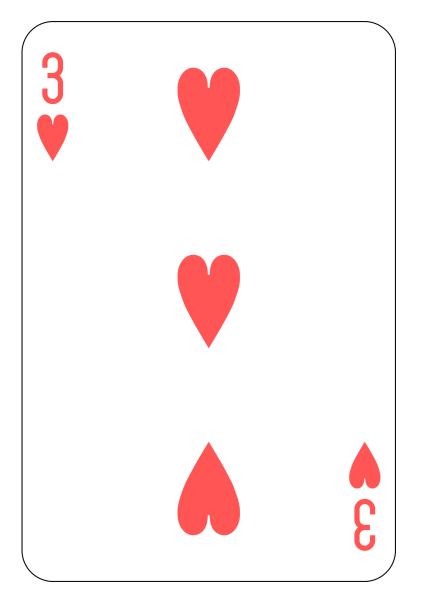


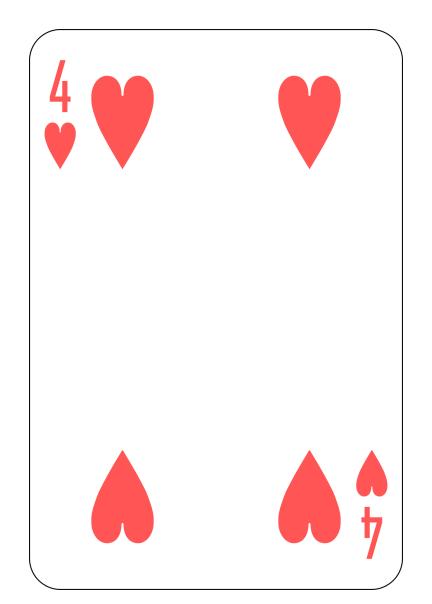


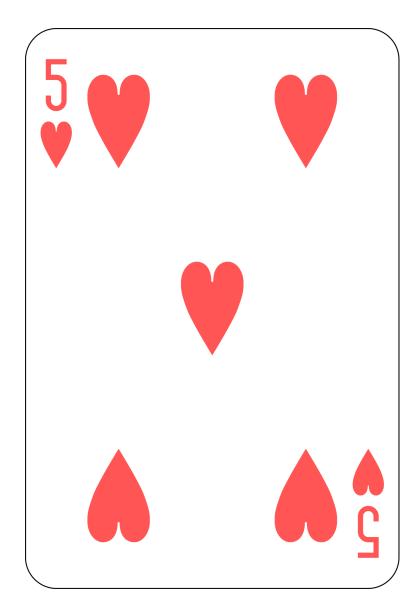
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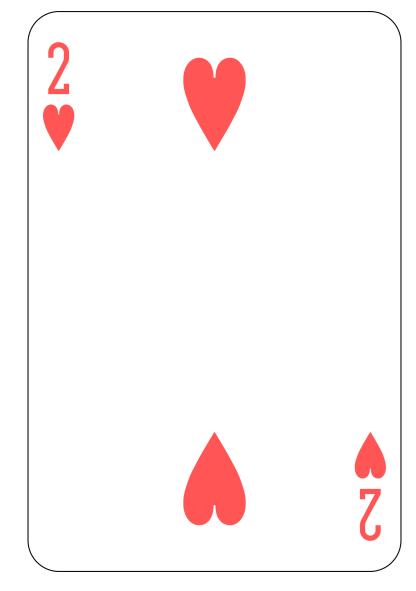
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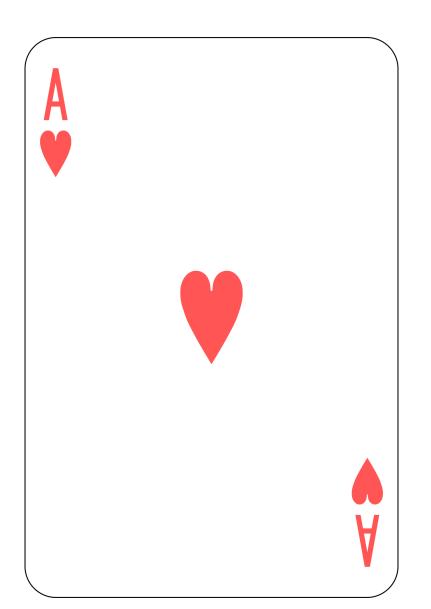


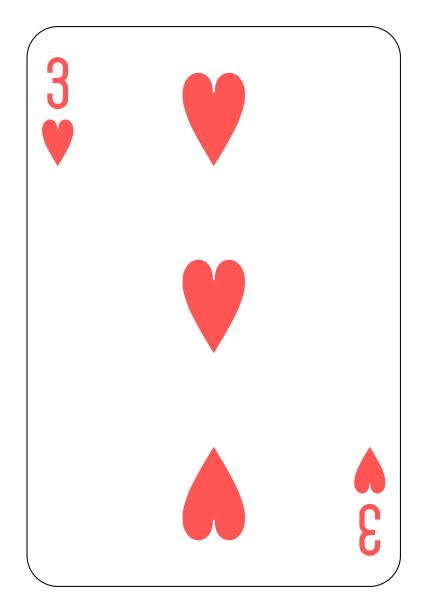


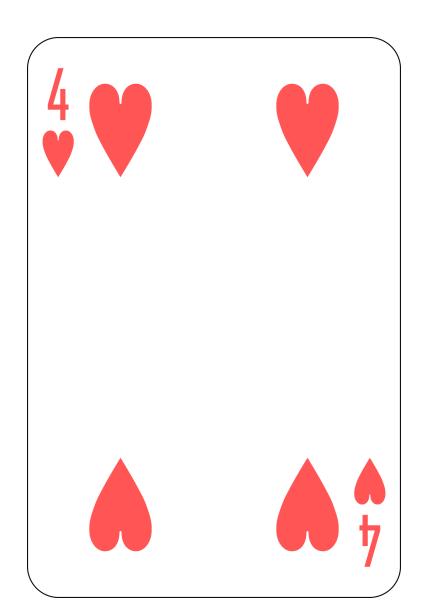


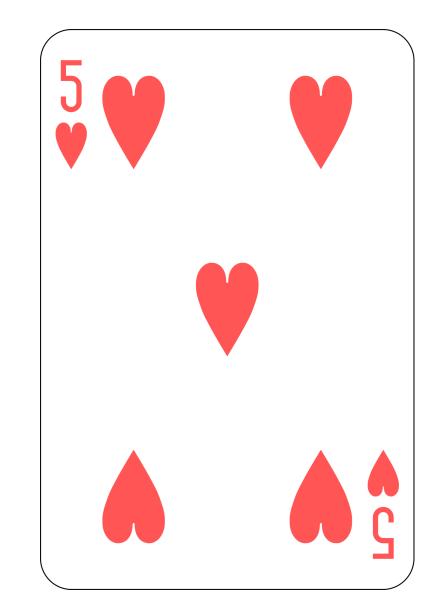
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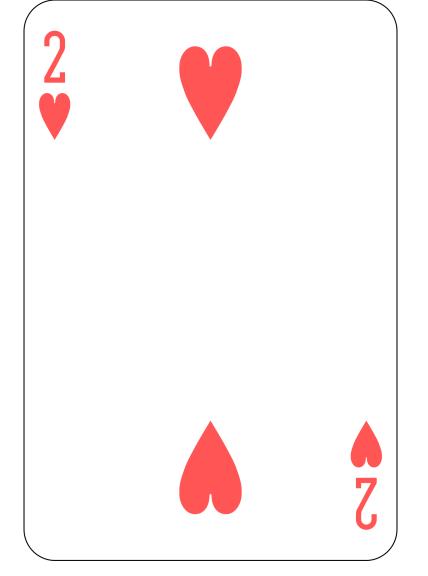
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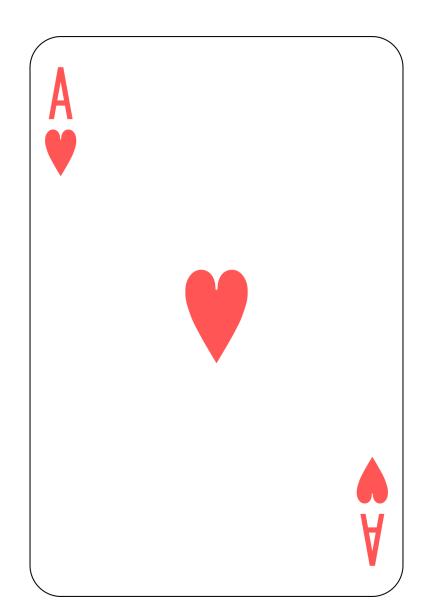


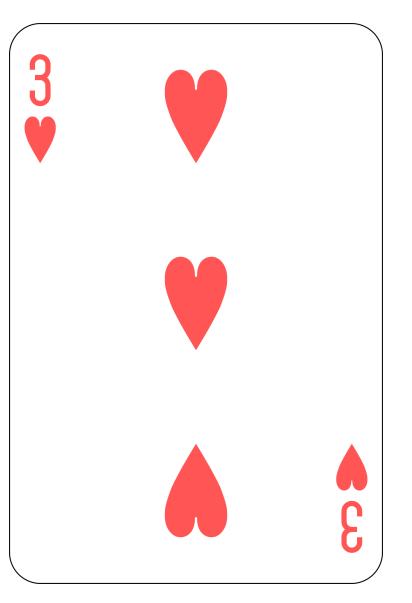


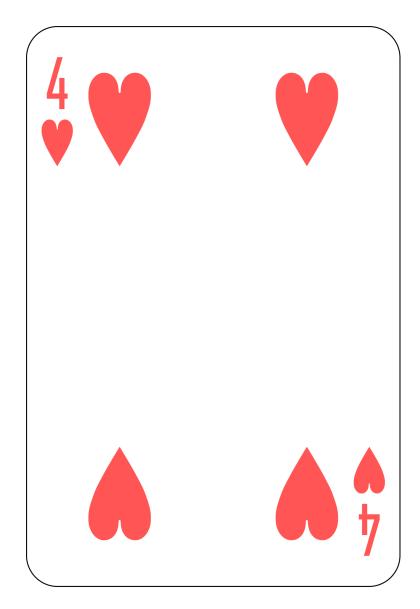


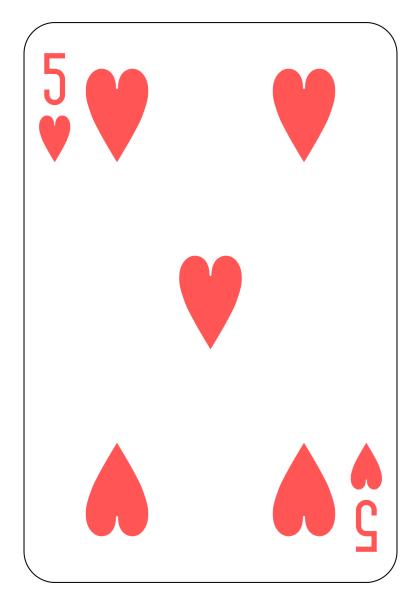
Sorting Example 2





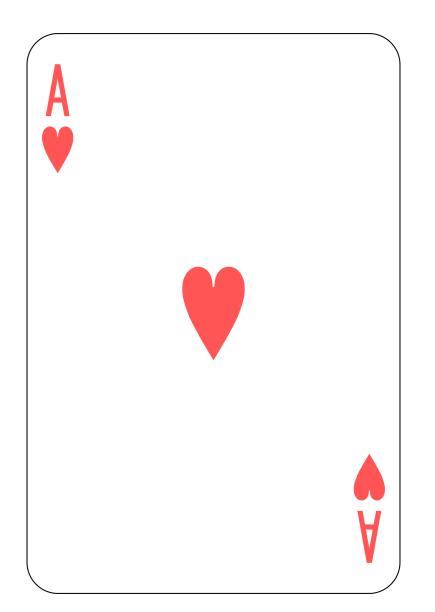


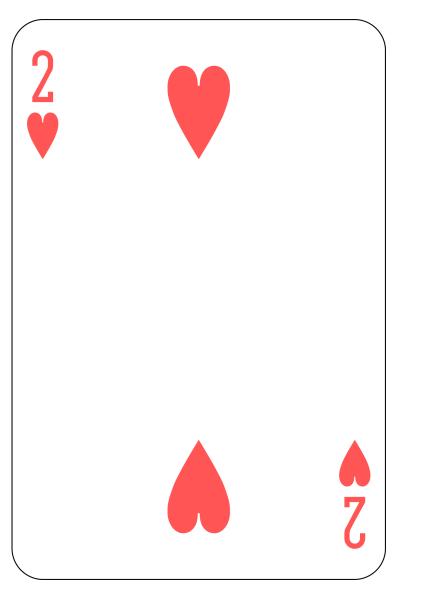


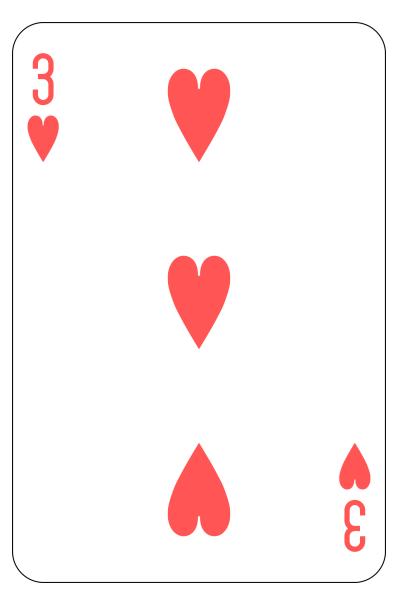


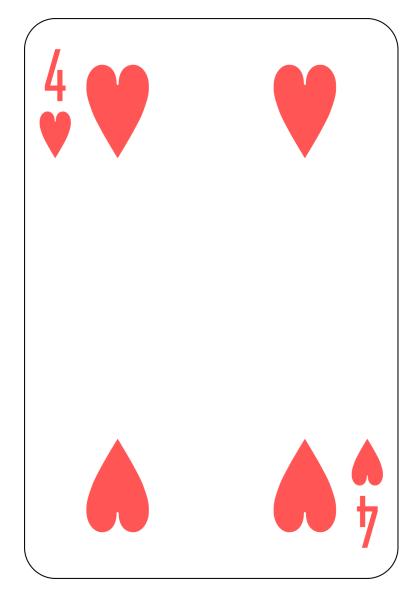
Example 2

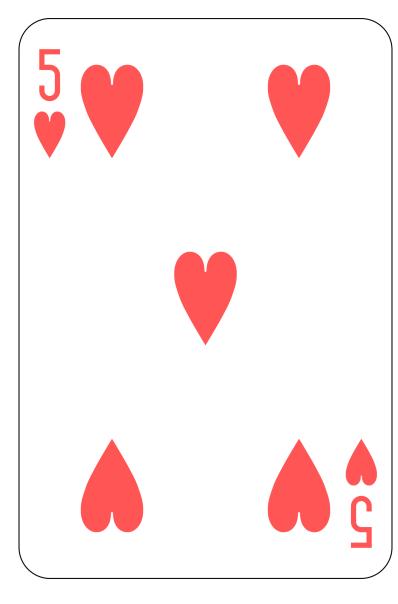
Pick the first unsorted and insert it into the right place











```
for i = 2 to n:
    j = i - 1
    while j > 0 and A[j] > A[i]:
        A[j + 1] = A[j]
        j = j - 1
    A[j] = A[i]
```

Example 2: Algorithm

```
for i = 2 to n:
    j = i - 1
    while j > 0 and A[j] > A[i]:
        A[j + 1] = A[j]
        j = j - 1
    A[j] = A[i]
```

 Take the first unsorted and insert it into the right place in the sorted pile

```
for i = 2 to n:
    j = i - 1
    while j > 0 and A[j] > A[i]:
        A[j + 1] = A[j]
        j = j - 1
    A[j] = A[i]
```

- Take the first unsorted and insert it into the right place in the sorted pile
- Inserting means shifting everything after the card by one place

```
for i = 2 to n:
    j = i - 1
    while j > 0 and A[j] > A[i]:
        A[j + 1] = A[j]
        j = j - 1
    A[j] = A[i]
```

- Take the first unsorted and insert it into the right place in the sorted pile
- Inserting means shifting everything after the card by one place
- This is called insertion sort.

```
For i = 2 to n:

j = i - 1

while j > 0 and A[j] > A[i]:

A[j + 1] = A[j]

j = j - 1

A[j] = A[i]
```

Example 2: Algorithm

```
For i = 2 to n:

j = i - 1

while j > 0 and A[j] > A[i]:

A[j + 1] = A[j]

j = j - 1

A[j] = A[i]
```

• Comparison: worst-case $O(n^2)$

```
For i = 2 to n:

j = i - 1

while j > 0 and A[j] > A[i]:

A[j + 1] = A[j]

j = j - 1

A[j] = A[i]
```

- Comparison: worst-case $O(n^2)$
- Swap: worst-case $O(n^2)$

```
For i = 2 to n:
    j = i - 1
    while j > 0 and A[j] > A[i]:
        A[j + 1] = A[j]
        j = j - 1
    A[j] = A[i]
```

Example 2: Algorithm

```
For i = 2 to n:
    j = i - 1
    while j > 0 and A[j] > A[i]:
        A[j + 1] = A[j]
        j = j - 1
    A[j] = A[i]
```

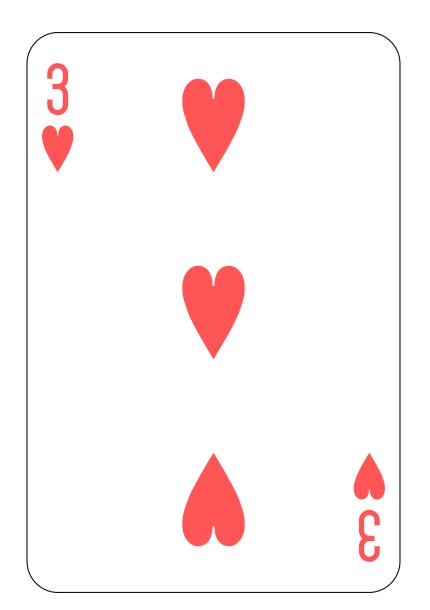
 Everything left of the line is sorted

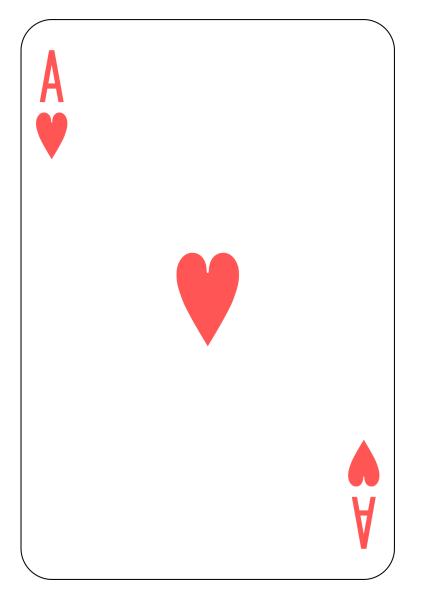
```
For i = 2 to n:
    j = i - 1
    while j > 0 and A[j] > A[i]:
        A[j + 1] = A[j]
        j = j - 1
    A[j] = A[i]
```

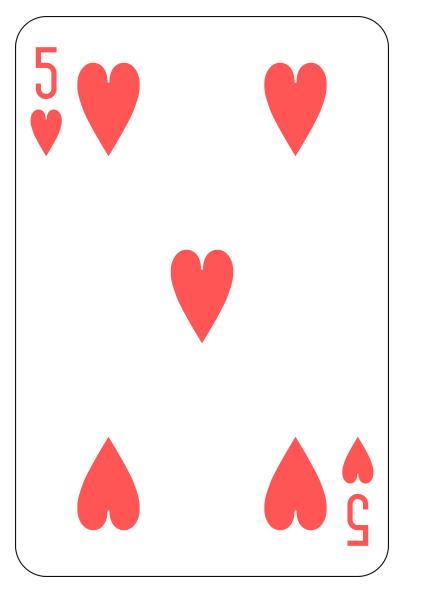
- Everything left of the line is sorted
- Take the first one on the right, scanning the left to find a place.

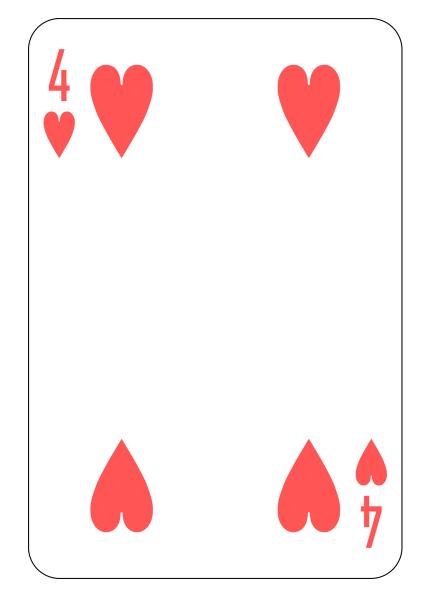
Example 3

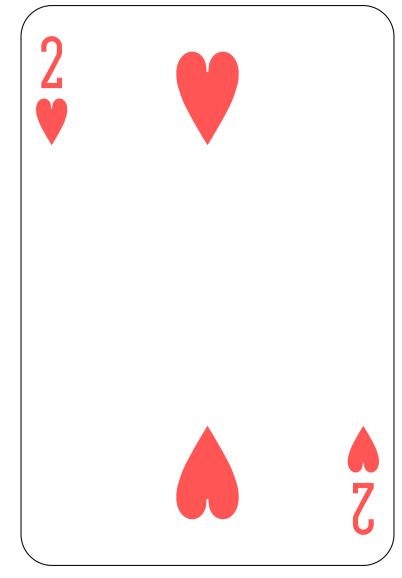
Take two cards, swap them if out of order.

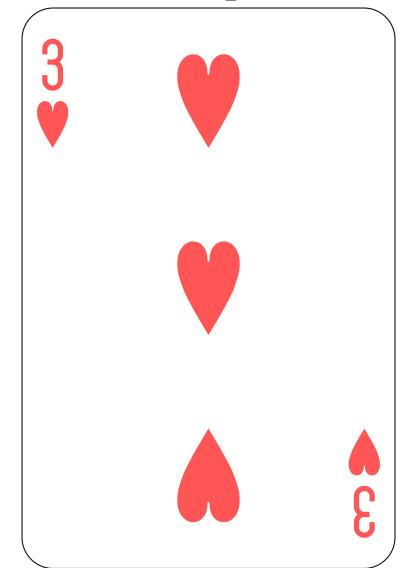


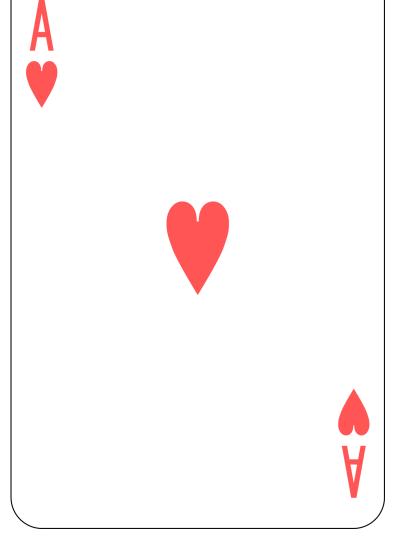


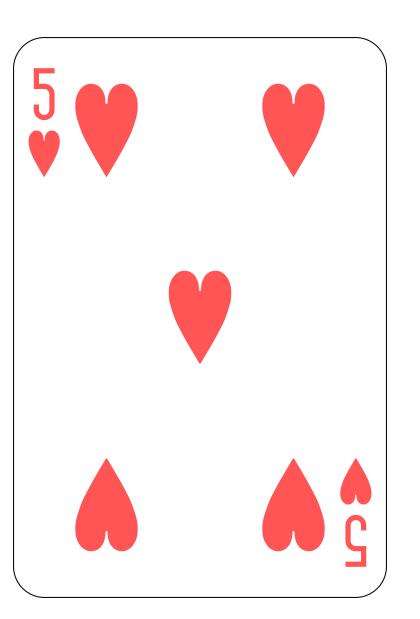


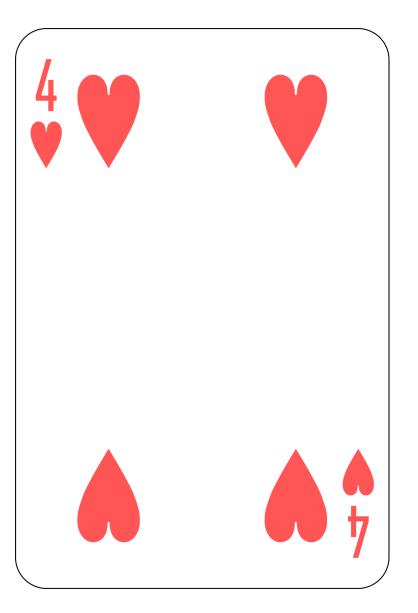


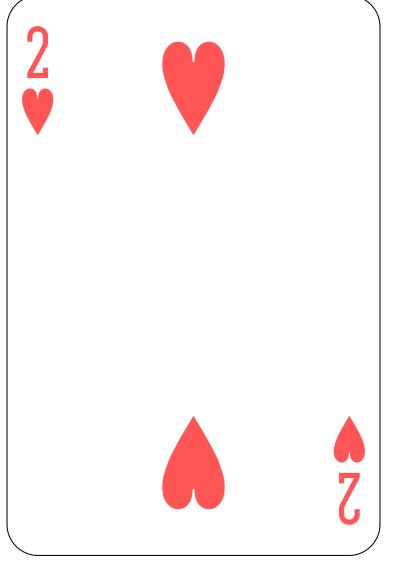


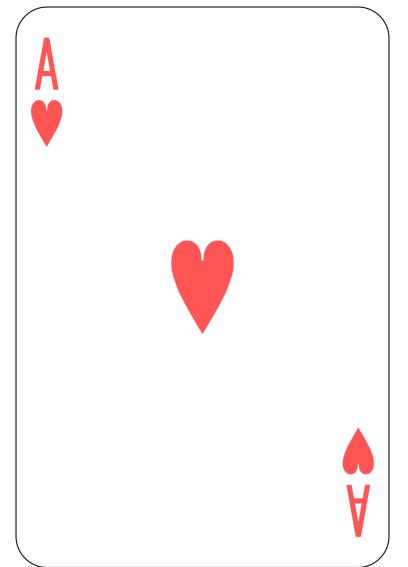


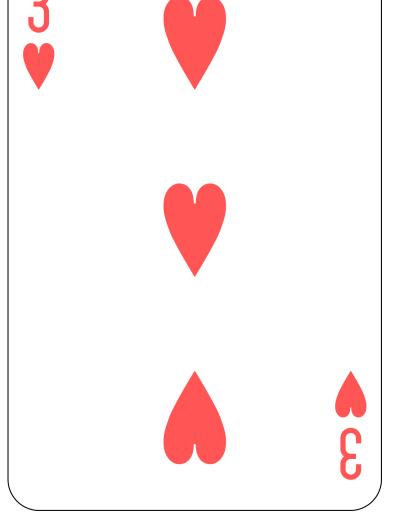


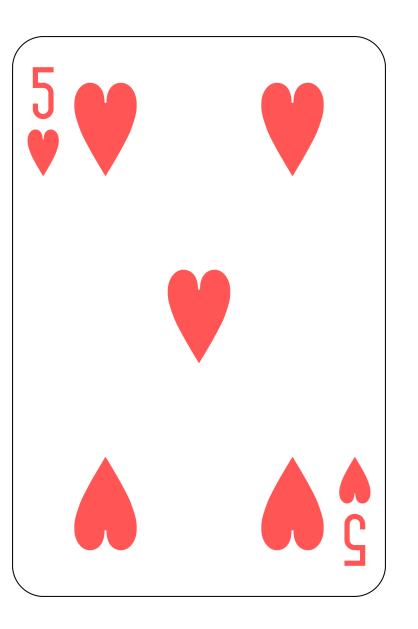


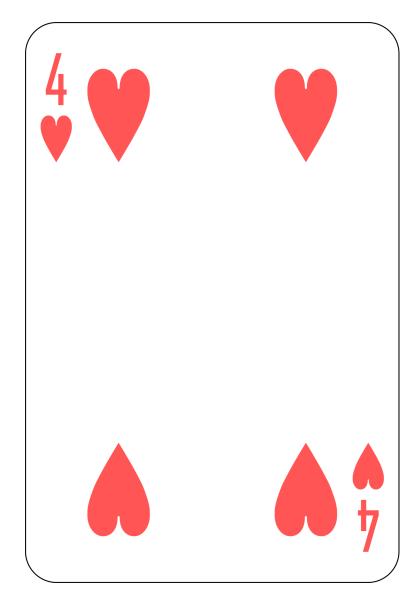


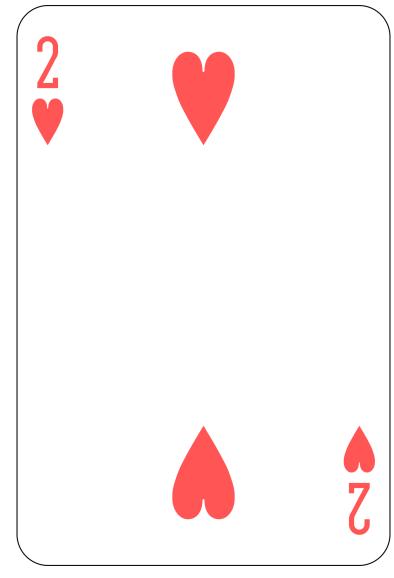


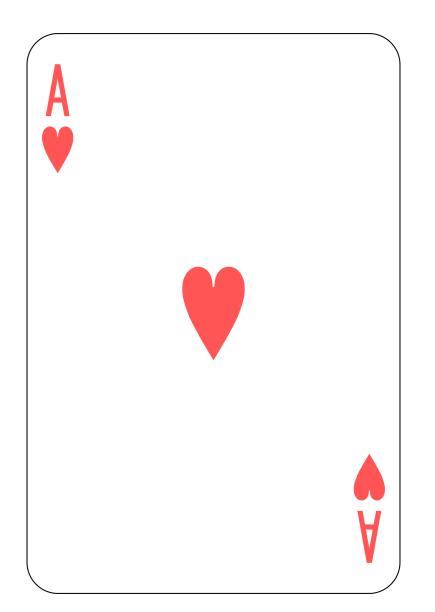


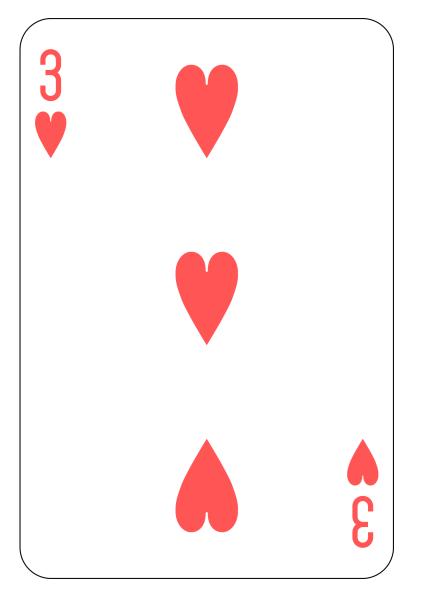


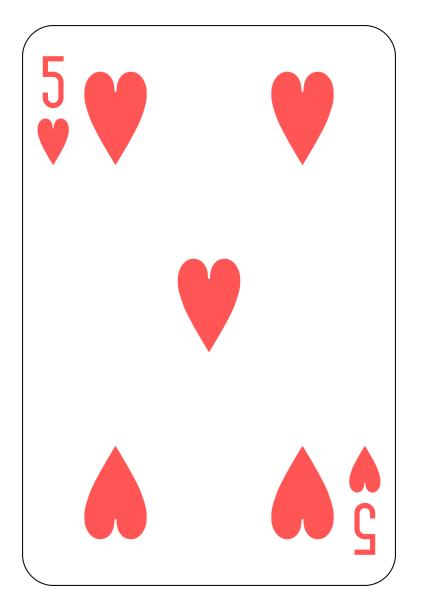


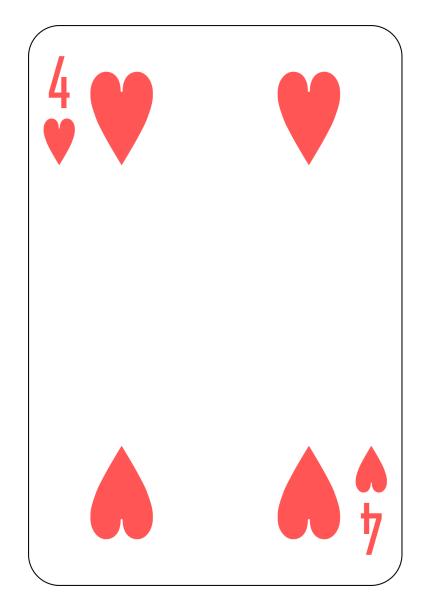


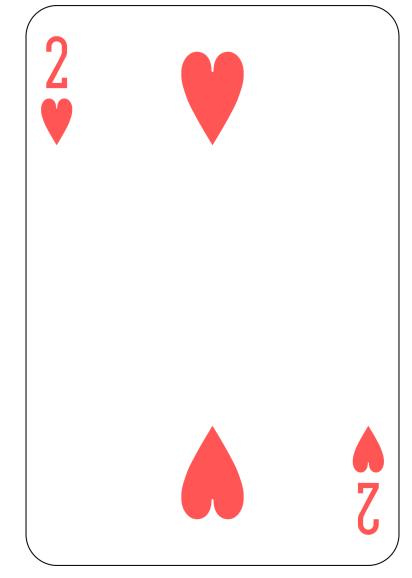


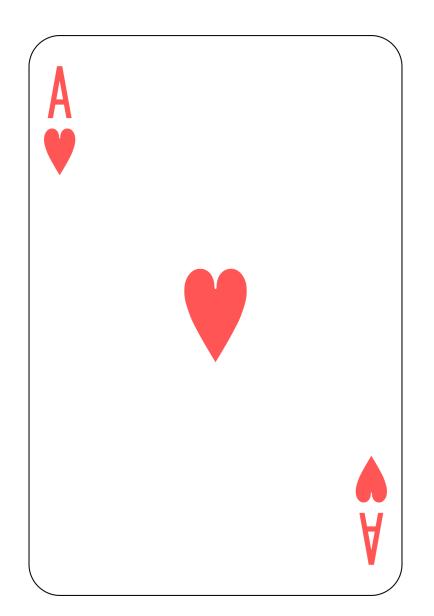


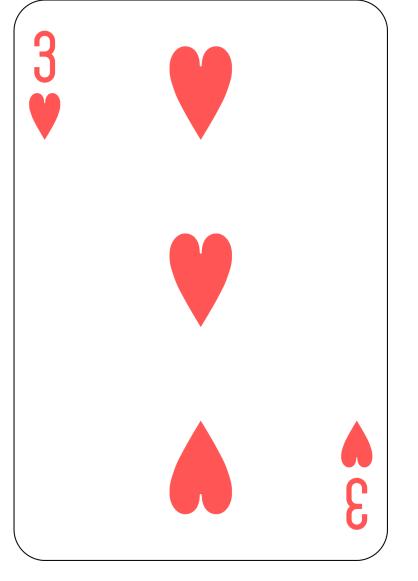


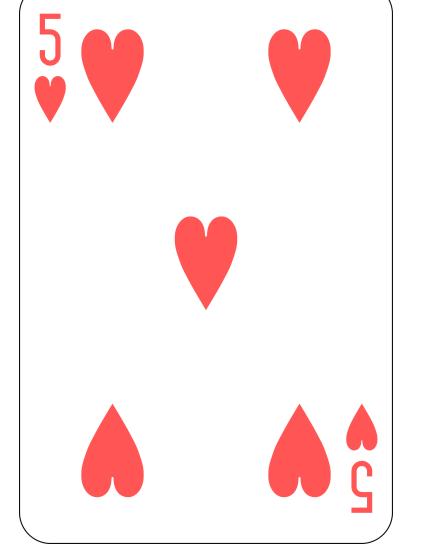


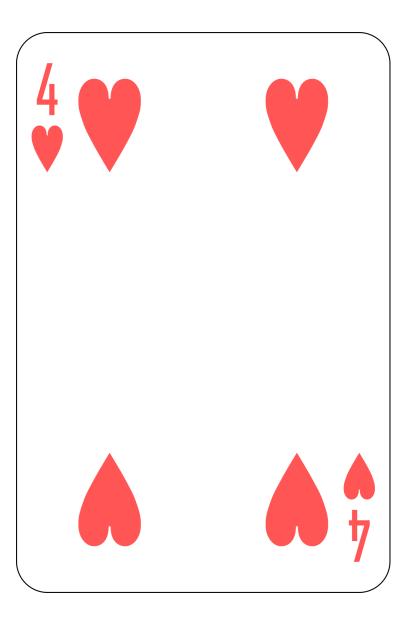


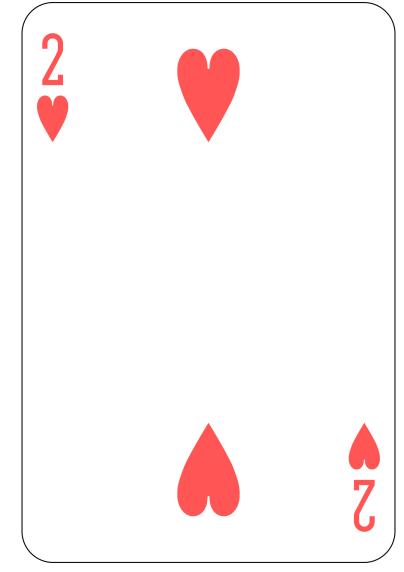


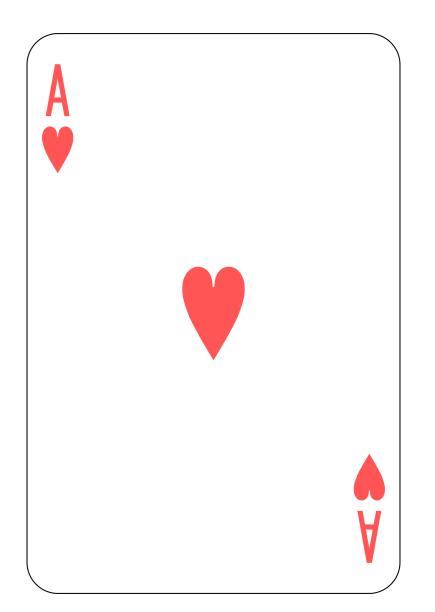


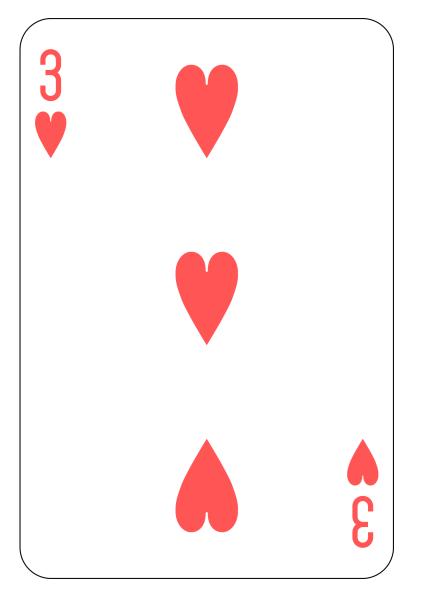


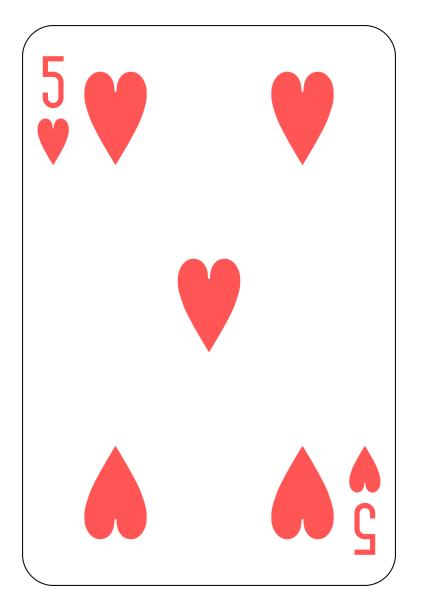


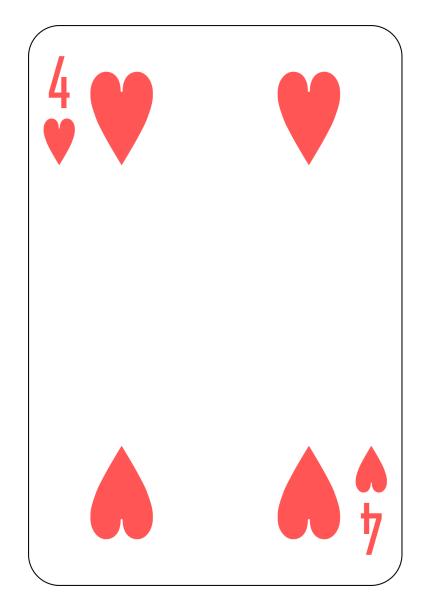


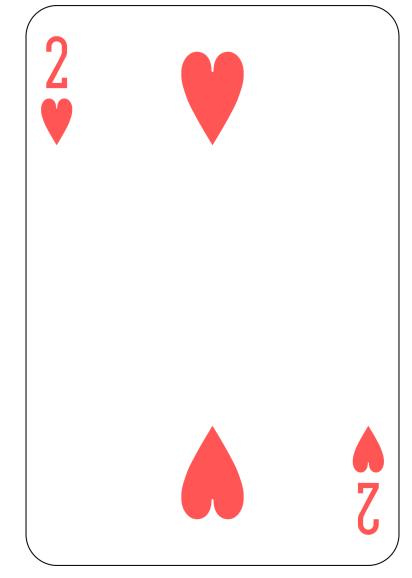


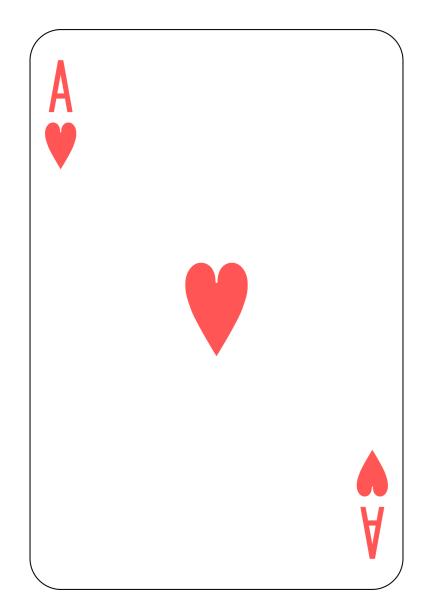


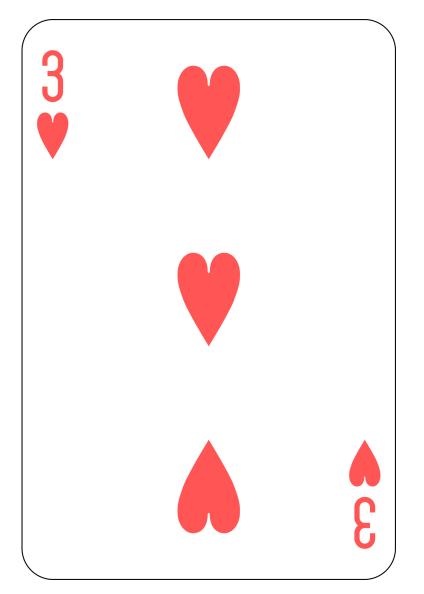


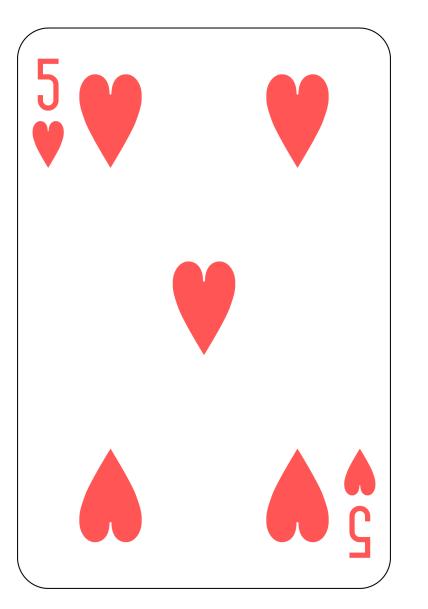


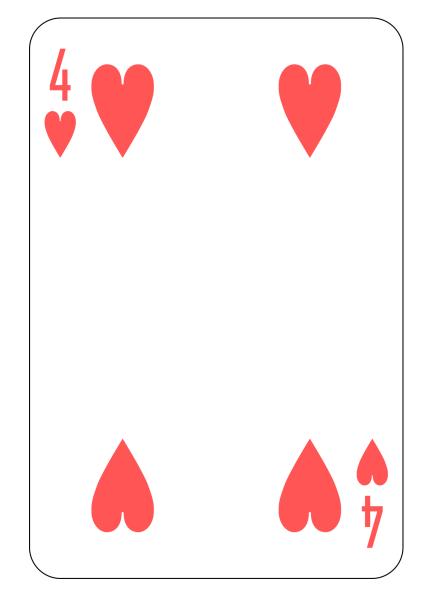


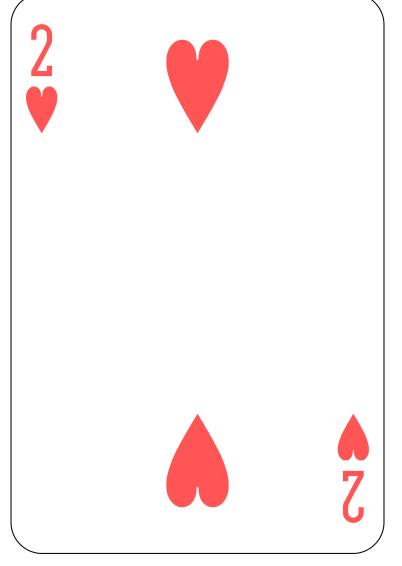


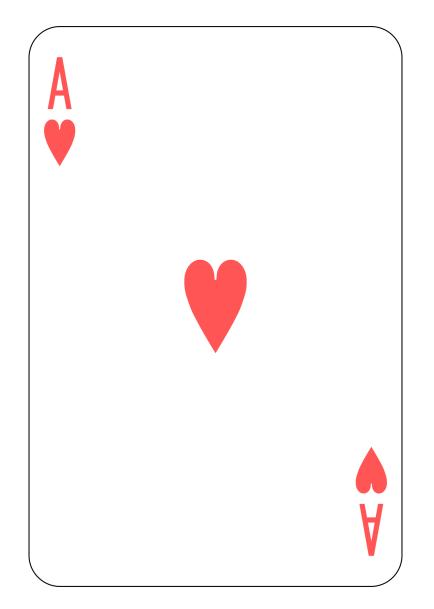


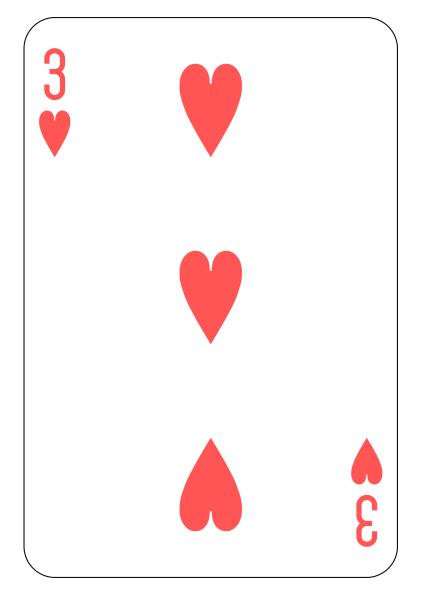


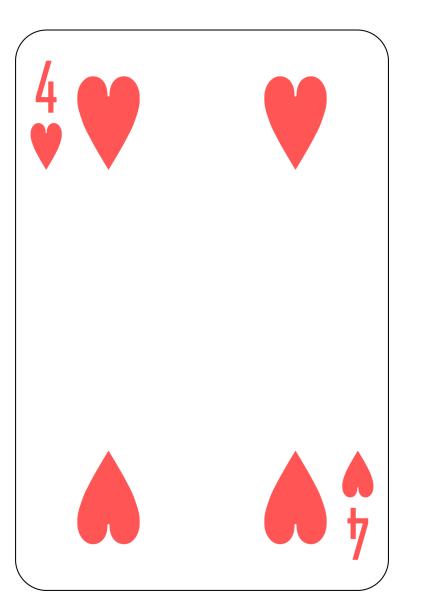


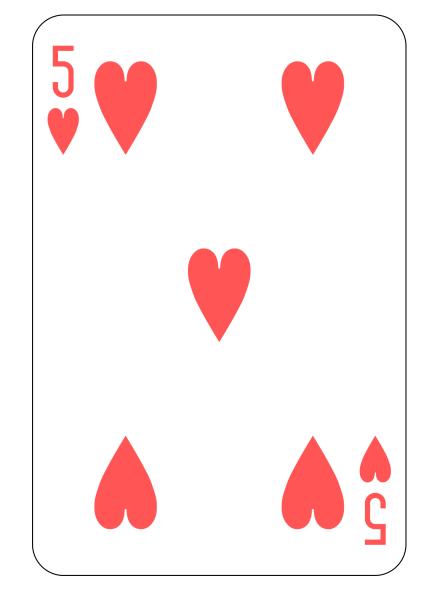


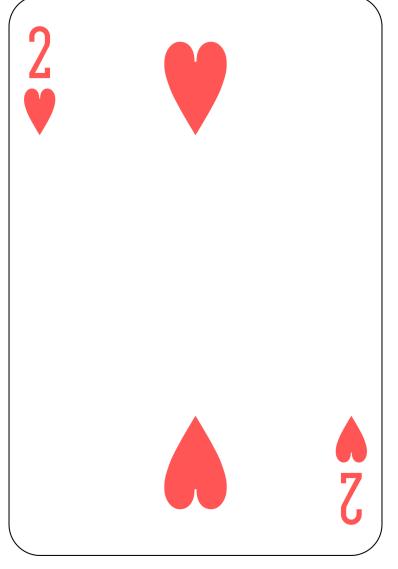


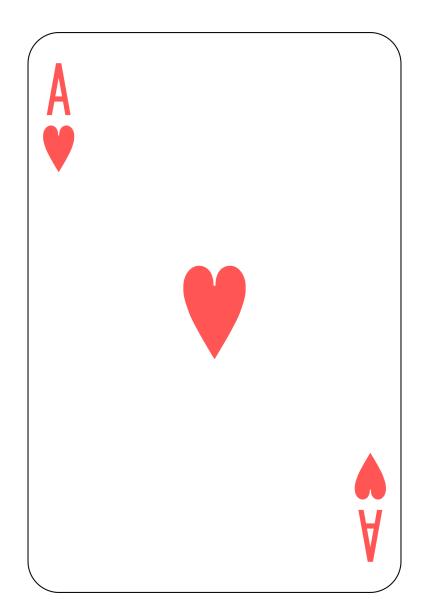


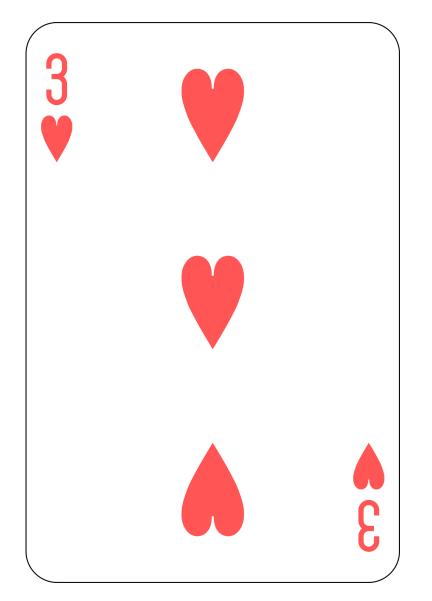


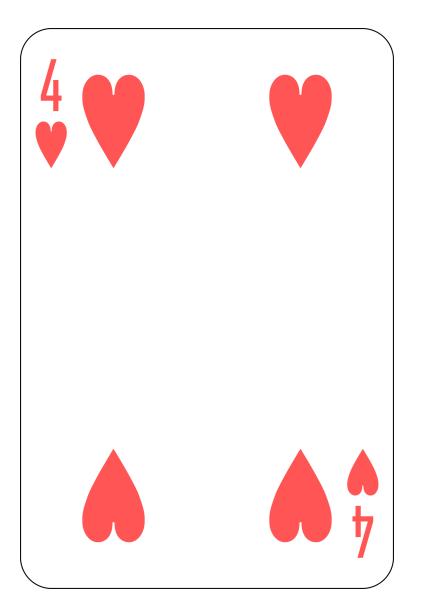


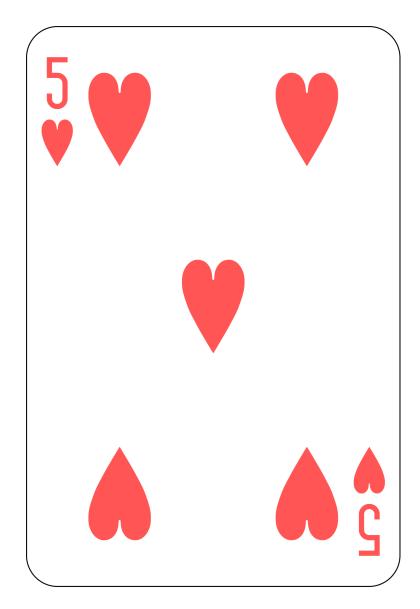


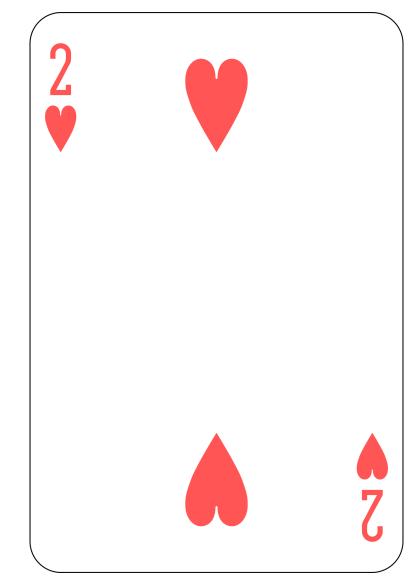


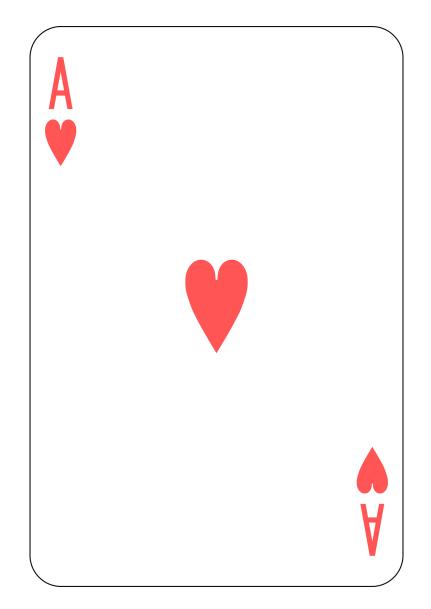


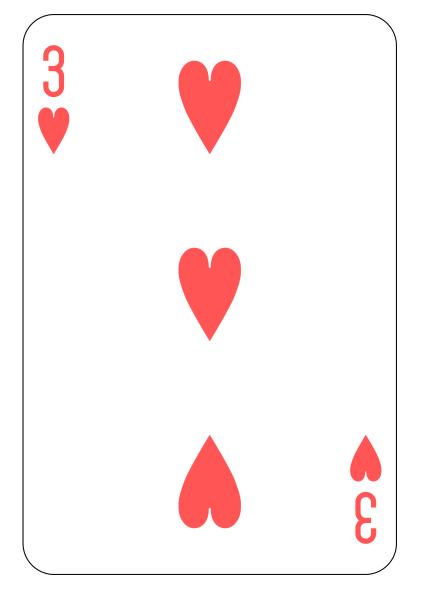


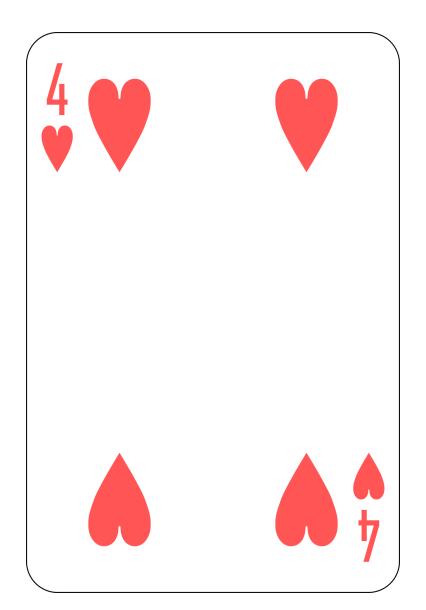


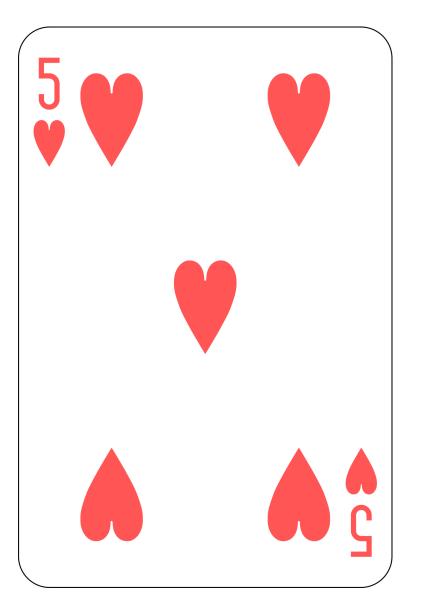


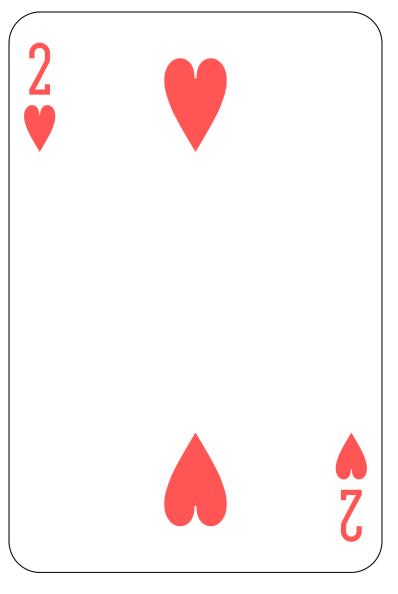


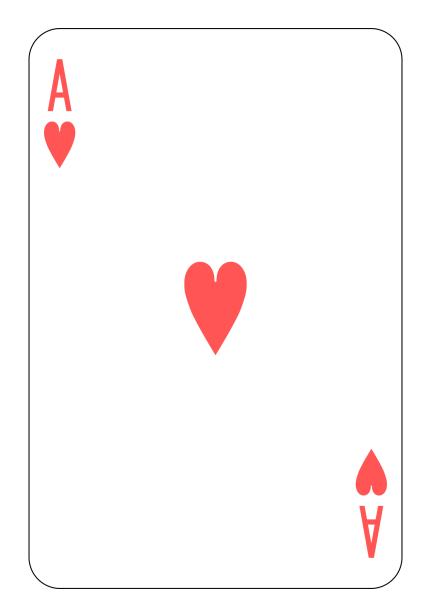


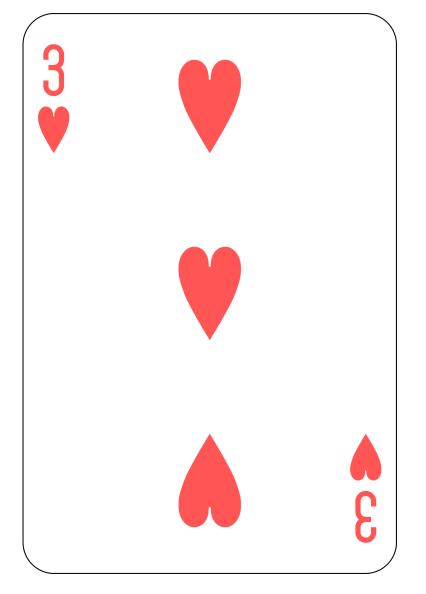


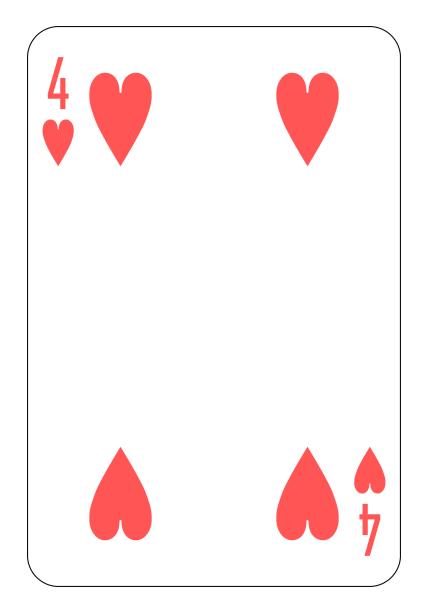


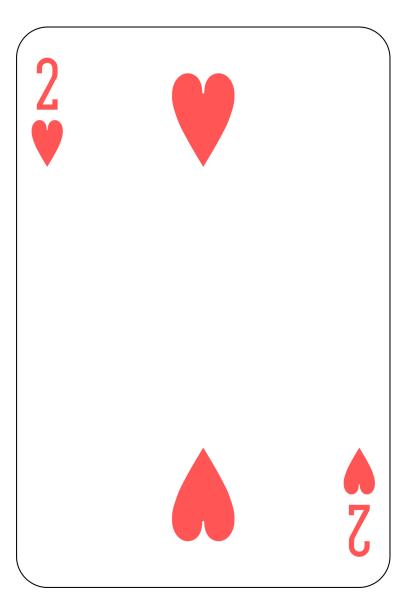


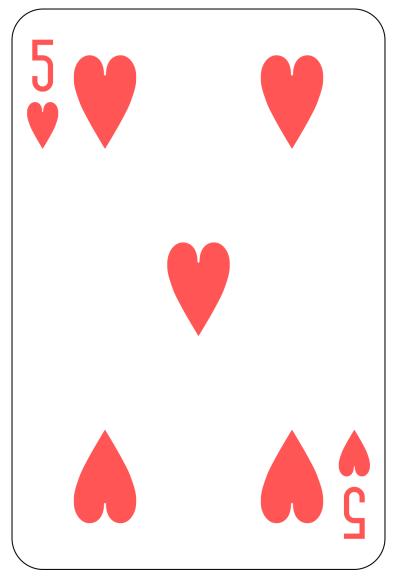


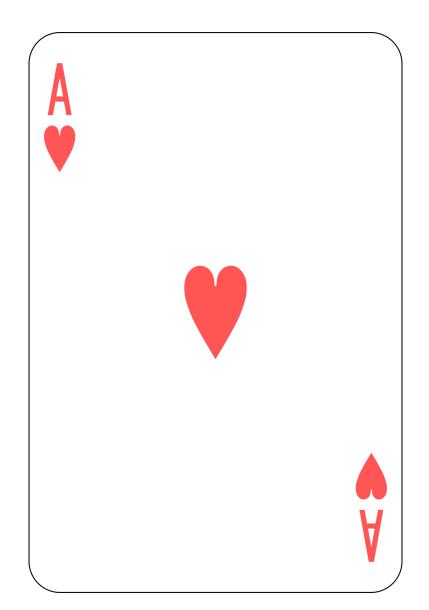


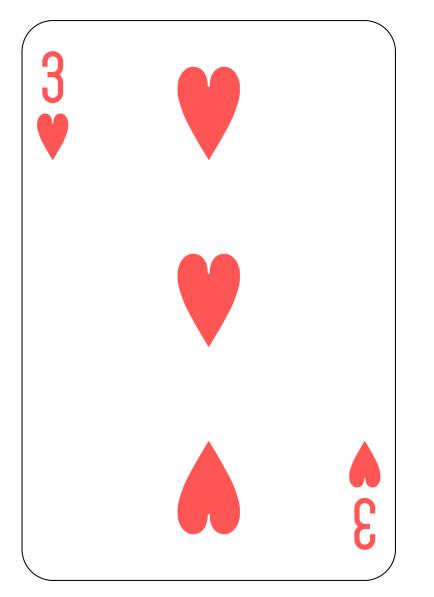


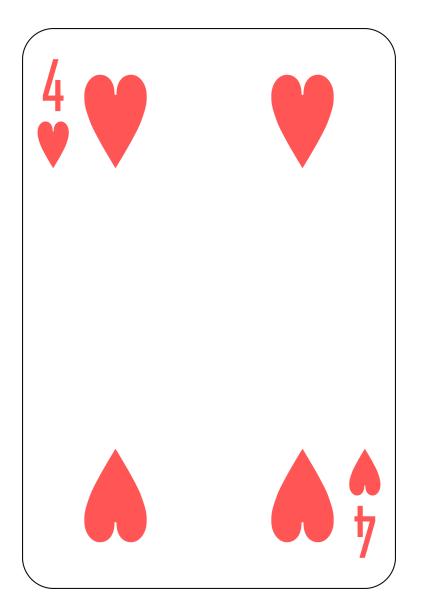


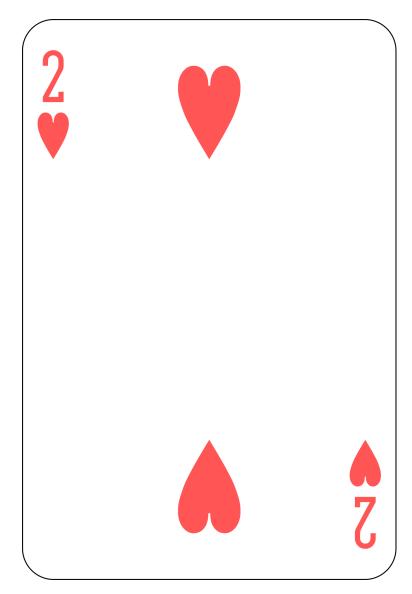


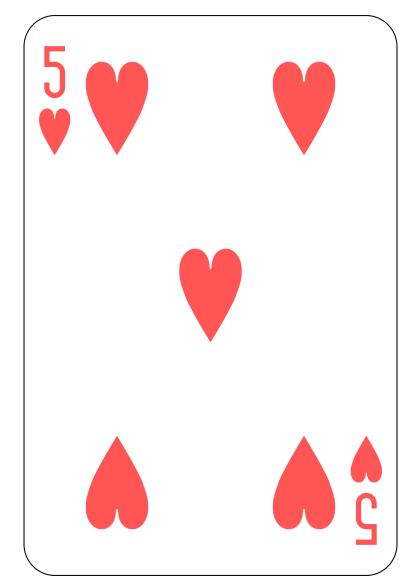


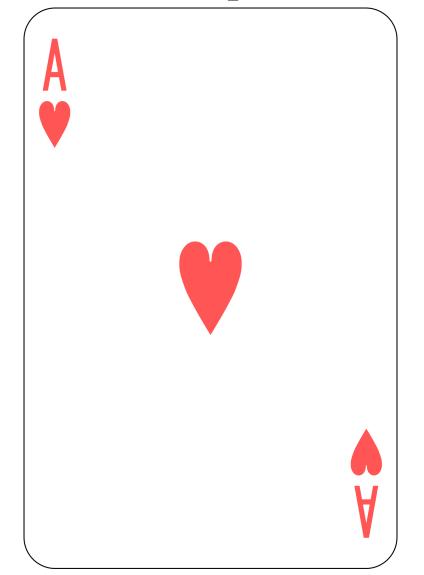


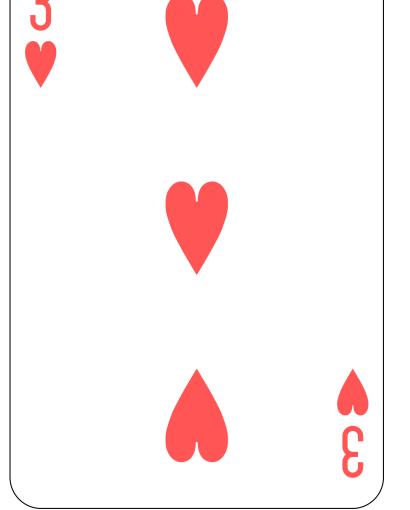


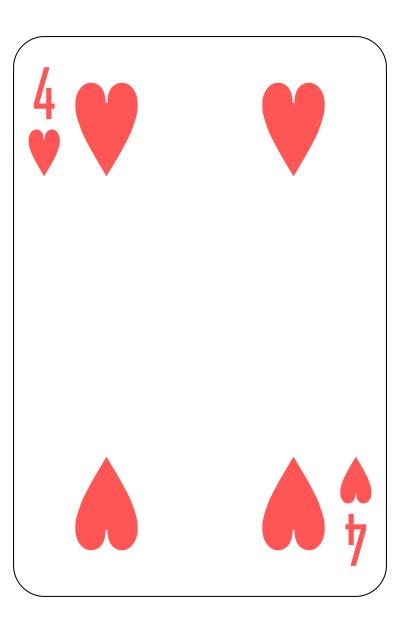


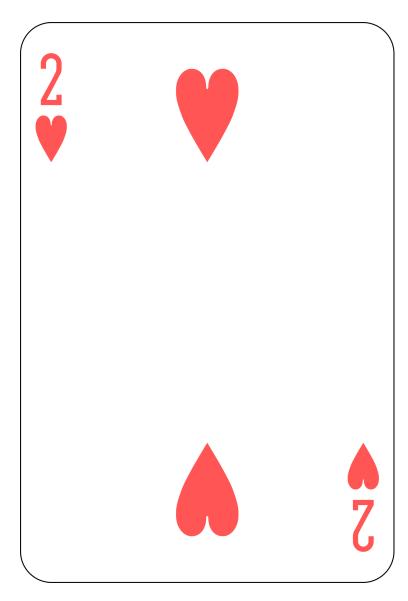


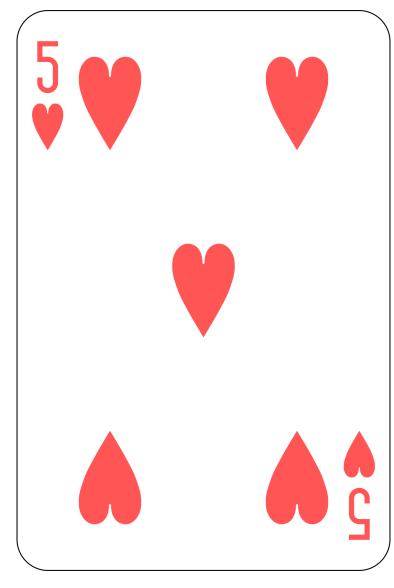


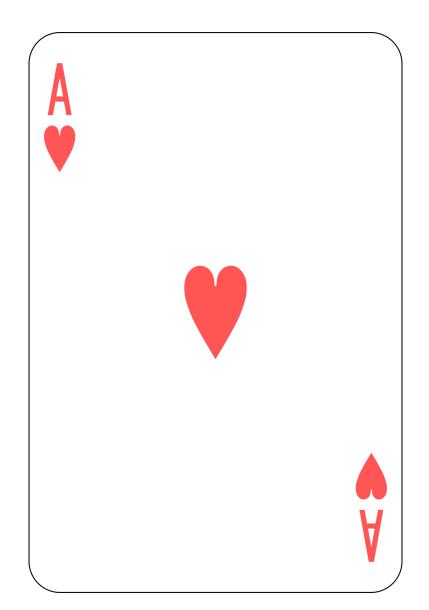


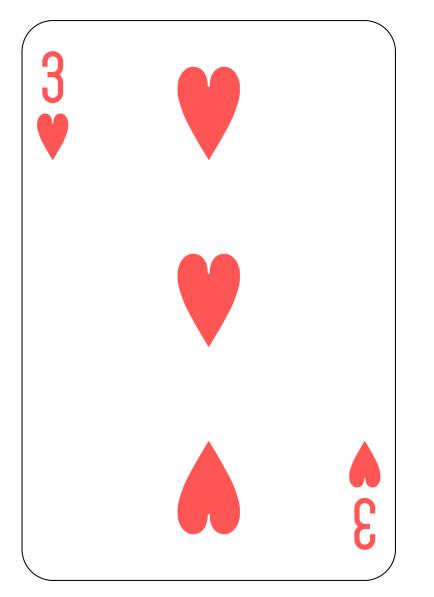


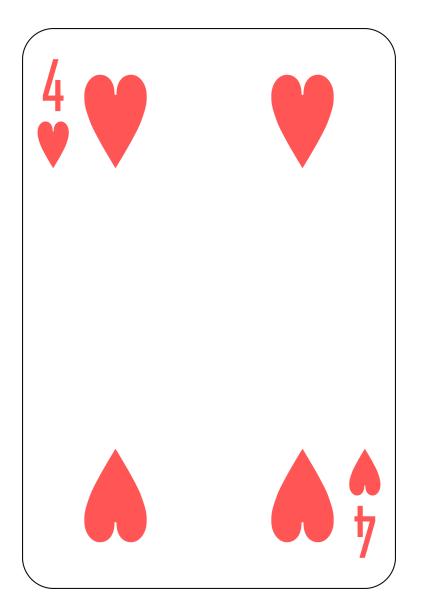


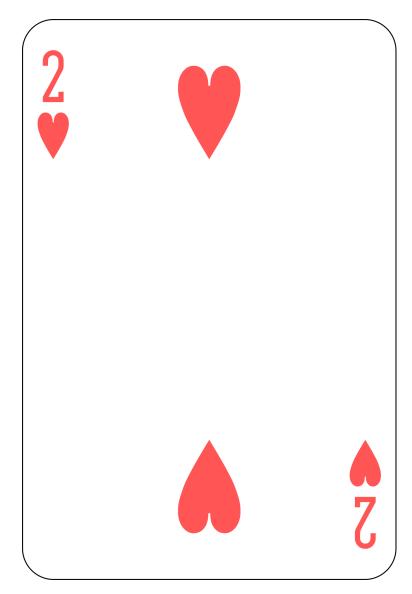


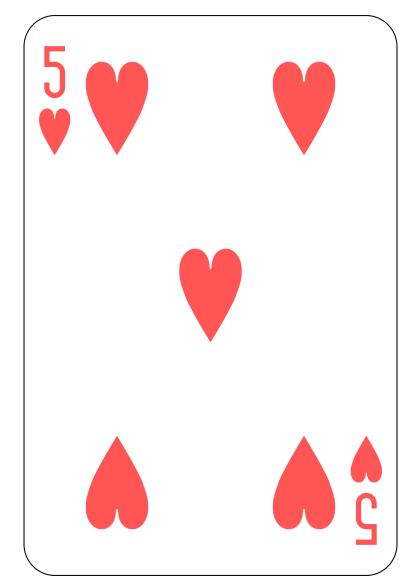


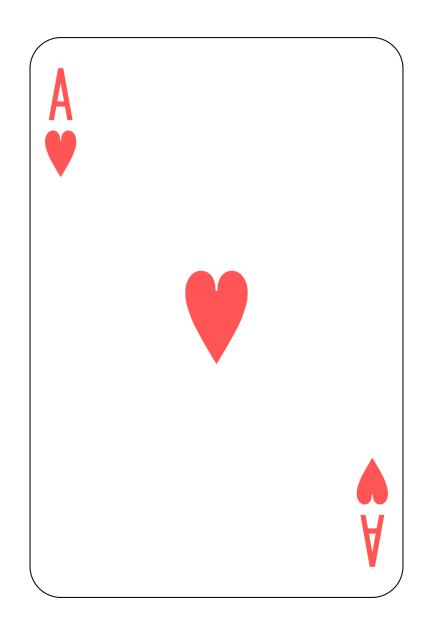


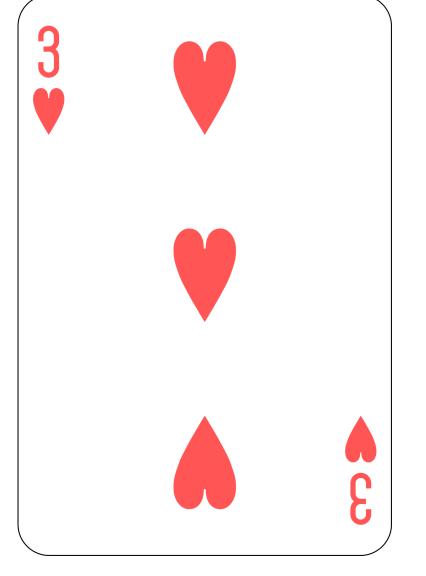


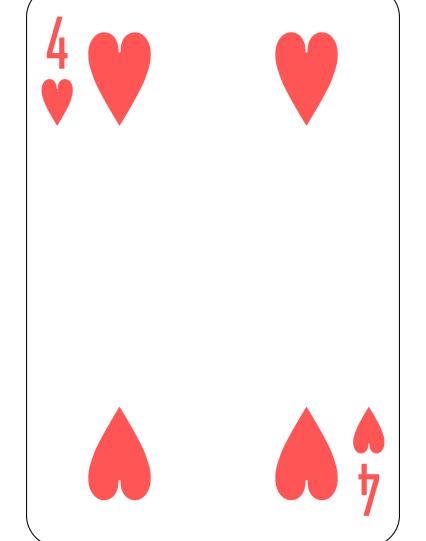


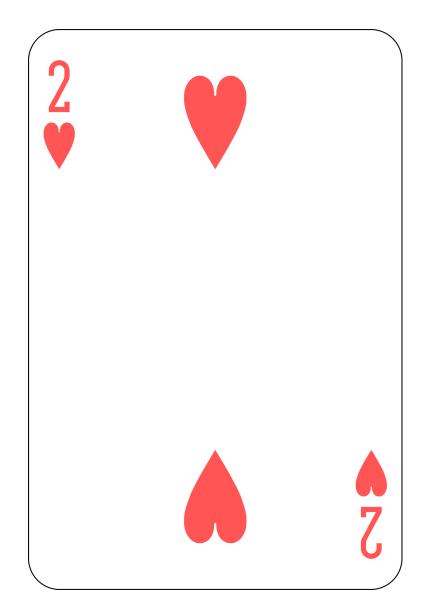


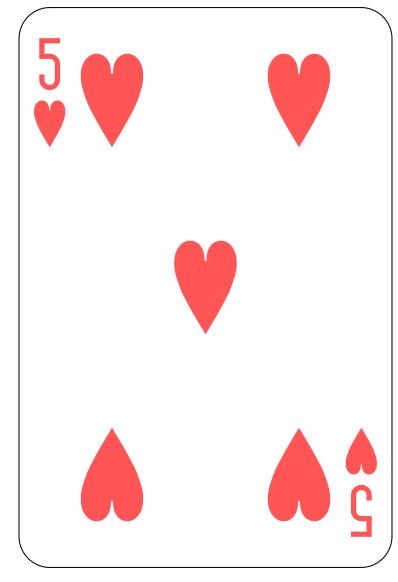


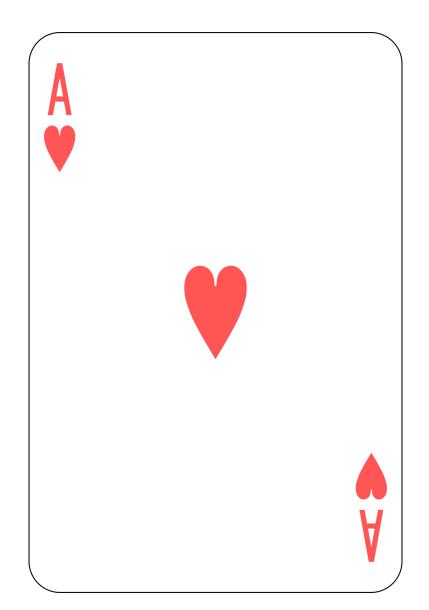


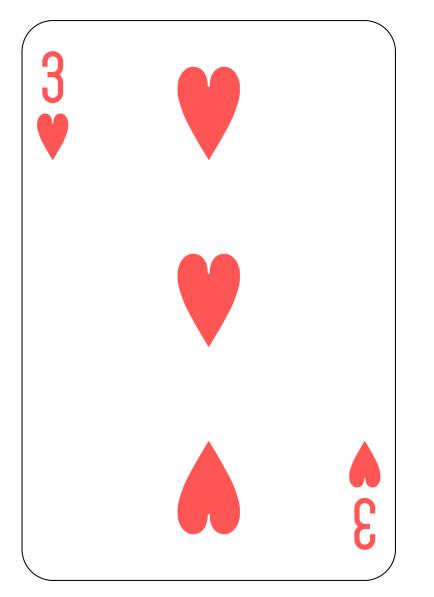


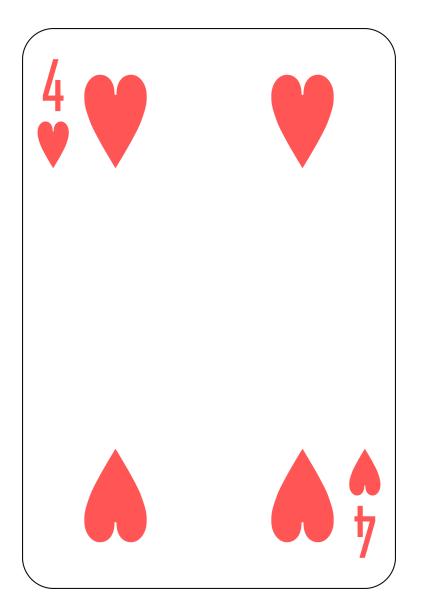


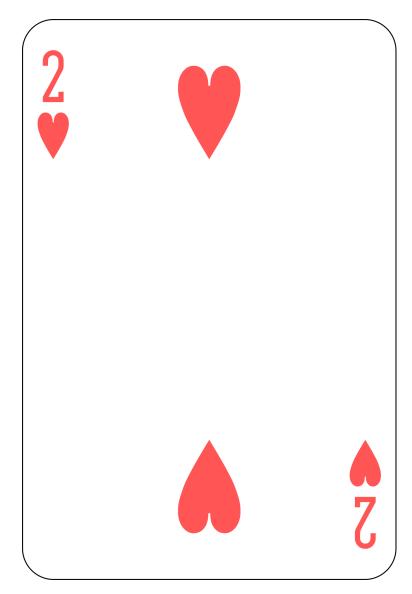


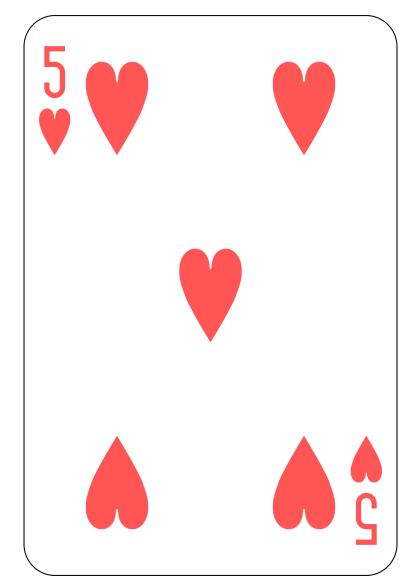


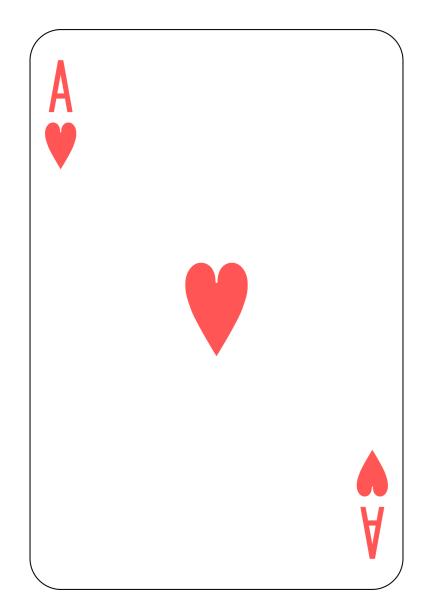


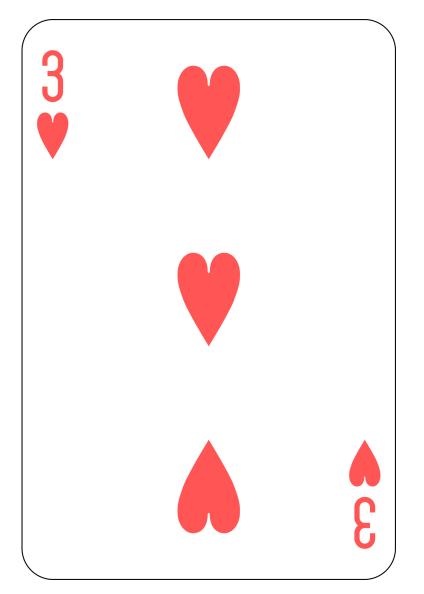


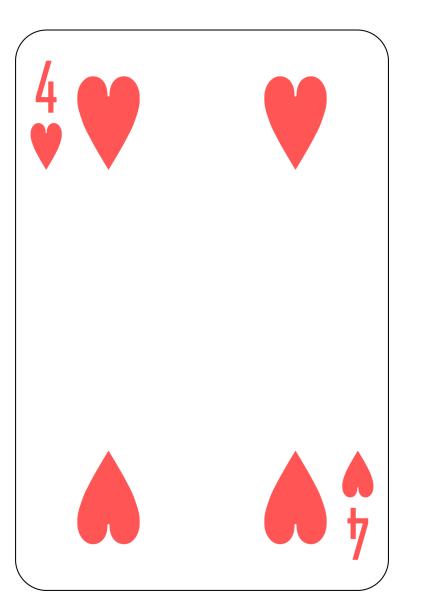


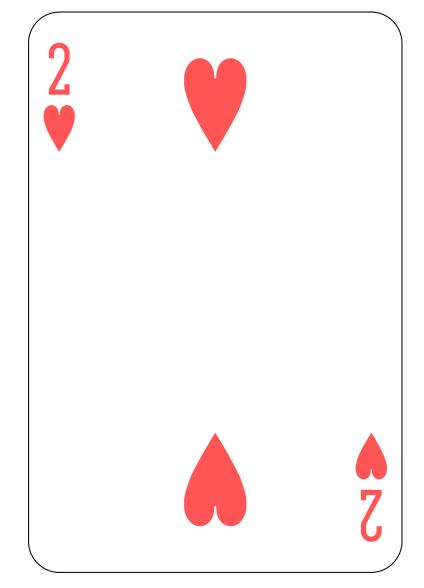


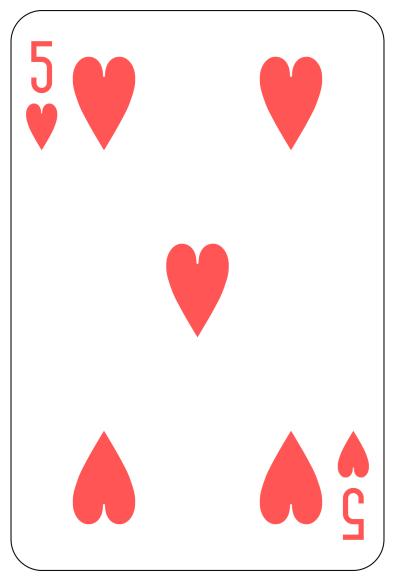


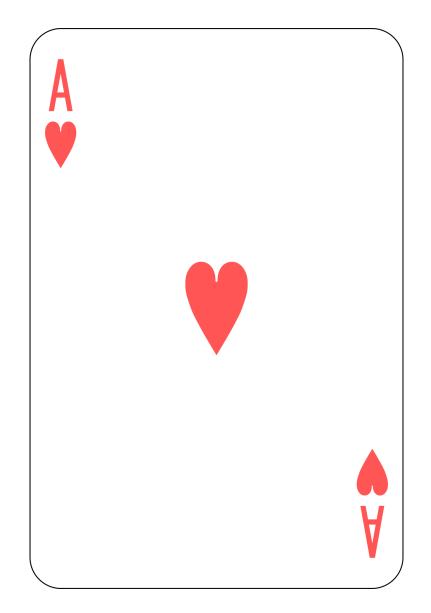


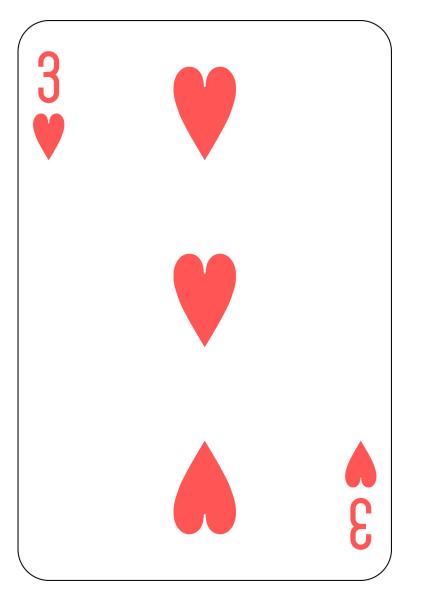


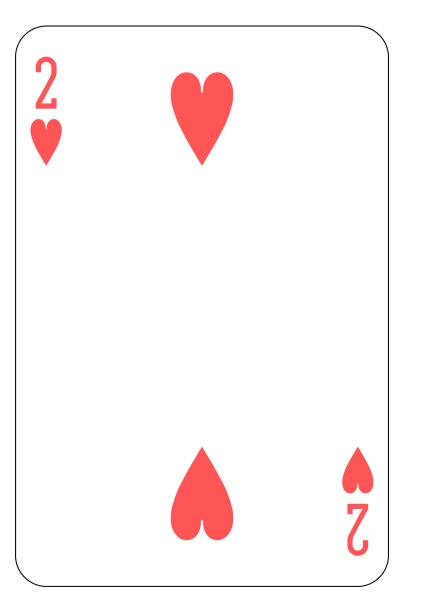


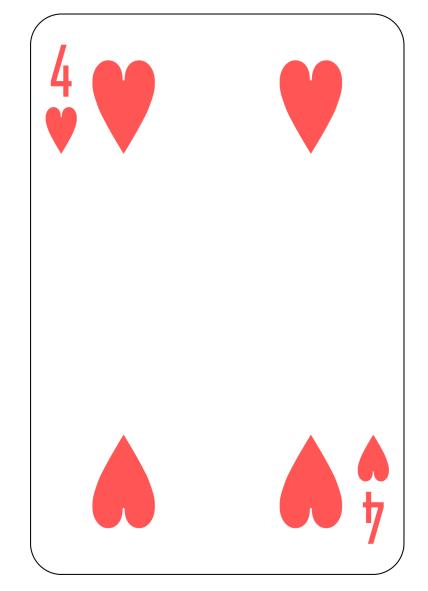


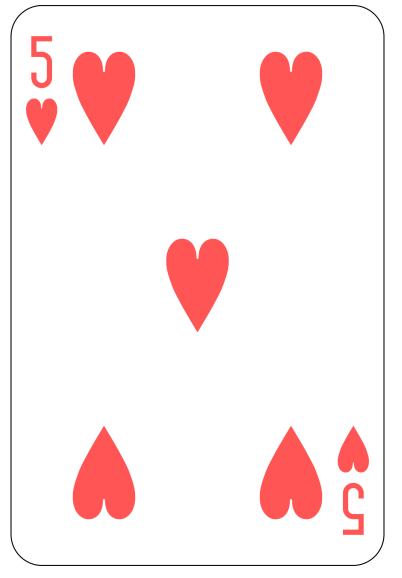


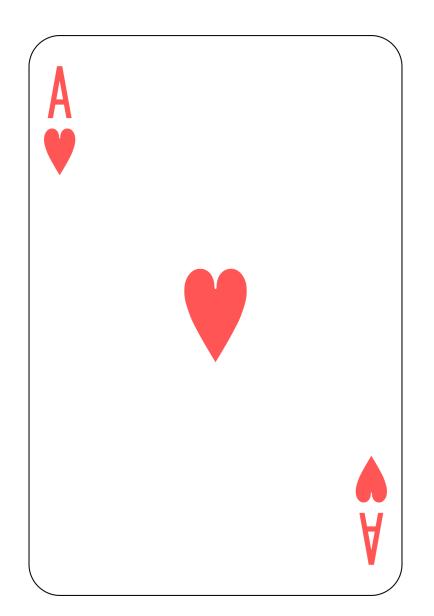


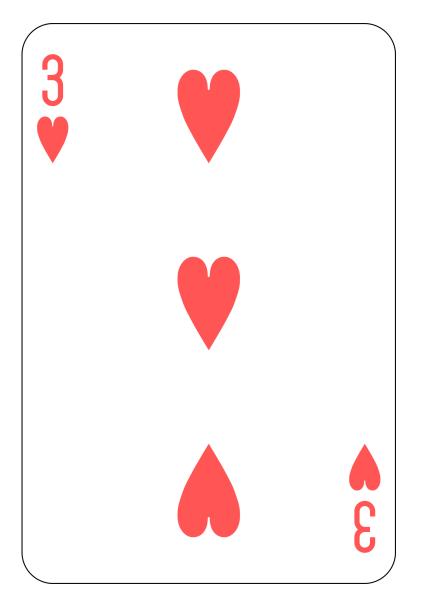


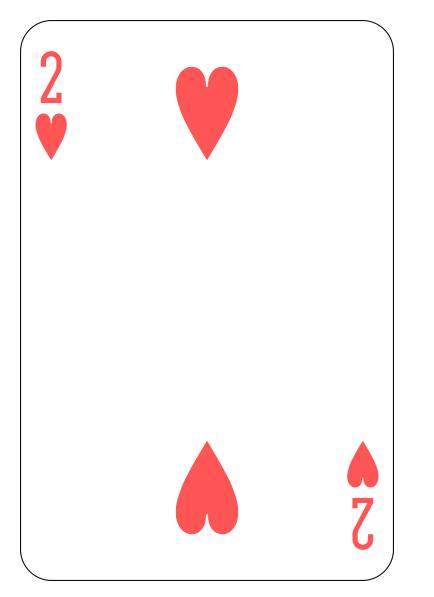


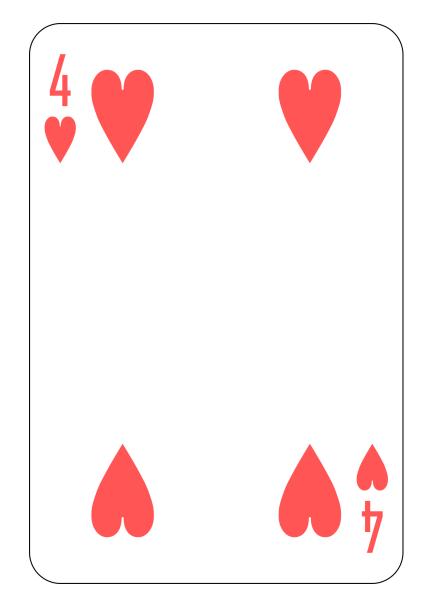


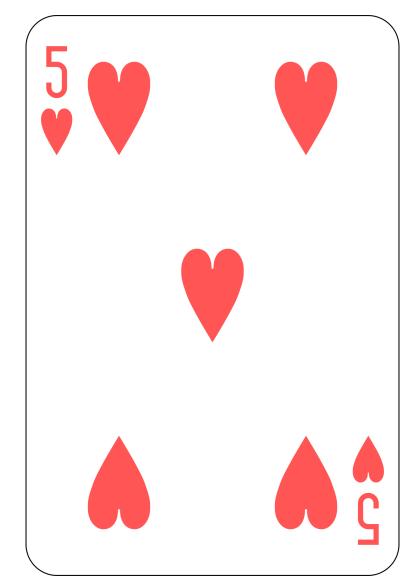


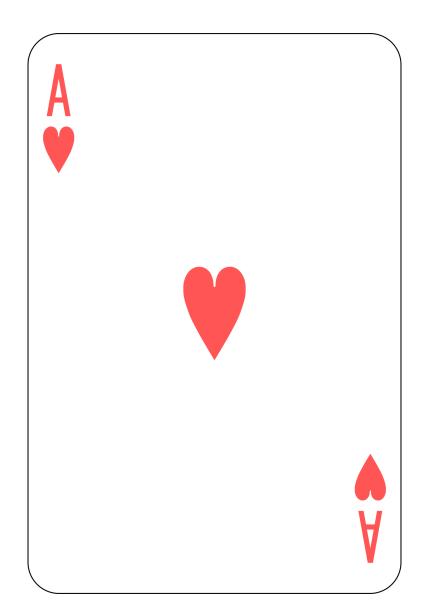


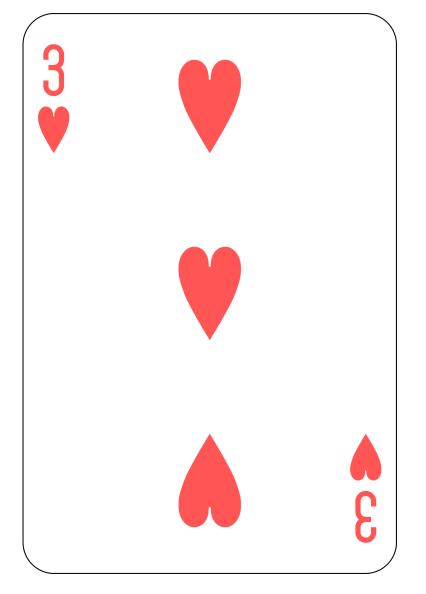


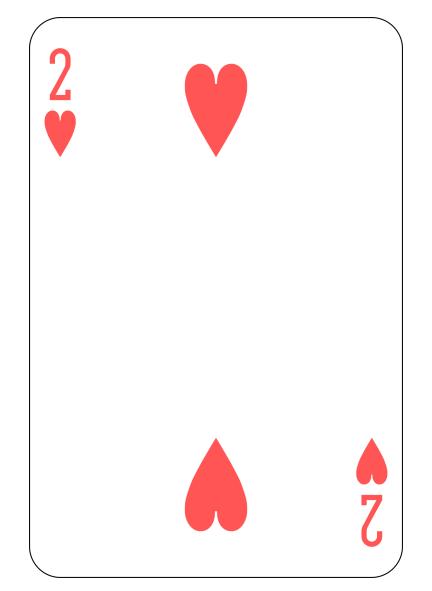


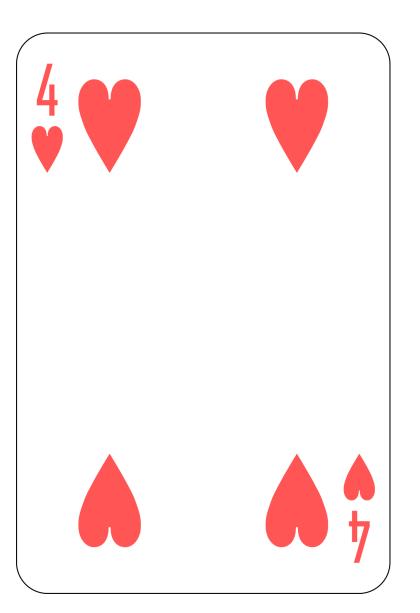


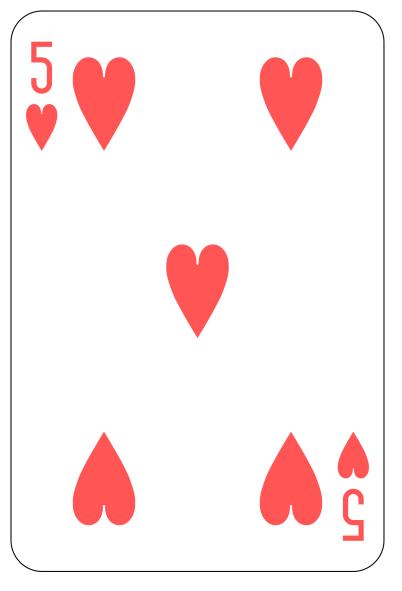


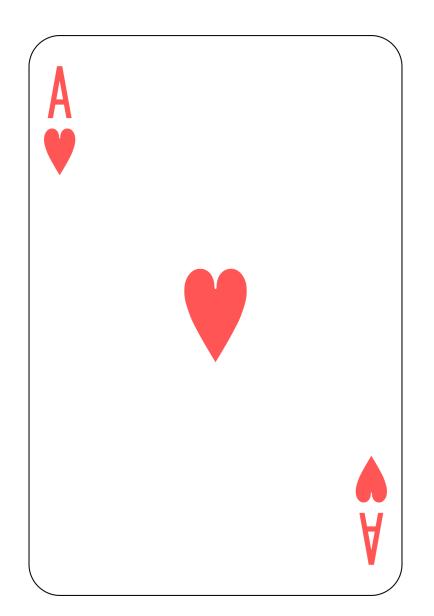


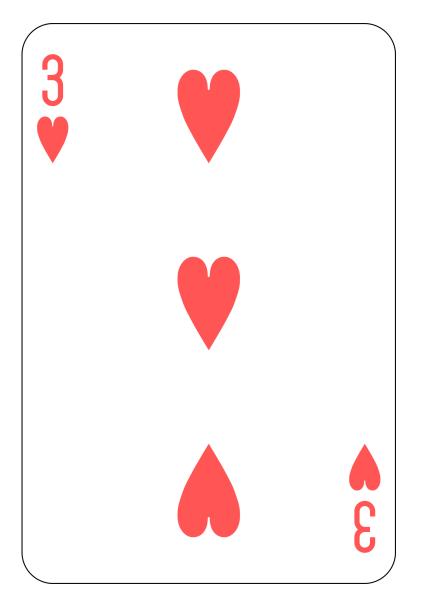


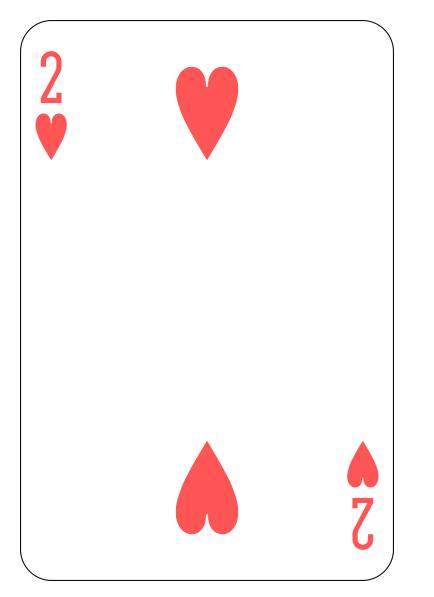


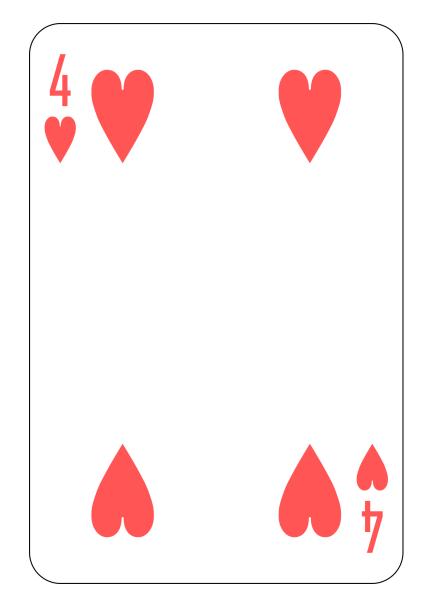


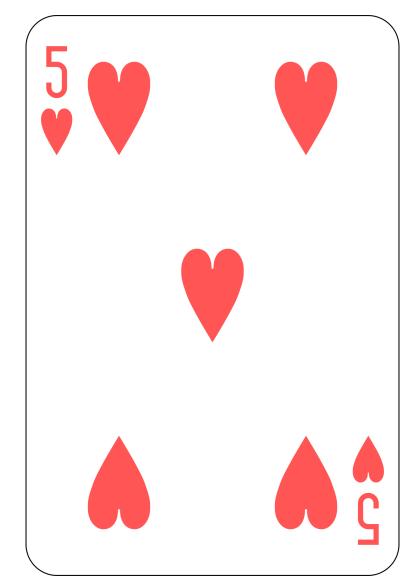


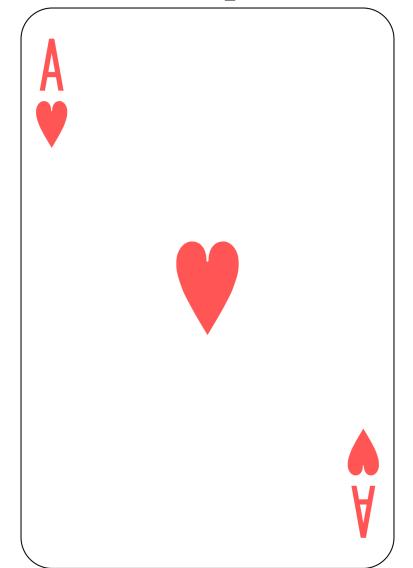


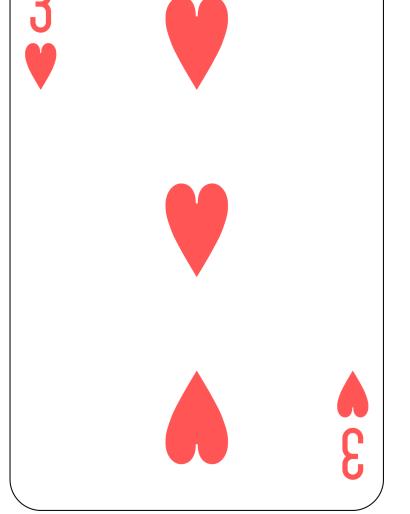


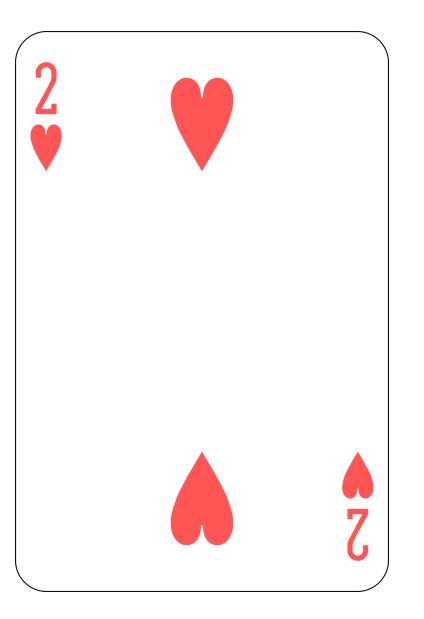


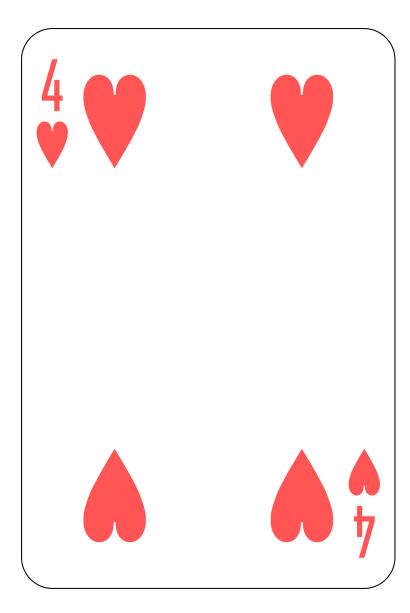


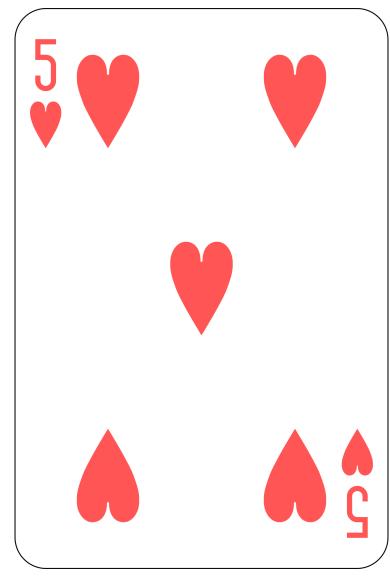


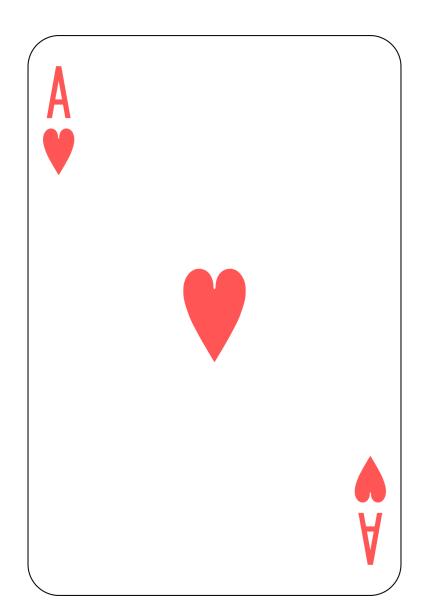


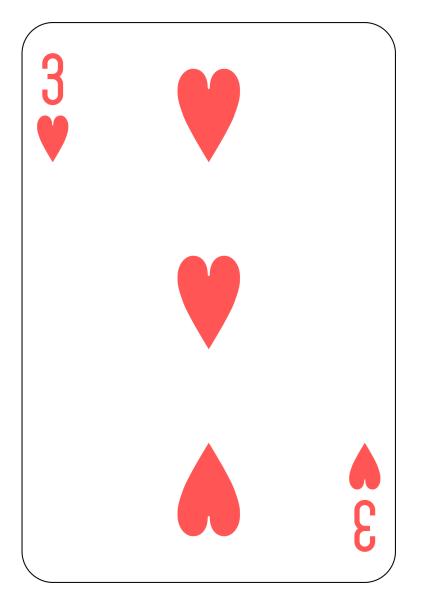


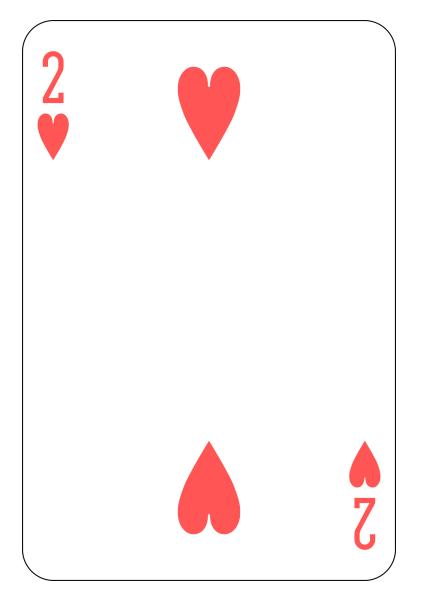


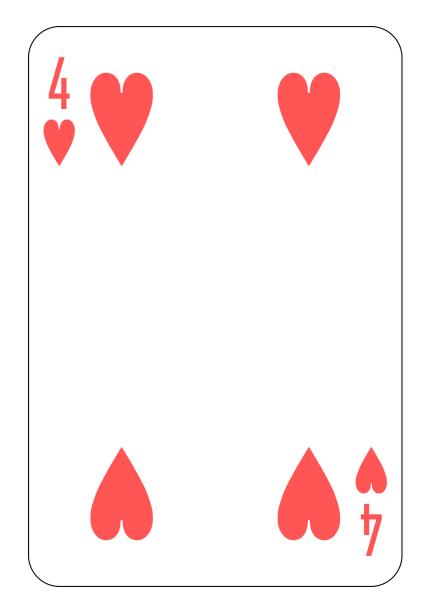


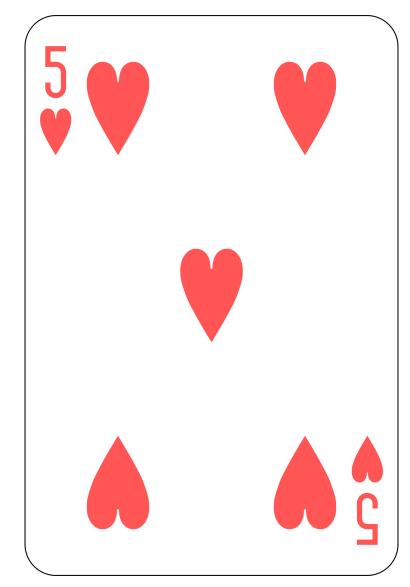


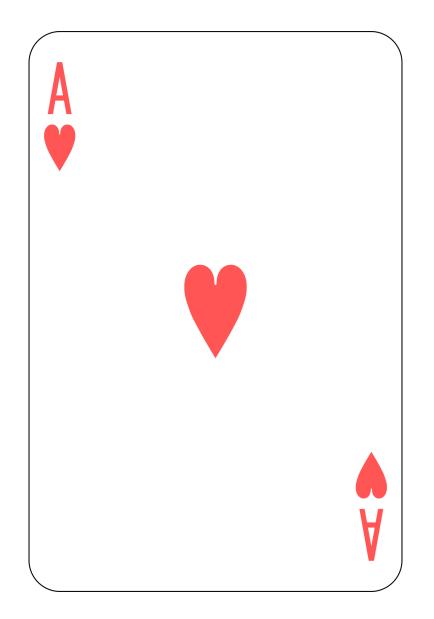


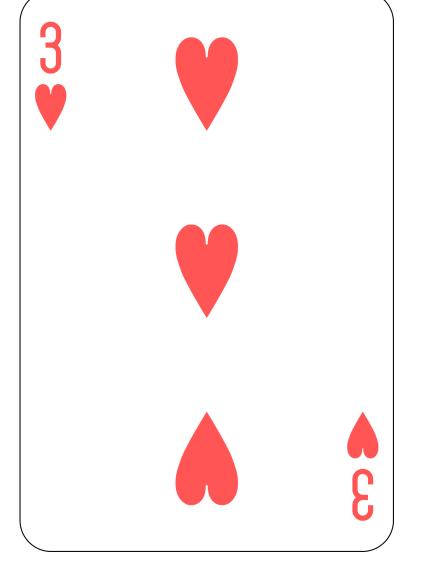


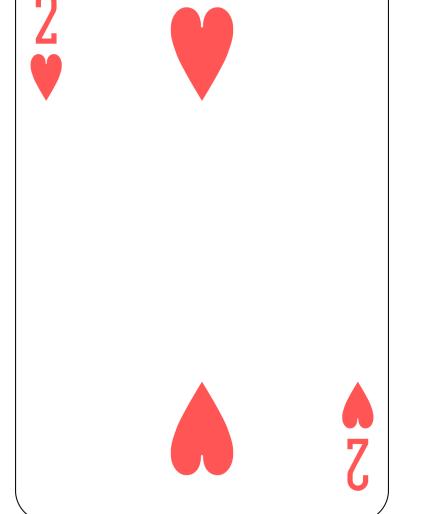


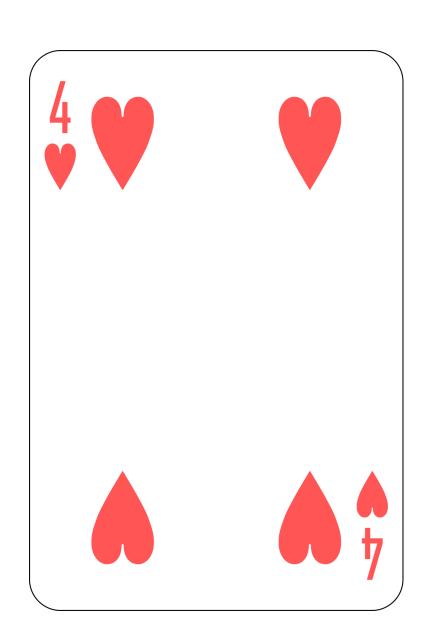


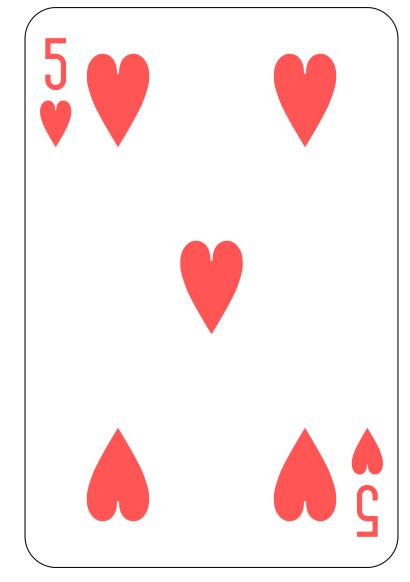


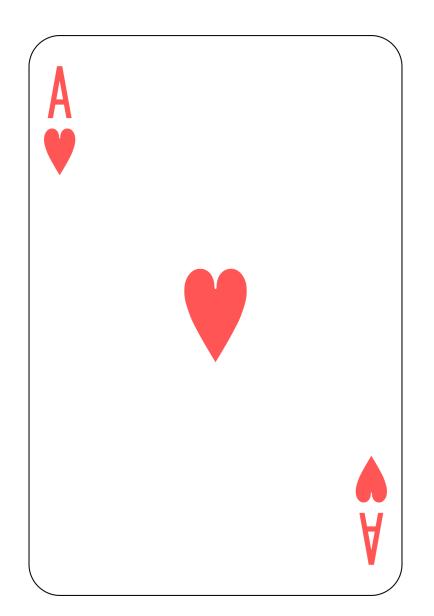


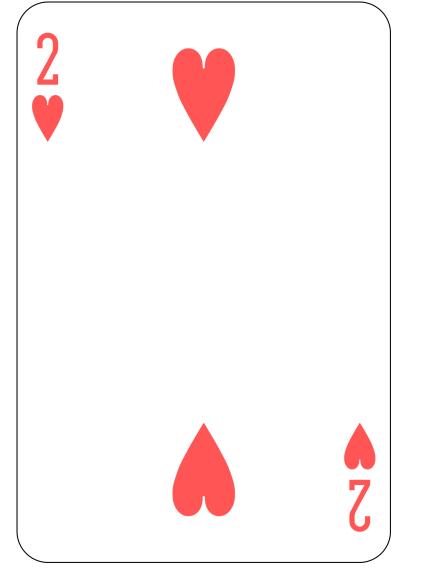


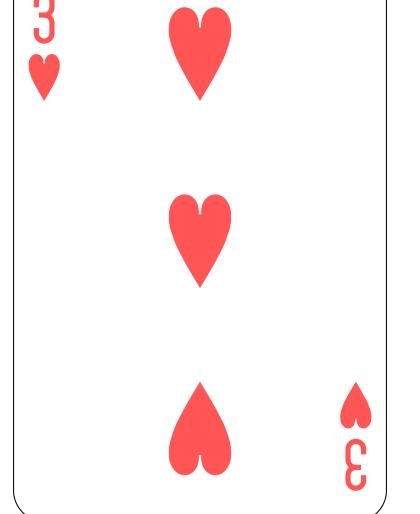


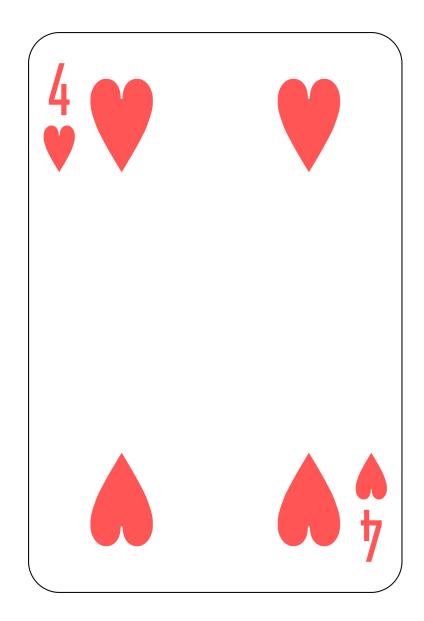


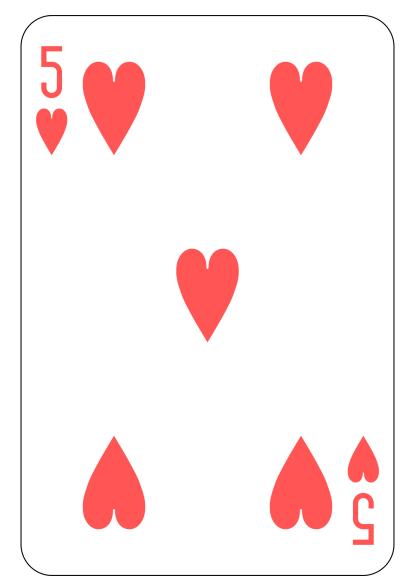


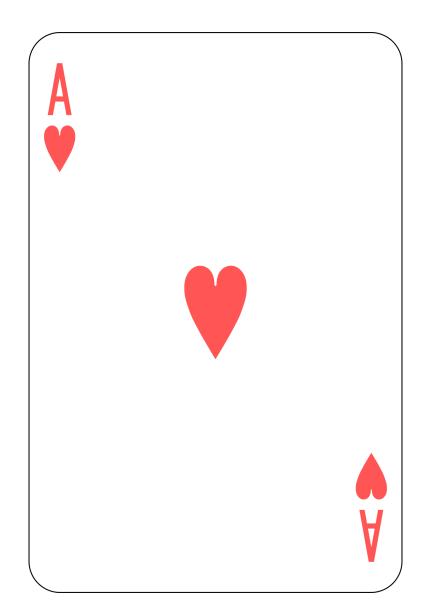


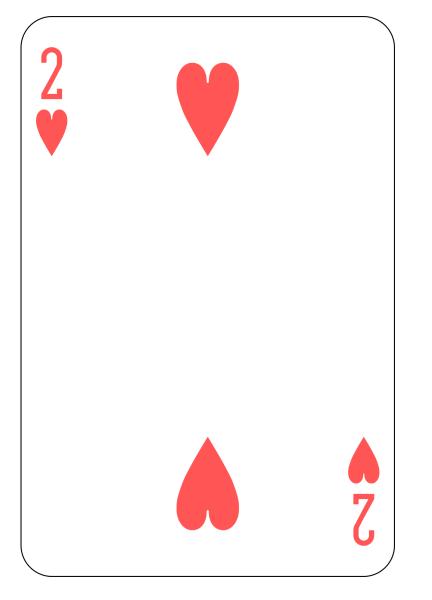


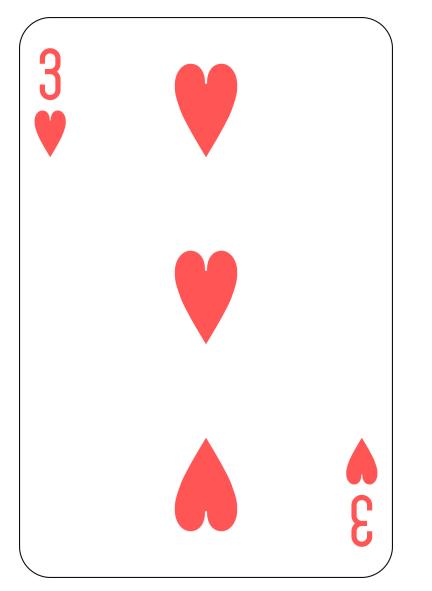


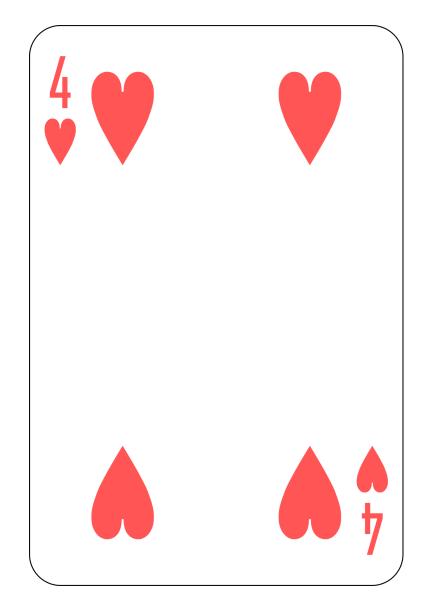


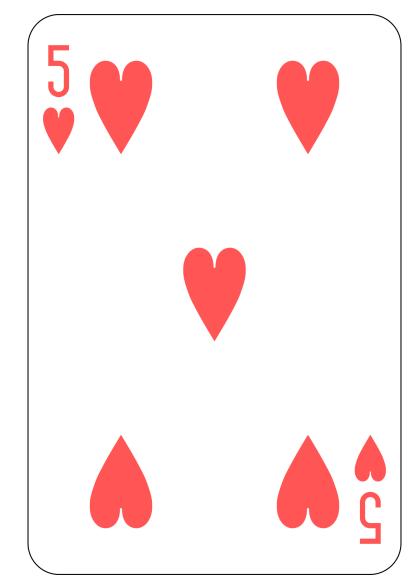


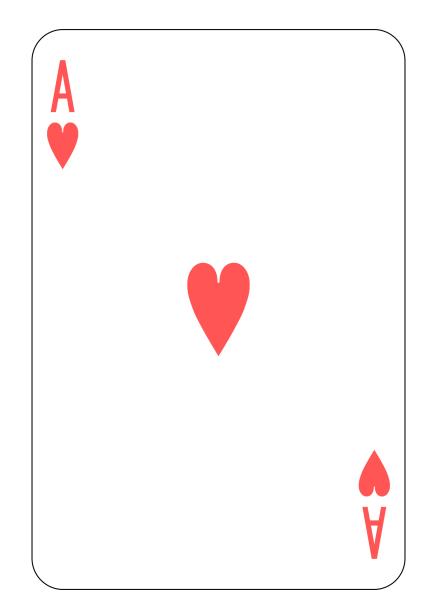


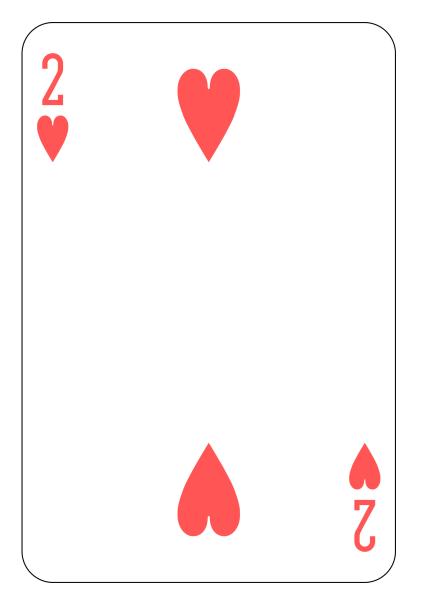


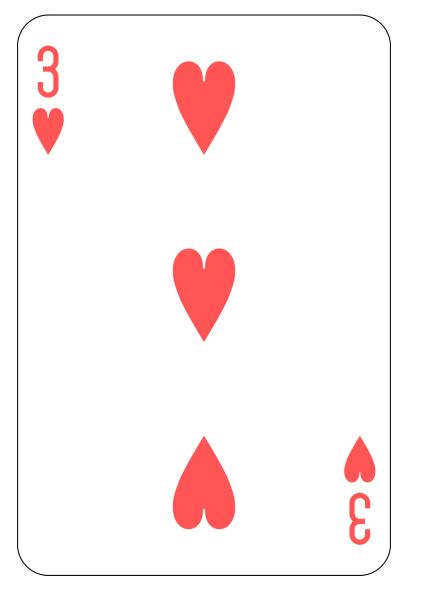


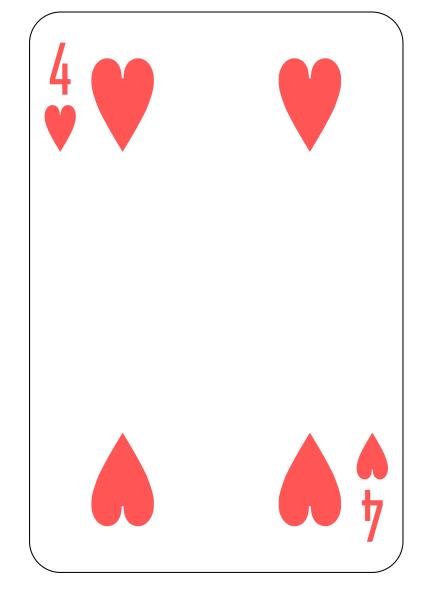


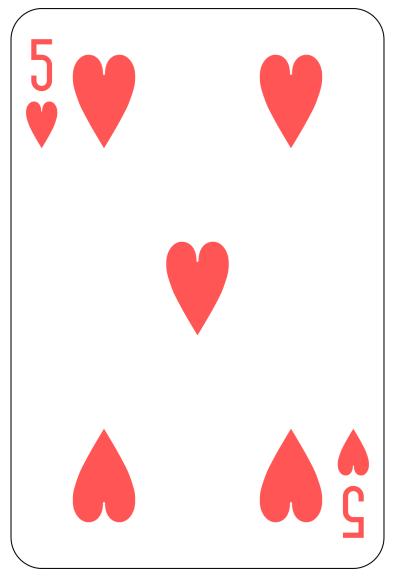


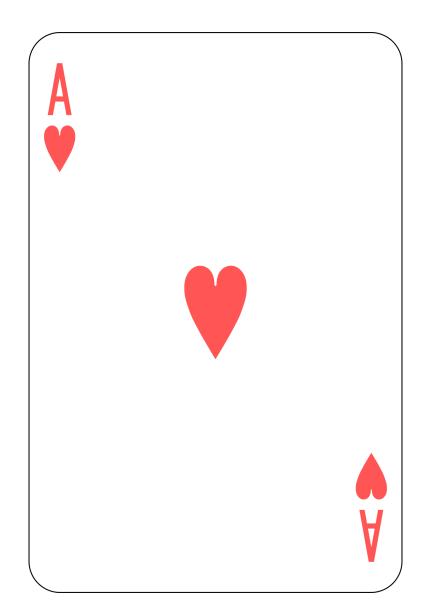


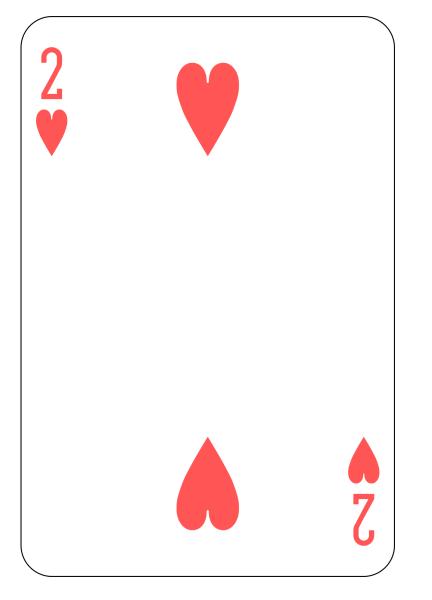


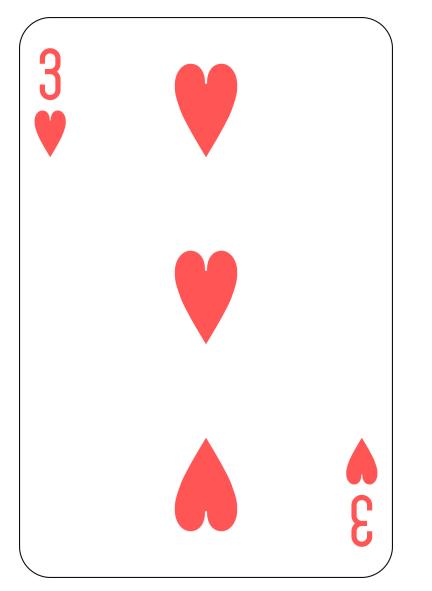


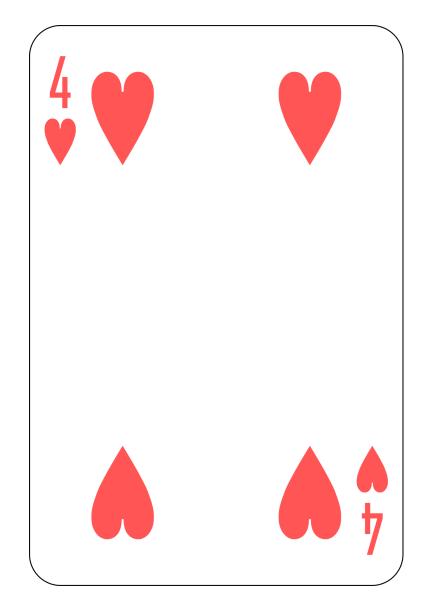


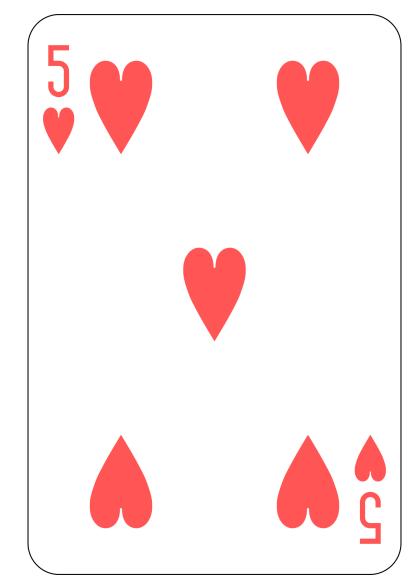


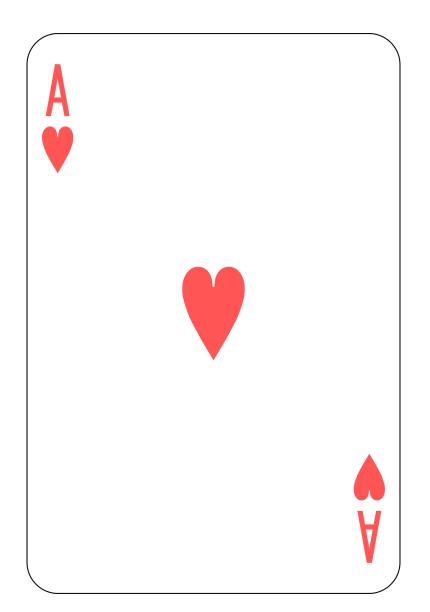


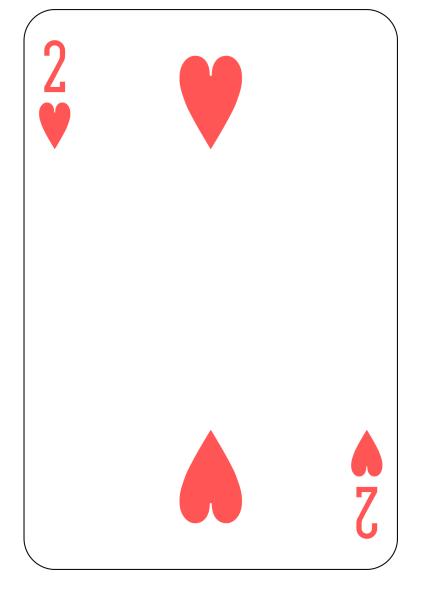


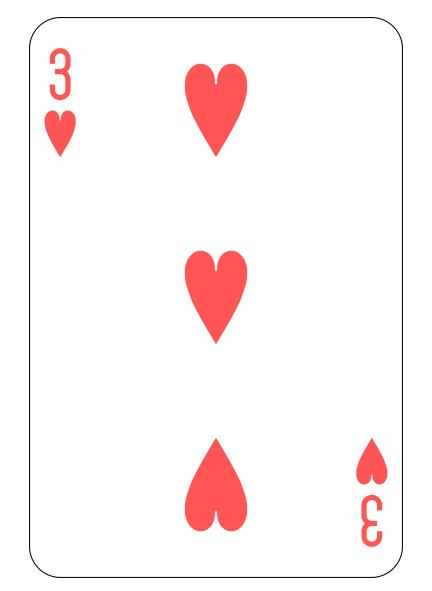


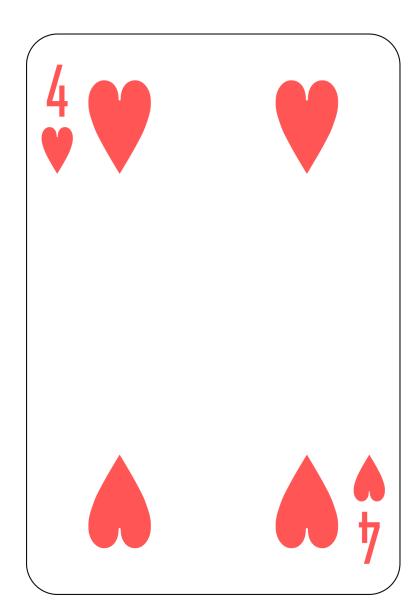


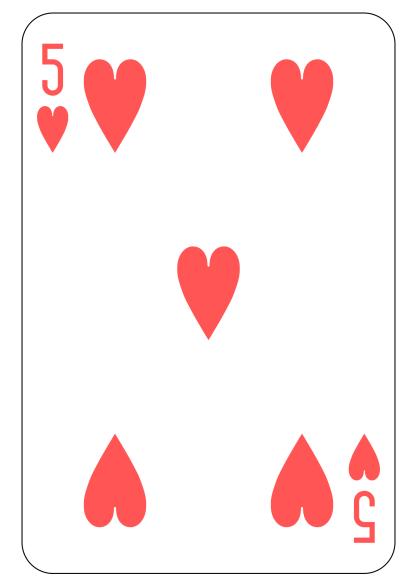


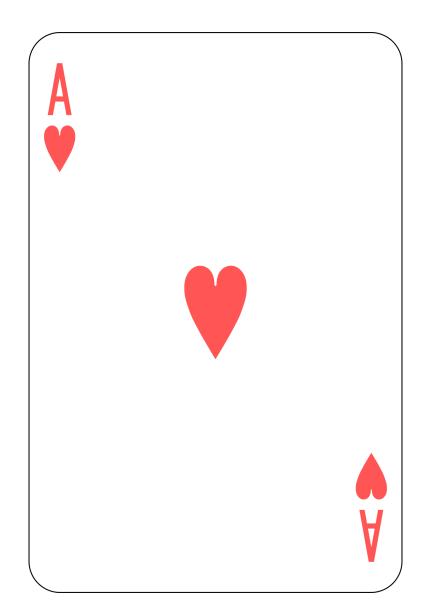


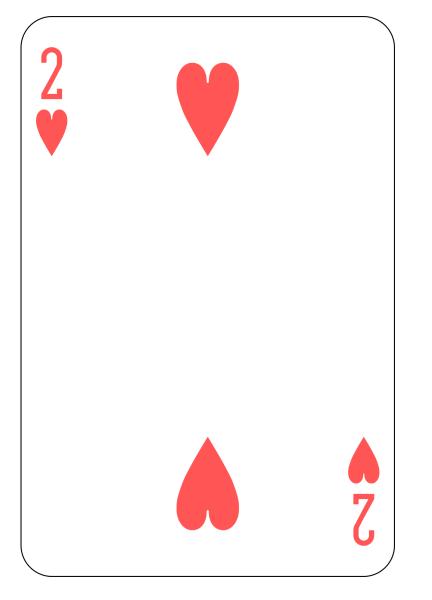


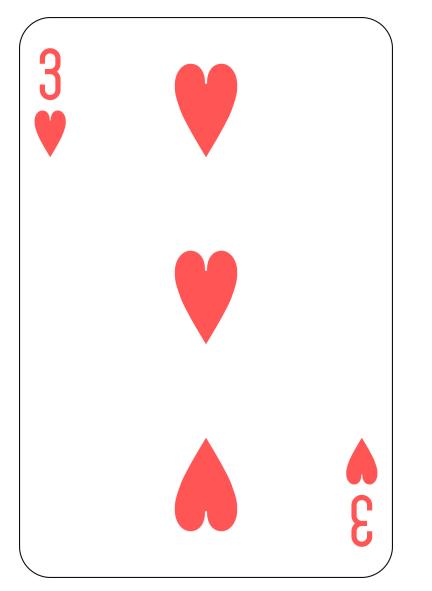


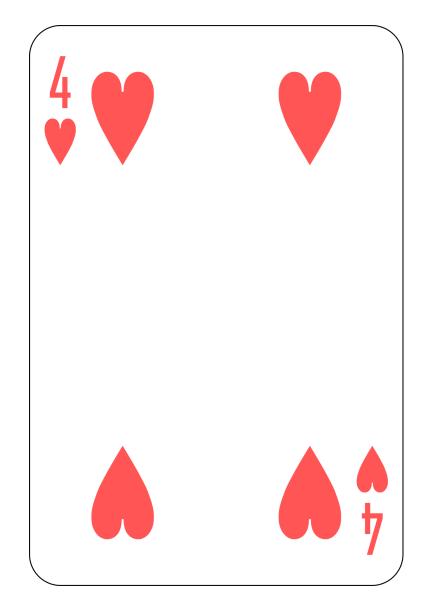


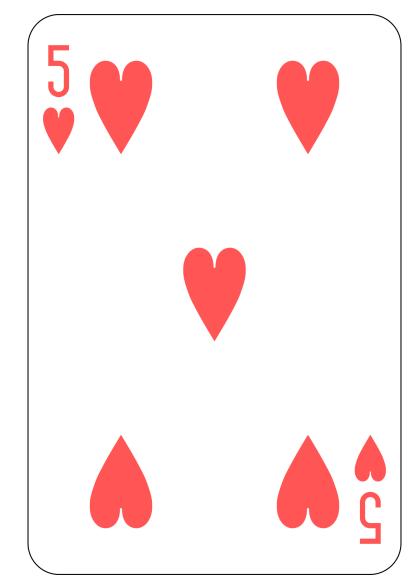












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while True:
    swapped = False
    for i = 0 to n - 1:
        if A[i] > A[i + 1]:
            swap A[i], A[i + 1]
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    if not swapped:
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Example 3: Algorithm

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 - *n*. Why?
 - Every round, the largest element is pushed to the right.
- $O(n^2)$ comparisons, $O(n^2)$ swaps

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- The largest element "bubbles" up.
- This is called bubble sort.

• Three $O(n^2)$ algorithms: Insertion sort, selection sort, bubble sort

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- There are be
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Sort Benchmark Home Page

New: We are happy to announce the 2022 winners listed below. The new, 2022 records are listed in green. Congratulations to the winners!

Background

Until 2007, the sort benchmarks were primarily defined, sponsored and administered by Jim Gray. Following Jim's disappearance at sea in January 2007, the colleagues and sort benchmark winners. The Sort Benchmark committee members include:

- Chris Nyberg of Ordinal Technology Corp
- Mehul Shah of Aryn.ai
- George Porter of UC San Diego Computer Science & Engineering Dept

Top Results

	Daytona	Indy
	2016, 44.8 TB/min	2016, 60.7 TB/min
Gray	Tencent Sort 100 TB in 134 Seconds 512 nodes x (2 OpenPOWER 10-core POWER8 2.926 GHz, 512 GB memory, 4x Huawei ES3600P V3 1.2TB NVMe SSD, 100Gb Mellanox ConnectX4-EN) Jie Jiang, Lixiong Zheng, Junfeng Pu, Xiong Cheng, Chongqing Zhao Tencent Corporation Mark R. Nutter, Jeremy D. Schaub	Tencent Sort 100 TB in 98.8 Seconds 512 nodes x (2 OpenPOWER 10-core POWER8 2.926 GHz, 512 GB memory, 4x Huawei ES3600P V3 1.2TB NVMe SSD, 100Gb Mellanox ConnectX4-EN) Jie Jiang, Lixiong Zheng, Junfeng Pu, Xiong Cheng, Chongqing Zhao Tencent Corporation Mark R. Nutter, Jeremy D. Schaub
Cloud	NADSort 100 TB for \$144 394 Alibaba Cloud ECS ecs.n1.large nodes x (Haswell E5-2680 v3, 8 GB memory, 40GB Ultra Cloud Disk, 4x 135GB SSD Cloud Disk) Qian Wang, Rong Gu, Yihua Huang Nanjing University Reynold Xin Databricks Inc. Wei Wu, Jun Song, Junluan Xia Alibaba Group Inc.	Exoshuffle-CloudSort 100 TB for \$97 40 Amazon EC2 i4i.4xlarge nodes 1 Amazon EC2 r6i.2xlarge node Amazon S3 storage Frank Sifei Luan UC Berkeley Stephanie Wang UC Berkeley and Anyscale Samyukta Yagati, Sean Kim, Kenneth Lien, Isaac Ong, Tony Hong UC Berkeley SangBin Cho, Eric Liang Anyscale Ion Stoica UC Berkeley and Anyscale
	2016, 37 TB	2016, 55 TB

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- ...so they have addresses
- ...so just like we have pointers to data, we have pointers to functions as well
- What's the point?
 - We can pass functions around!

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void alist_sort(struct alist *1, int (*cmp) (void *, void *))
```

Example

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- The second argument to this function is
 - A function pointer called cmp
 - The function that cmp points to takes two void * and returns int
 - It tells the sorting function how to compare two arbitrary elements
 - (negative if 1 < 2, 0 if 1 == 2, positive if 1 > 2)

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void alist_sort(struct alist *1, int (*cmp)(void *, void *)) {
```

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void alist_sort(struct alist *1, int (*cmp)(void *, void *)) {
    for (;;) {
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void alist_sort(struct alist *1, int (*cmp)(void *, void *)) {
    for (;;) {
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}
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void alist_sort(struct alist *1, int (*cmp)(void *, void *)) {
    for (;;) {
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        for (int i = 0; i < l->length; i++) {
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void alist_sort(struct alist *1, int (*cmp)(void *, void *)) {
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                void *tmp = l->elems[i];
                l->elems[i] = l->elems[i + 1];
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```

```
void alist sort(struct alist *1, int (*cmp)(void *, void *)) {
    for (;;) {
        int swapped = 0;
        for (int i = 0; i < 1->length; i++) {
            if (cmp(l->elems[i], l->elems[i + 1]) < 0) {
                void *tmp = l->elems[i];
                1->elems[i] = 1->elems[i + 1];
                1->elems[i + 1] = tmp;
                swapped = 1;
        if (!swapped) {
            break;
```

```
void alist_sort(struct alist *1, int (*cmp)(void *, void *));
int strcmp_wrapper(void *s1, void *s2) {
    return strcmp(s1, s2);
}
int main(void) {
    struct alist l;
    alist_sort(&l, &strcmp_wrapper);
    return 0;
}
```

```
void alist_sort(struct alist *1, int (*cmp)(void *, void *));
int strcmp_wrapper(void *s1, void *s2) {
    return strcmp(s1, s2);
}
int main(void) {
    struct alist 1;
    alist_sort(&1, &strcmp_wrapper);
    return 0;
    ^ optional
```